

# Part II — Representation Theory

Based on lectures by S. Martin

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These notes are not endorsed by the lecturers, and I have modified them (often significantly) after lectures. They are nowhere near accurate representations of what was actually lectured, and in particular, all errors are almost surely mine.

*Linear Algebra and Groups, Rings and Modules are essential*

## **Representations of finite groups**

Representations of groups on vector spaces, matrix representations. Equivalence of representations. Invariant subspaces and submodules. Irreducibility and Schur's Lemma. Complete reducibility for finite groups. Irreducible representations of Abelian groups.

## **Character theory**

Determination of a representation by its character. The group algebra, conjugacy classes, and orthogonality relations. Regular representation. Permutation representations and their characters. Induced representations and the Frobenius reciprocity theorem. Mackey's theorem. Frobenius's Theorem. [12]

## **Arithmetic properties of characters**

Divisibility of the order of the group by the degrees of its irreducible characters. Burnside's  $p^a q^b$  theorem. [2]

## **Tensor products**

Tensor products of representations and products of characters. The character ring. Tensor, symmetric and exterior algebras. [3]

## **Representations of $S^1$ and $SU_2$**

The groups  $S^1$ ,  $SU_2$  and  $SO(3)$ , their irreducible representations, complete reducibility. The Clebsch-Gordan formula. \*Compact groups.\* [4]

## **Further worked examples**

The characters of one of  $GL_2(F_q)$ ,  $S_n$  or the Heisenberg group. [3]

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## 0 Introduction

The course studies how groups act as groups of linear transformations on vector spaces. Hopefully, you understand all the words in this sentence. If so, this is a good start.

In our case, groups are usually either finite groups or topological compact groups (to be defined later). Topological compact groups are typically subgroups of the general linear group over some infinite fields. It turns out the tools we have for finite groups often work well for these particular kinds of infinite groups. The vector spaces are always finite-dimensional, and usually over  $\mathbb{C}$ .

Prerequisites of this course include knowledge of group theory (as much as the IB Groups, Rings and Modules course), linear algebra, and, optionally, geometry, Galois theory and metric and topological spaces. There is one lemma where we must use something from Galois theory, but if you don't know about Galois theory, you can just assume the lemma to be true without bothering yourself too much about the proofs.

# 1 Group actions

We start by reviewing some basic group theory and linear algebra.

## Basic linear algebra

**Notation.**  $\mathbb{F}$  always represents a field.

Usually, we take  $\mathbb{F} = \mathbb{C}$ , but sometimes it can also be  $\mathbb{R}$  or  $\mathbb{Q}$ . These fields all have characteristic zero, and in this case, we call what we're doing *ordinary* representation theory. Sometimes, we will take  $F = \mathbb{F}_p$  or  $\overline{\mathbb{F}}_p$ , the algebraic closure of  $\mathbb{F}_p$ . This is called *modular* representation theory.

**Notation.** We write  $V$  for a vector space over  $\mathbb{F}$  — this will always be finite dimensional over  $\mathbb{F}$ . We write  $\mathrm{GL}(V)$  for the group of invertible linear maps  $\theta : V \rightarrow V$ . This is a group with the operation given by composition of maps, with the identity as the identity map (and inverse by inverse).

**Notation.** Let  $V$  be a finite-dimensional vector space over  $\mathbb{F}$ . We write  $\mathrm{End}(V)$  for the endomorphism algebra, the set of all linear maps  $V \rightarrow V$ .

We recall a couple of facts from linear algebra:

If  $\dim_{\mathbb{F}} V = n < \infty$ , we can choose a basis  $\mathbf{e}_1, \dots, \mathbf{e}_n$  of  $V$  over  $\mathbb{F}$ . So we can identify  $V$  with  $\mathbb{F}^n$ . Then every endomorphism  $\theta \in \mathrm{GL}(V)$  corresponds to a matrix  $A_{\theta} = (a_{ij}) \in M_n(F)$  given by

$$\theta(\mathbf{e}_j) = \sum_i a_{ij} \mathbf{e}_i.$$

In fact, we have  $A_{\theta} \in \mathrm{GL}_n(\mathbb{F})$ , the general linear group. It is easy to see the following:

**Proposition.** As groups,  $\mathrm{GL}(V) \cong \mathrm{GL}_n(\mathbb{F})$ , with the isomorphism given by  $\theta \mapsto A_{\theta}$ .

Of course, picking a different basis of  $V$  gives a different isomorphism to  $\mathrm{GL}_n(\mathbb{F})$ , but we have the following fact:

**Proposition.** Matrices  $A_1, A_2$  represent the same element of  $\mathrm{GL}(V)$  with respect to different bases if and only if they are *conjugate*, namely there is some  $X \in \mathrm{GL}_n(\mathbb{F})$  such that

$$A_2 = X A_1 X^{-1}.$$

Recall that  $\mathrm{tr}(A) = \sum_i a_{ii}$ , where  $A = (a_{ij}) \in M_n(\mathbb{F})$ , is the trace of  $A$ . A nice property of the trace is that it doesn't notice conjugacy:

**Proposition.**

$$\mathrm{tr}(X A X^{-1}) = \mathrm{tr}(A).$$

Hence we can define the trace of an operator  $\mathrm{tr}(\theta) = \mathrm{tr}(A_{\theta})$ , which is independent of our choice of basis. This is an important result. When we study representations, we will have matrices flying all over the place, which are scary. Instead, we often just look at the traces of these matrices. This reduces our problem of studying matrices to plain arithmetic.

When we have too many matrices, we get confused. So we want to put a matrix into a form as simple as possible. One of the simplest form a matrix can take is being diagonal. So we want to know something about diagonalizing matrices.

**Proposition.** Let  $\alpha \in \text{GL}(V)$ , where  $V$  is a finite-dimensional vector space over  $\mathbb{C}$  and  $\alpha^m = \text{id}$  for some positive integer  $m$ . Then  $\alpha$  is diagonalizable.

This follows from the following more general fact:

**Proposition.** Let  $V$  be a finite-dimensional vector space over  $\mathbb{C}$ , and  $\alpha \in \text{End}(V)$ , not necessarily invertible. Then  $\alpha$  is diagonalizable if and only if there is a polynomial  $f$  with distinct linear factors such that  $f(\alpha) = 0$ .

Indeed, we have  $x^m - 1 = \prod (x - \omega^j)$ , where  $\omega = e^{2\pi i/m}$ .

Instead of just one endomorphism, we can look at many endomorphisms.

**Proposition.** A finite family of individually diagonalizable endomorphisms of a vector space over  $\mathbb{C}$  can be simultaneously diagonalized if and only if they commute.

## Basic group theory

We will not review the definition of a group. Instead, we look at some of our favorite groups, since they will be handy examples later on.

**Definition** (Symmetric group  $S_n$ ). The *symmetric group*  $S_n$  is the set of all permutations of  $X = \{1, \dots, n\}$ , i.e. the set of all bijections  $X \rightarrow X$ . We have  $|S_n| = n!$ .

**Definition** (Alternating group  $A_n$ ). The *alternating group*  $A_n$  is the set of products of an even number of transpositions  $(i j)$  in  $S_n$ . We know  $|A_n| = \frac{n!}{2}$ . So this is a subgroup of index 2 and hence normal.

**Definition** (Cyclic group  $C_m$ ). The *cyclic group* of order  $m$ , written  $C_m$  is

$$C_m = \langle x : x^m = 1 \rangle.$$

This also occurs naturally, as  $\mathbb{Z}/m\mathbb{Z}$  over addition, and also the group of  $n$ th roots of unity in  $\mathbb{C}$ . We can view this as a subgroup of  $\text{GL}_1(\mathbb{C}) \cong \mathbb{C}^\times$ . Alternatively, this is the group of rotation symmetries of a regular  $m$ -gon in  $\mathbb{R}^2$ , and can be viewed as a subgroup of  $\text{GL}_2(\mathbb{R})$ .

**Definition** (Dihedral group  $D_{2m}$ ). The *dihedral group*  $D_{2m}$  of order  $2m$  is

$$D_{2m} = \langle x, y : x^m = y^2 = 1, yxy^{-1} = x^{-1} \rangle.$$

This is the symmetry group of a regular  $m$ -gon. The  $x^i$  are the rotations and  $x^i y$  are the reflections. For example, in  $D_8$ ,  $x$  is rotation by  $\frac{\pi}{2}$  and  $y$  is any reflection.

This group can be viewed as a subgroup of  $\text{GL}_2(\mathbb{R})$ , but since it also acts on the vertices, it can be viewed as a subgroup of  $S_m$ .

**Definition** (Quaternion group). The *quaternion group* is given by

$$Q_8 = \langle x, y : x^4 = 1, y^2 = x^2, yxy^{-1} = x^{-1} \rangle.$$

This has order 8, and we write  $i = x, j = y, k = ij, -1 = i^2$ , with

$$Q_8 = \{\pm 1, \pm i, \pm j, \pm k\}.$$

We can view this as a subgroup of  $\mathrm{GL}_2(\mathbb{C})$  via

$$\begin{aligned} 1 &= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, & i &= \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}, & j &= \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, & k &= \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix}, \\ -1 &= \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}, & -i &= \begin{pmatrix} -i & 0 \\ 0 & i \end{pmatrix}, & -j &= \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, & -k &= \begin{pmatrix} 0 & -i \\ -i & 0 \end{pmatrix} \end{aligned}$$

**Definition** (Conjugacy class). The *conjugacy class* of  $g \in G$  is

$$\mathcal{C}_G(g) = \{xgx^{-1} : x \in G\}.$$

**Definition** (Centralizer). The *centralizer* of  $g \in G$  is

$$C_G(g) = \{x \in G : xg = gx\}.$$

Then by the orbit-stabilizer theorem, we have  $|\mathcal{C}_G(g)| = |G : C_G(g)|$ .

**Definition** (Group action). Let  $G$  be a group and  $X$  a set. We say  $G$  *acts on*  $X$  if there is a map  $*$  :  $G \times X \rightarrow X$ , written  $(g, x) \mapsto g * x = gx$  such that

- (i)  $1x = x$
- (ii)  $g(hx) = (gh)x$

The group action can also be characterised in terms of a homomorphism.

**Lemma.** Given an action of  $G$  on  $X$ , we obtain a homomorphism  $\theta : G \rightarrow \mathrm{Sym}(X)$ , where  $\mathrm{Sym}(X)$  is the set of all permutations of  $X$ .

*Proof.* For  $g \in G$ , define  $\theta(g) = \theta_g \in \mathrm{Sym}(X)$  as the function  $X \rightarrow X$  by  $x \mapsto gx$ . This is indeed a permutation of  $X$  because  $\theta_{g^{-1}}$  is an inverse.

Moreover, for any  $g_1, g_2 \in G$ , we get  $\theta_{g_1g_2} = \theta_{g_1}\theta_{g_2}$ , since  $(g_1g_2)x = g_1(g_2x)$ .  $\square$

**Definition** (Permutation representation). The *permutation representation* of a group action  $G$  on  $X$  is the homomorphism  $\theta : G \rightarrow \mathrm{Sym}(X)$  obtained above.

In this course,  $X$  is often a finite-dimensional vector space over  $\mathbb{F}$  (and we write it as  $V$ ), and we want the action to satisfy some more properties. We will require the action to be linear, i.e. for all  $g \in G, \mathbf{v}_1, \mathbf{v}_2 \in V$ , and  $\lambda \in \mathbb{F}$ .

$$g(\mathbf{v}_1 + \mathbf{v}_2) = g\mathbf{v}_1 + g\mathbf{v}_2, \quad g(\lambda\mathbf{v}_1) = \lambda(g\mathbf{v}_1).$$

Alternatively, instead of asking for a map  $G \rightarrow \mathrm{Sym}(X)$ , we would require a map  $G \rightarrow \mathrm{GL}(V)$  instead.

## 2 Basic definitions

We now start doing representation theory. We boringly start by defining a representation. In fact, we will come up with several equivalent definitions of a representation. As always,  $G$  will be a finite group and  $\mathbb{F}$  will be a field, usually  $\mathbb{C}$ .

**Definition (Representation).** Let  $V$  be a finite-dimensional vector space over  $\mathbb{F}$ . A (*linear*) *representation* of  $G$  on  $V$  is a group homomorphism

$$\rho = \rho_V : G \rightarrow \mathrm{GL}(V).$$

We sometimes write  $\rho_g$  for  $\rho_V(g)$ , so for each  $g \in G$ ,  $\rho_g \in \mathrm{GL}(V)$ , and  $\rho_g \rho_h = \rho_{gh}$  and  $\rho_{g^{-1}} = (\rho_g)^{-1}$  for all  $g, h \in G$ .

**Definition (Dimension or degree of representation).** The *dimension* (or *degree*) of a representation  $\rho : G \rightarrow \mathrm{GL}(V)$  is  $\dim_{\mathbb{F}}(V)$ .

Recall that  $\ker \rho \triangleleft G$  and  $G/\ker \rho \cong \rho(G) \leq \mathrm{GL}(V)$ . In the very special case where  $\ker \rho$  is trivial, we give it a name:

**Definition (Faithful representation).** A *faithful* representation is a representation  $\rho$  such that  $\ker \rho = 1$ .

These are the representations where the identity is the only element that does nothing.

An alternative (and of course equivalent) definition of a representation is to observe that a linear representation is “the same” as a linear action of  $G$ .

**Definition (Linear action).** A group  $G$  *acts linearly* on a vector space  $V$  if it acts on  $V$  such that

$$g(\mathbf{v}_1 + \mathbf{v}_2) = g\mathbf{v}_1 + g\mathbf{v}_2, \quad g(\lambda\mathbf{v}_1) = \lambda(g\mathbf{v}_1)$$

for all  $g \in G$ ,  $\mathbf{v}_1, \mathbf{v}_2 \in V$  and  $\lambda \in \mathbb{F}$ . We call this a *linear action*.

Now if  $g$  acts linearly on  $V$ , the map  $G \rightarrow \mathrm{GL}(V)$  defined by  $g \mapsto \rho_g$ , with  $\rho_g : \mathbf{v} \mapsto g\mathbf{v}$ , is a representation in the previous sense. Conversely, given a representation  $\rho : G \rightarrow \mathrm{GL}(V)$ , we have a linear action of  $G$  on  $V$  via  $g\mathbf{v} = \rho(g)\mathbf{v}$ .

In other words, a representation is just a linear action.

**Definition ( $G$ -space/ $G$ -module).** If there is a linear action  $G$  on  $V$ , we say  $V$  is a  $G$ -*space* or  $G$ -*module*.

Alternatively, we can define a  $G$ -space as a module over a (not so) cleverly picked ring.

**Definition (Group algebra).** The *group algebra*  $\mathbb{F}G$  is defined to be the algebra (i.e. a vector space with a bilinear multiplication operation) of formal sums

$$\mathbb{F}G = \left\{ \sum_{g \in G} \alpha_g g : \alpha_g \in F \right\}$$

with the obvious addition and multiplication.

Then we can regard  $\mathbb{F}G$  as a ring, and a  $G$ -space is just an  $\mathbb{F}G$ -module in the sense of IB Groups, Rings and Modules.

**Definition** (Matrix representation).  $R$  is a *matrix representation* of  $G$  of degree  $n$  if  $R$  is a homomorphism  $G \rightarrow \mathrm{GL}_n(\mathbb{F})$ .

We can view this as a representation that acts on  $\mathbb{F}^n$ . Since all finite-dimensional vector spaces are isomorphic to  $\mathbb{F}^n$  for some  $n$ , every representation is equivalent to some matrix representation. In particular, given a linear representation  $\rho : G \rightarrow \mathrm{GL}(V)$  with  $\dim V = n$ , we can get a matrix representation by fixing a basis  $\mathcal{B}$ , and then define the matrix representation  $G \rightarrow \mathrm{GL}_n(\mathbb{F})$  by  $g \mapsto [\rho(g)]_{\mathcal{B}}$ .

Conversely, given a matrix representation  $R$ , we get a linear representation  $\rho$  in the obvious way —  $\rho : G \rightarrow \mathrm{GL}(\mathbb{F}^n)$  by  $g \mapsto \rho_g$  via  $\rho_g(\mathbf{v}) = R_g \mathbf{v}$ .

We have defined representations in four ways — as a homomorphism to  $\mathrm{GL}(V)$ , as linear actions, as  $\mathbb{F}G$ -modules and as matrix representations. Now let's look at some examples.

**Example** (Trivial representation). Given any group  $G$ , take  $V = \mathbb{F}$  (the one-dimensional space), and  $\rho : G \rightarrow \mathrm{GL}(V)$  by  $g \mapsto (\mathrm{id} : \mathbb{F} \rightarrow \mathbb{F})$  for all  $g$ . This is the *trivial representation* of  $G$ , and has degree 1.

Despite being called trivial, trivial representations are highly non-trivial in representation theory. The way they interact with other representations geometrically, topologically etc, and cannot be disregarded. This is a very important representation, despite looking silly.

**Example.** Let  $G = C_4 = \langle x : x^4 = 1 \rangle$ . Let  $n = 2$ , and work over  $\mathbb{F} = \mathbb{C}$ . Then we can define a representation by picking a matrix  $A$ , and then define  $R : x \mapsto A$ . Then the action of other elements follows directly by  $x^j \mapsto A^j$ . Of course, we cannot choose  $A$  arbitrarily. We need to have  $A^4 = I_2$ , and this is easily seen to be the only restriction. So we have the following possibilities:

- (i)  $A$  is diagonal: the diagonal entries can be chosen freely from  $\{\pm 1, \pm i\}$ . Since there are two diagonal entries, we have 16 choices.
- (ii)  $A$  is not diagonal: then it will be equivalent to a diagonal matrix since  $A^4 = I_2$ . So we don't really get anything new.

What we would like to say above is that any matrix representation in which  $X$  is not diagonal is “equivalent” to one in which  $X$  is. To make this notion precise, we need to define what it means for representations to be equivalent, or “isomorphic”.

As usual, we will define the notion of a homomorphism of representations, and then an isomorphism is just an invertible homomorphism.

**Definition** ( $G$ -homomorphism/intertwine). Fix a group  $G$  and a field  $\mathbb{F}$ . Let  $V, V'$  be finite-dimensional vector spaces over  $\mathbb{F}$  and  $\rho : G \rightarrow \mathrm{GL}(V)$  and  $\rho' : G \rightarrow \mathrm{GL}(V')$  be representations of  $G$ . The linear map  $\varphi : V \rightarrow V'$  is a  $G$ -homomorphism if

$$\varphi \circ \rho(g) = \rho'(g) \circ \varphi \tag{*}$$



for all  $g \in G$ . In other words, the following diagram commutes:

$$\begin{array}{ccc} V & \xrightarrow{\rho_g} & V \\ \downarrow \varphi & & \downarrow \varphi \\ V' & \xrightarrow{\rho'_g} & V' \end{array}$$

i.e. no matter which way we go from  $V$  (top left) to  $V'$  (bottom right), we still get the same map.

We say  $\varphi$  *intertwines*  $\rho$  and  $\rho'$ . We write  $\text{Hom}_G(V, V')$  for the  $\mathbb{F}$ -space of all these maps.

**Definition** ( $G$ -isomorphism). A  $G$ -homomorphism is a  $G$ -isomorphism if  $\varphi$  is bijective.

**Definition** (Equivalent/isomorphic representations). Two representations  $\rho, \rho'$  are *equivalent* or *isomorphic* if there is a  $G$ -isomorphism between them.

If  $\varphi$  is a  $G$ -isomorphism, then we can write  $(*)$  as

$$\rho' = \varphi \rho \varphi^{-1}. \quad (\dagger)$$

**Lemma.** The relation of “being isomorphic” is an equivalence relation on the set of all linear representations of  $G$  over  $\mathbb{F}$ .

This is an easy exercise left for the reader.

**Lemma.** If  $\rho, \rho'$  are isomorphic representations, then they have the same dimension.

*Proof.* Trivial since isomorphisms between vector spaces preserve dimension.  $\square$

The converse is false.

**Example.**  $C_4$  has four non-isomorphic one-dimensional representations: if  $\omega = e^{2\pi i/4}$ , then we have the representations

$$\rho_j(x^i) = \omega^{ij},$$

for  $0 \leq i \leq 3$ , which are not equivalent for different  $j = 0, 1, 2, 3$ .

Our other formulations of representations give us other formulations of isomorphisms.

Given a group  $G$ , field  $\mathbb{F}$ , a vector space  $V$  of dimension  $n$ , and a representation  $\rho : G \rightarrow \text{GL}(V)$ , we fix a basis  $\mathcal{B}$  of  $V$ . Then we get a linear  $G$ -isomorphism  $\varphi : V \rightarrow \mathbb{F}^n$  by  $\mathbf{v} \mapsto [\mathbf{v}]_{\mathcal{B}}$ , i.e. by writing  $\mathbf{v}$  as a column vector with respect to  $\mathcal{B}$ . Then we get a representation  $\rho' : G \rightarrow \text{GL}(\mathbb{F}^n)$  isomorphic to  $\rho$ . In other words, every representation is isomorphic to a matrix representation:

$$\begin{array}{ccc} V & \xrightarrow{\rho} & V \\ \downarrow \varphi & & \downarrow \varphi \\ \mathbb{F}^n & \xrightarrow{\rho'} & \mathbb{F}^n \end{array}$$

Thus, in terms of matrix representations, the representations  $R : G \rightarrow \mathrm{GL}_n(\mathbb{F})$  and  $R' : G \rightarrow \mathrm{GL}_n(\mathbb{F})$  are  $G$ -isomorphic if there exists some non-singular matrix  $X \in \mathrm{GL}_n(\mathbb{F})$  such that

$$R'(g) = XR(g)X^{-1}$$

for all  $g$ .

Alternatively, in terms of linear  $G$ -actions, the actions of  $G$  on  $V$  and  $V'$  are  $G$ -isomorphic if there is some isomorphism  $\varphi : V \rightarrow V'$  such that

$$g\varphi(\mathbf{v}) = \varphi(g\mathbf{v}).$$

for all  $g \in G, \mathbf{v} \in V$ . It is an easy check that this is just a reformulation of our previous definition.

Just as we have subgroups and subspaces, we have the notion of subrepresentation.

**Definition** ( $G$ -subspace). Let  $\rho : G \rightarrow \mathrm{GL}(V)$  be a representation of  $G$ . We say  $W \leq V$  is a  $G$ -subspace if it is a subspace that is  $\rho(G)$ -invariant, i.e.

$$\rho_g(W) \leq W$$

for all  $g \in G$ .

Obviously,  $\{0\}$  and  $V$  are  $G$ -subspaces. These are the trivial  $G$ -subspaces.

**Definition** (Irreducible/simple representation). A representation  $\rho$  is *irreducible* or *simple* if there are no proper non-zero  $G$ -subspaces.

**Example.** Any 1-dimensional representation of  $G$  is necessarily irreducible, but the converse does not hold, or else life would be very boring. We will later see that  $D_8$  has a two-dimensional irreducible complex representation.

**Definition** (Subrepresentation). If  $W$  is a  $G$ -subspace, then the corresponding map  $G \rightarrow \mathrm{GL}(W)$  given by  $g \mapsto \rho(g)|_W$  gives us a new representation of  $W$ . This is a *subrepresentation* of  $\rho$ .

There is a nice way to characterize this in terms of matrices.

**Lemma.** Let  $\rho : G \rightarrow \mathrm{GL}(V)$  be a representation, and  $W$  be a  $G$ -subspace of  $V$ . If  $\mathcal{B} = \{\mathbf{v}_1, \dots, \mathbf{v}_n\}$  is a basis containing a basis  $\mathcal{B}_1 = \{\mathbf{v}_1, \dots, \mathbf{v}_m\}$  of  $W$  (with  $0 < m < n$ ), then the matrix of  $\rho(g)$  with respect to  $\mathcal{B}$  has the block upper triangular form

$$\begin{pmatrix} * & * \\ 0 & * \end{pmatrix}$$

for each  $g \in G$ .

This follows directly from definition.

However, we do not like block triangular matrices. What we really like is block diagonal matrices, i.e. we want the top-right block to vanish. There is no *a priori* reason why this has to be true — it is possible that we cannot find another  $G$ -invariant complement to  $W$ .

**Definition** ((In)decomposable representation). A representation  $\rho : G \rightarrow \text{GL}(V)$  is *decomposable* if there are proper  $G$ -invariant subspaces  $U, W \leq V$  with

$$V = U \oplus W.$$

We say  $\rho$  is a direct sum  $\rho_u \oplus \rho_w$ .

If no such decomposition exists, we say that  $\rho$  is *indecomposable*.

It is clear that irreducibility implies indecomposability. The converse is not necessarily true. However, over a field of characteristic zero, it turns out irreducibility is the same as indecomposability for finite groups, as we will see in the next chapter.

Again, we can formulate this in terms of matrices.

**Lemma.** Let  $\rho : G \rightarrow \text{GL}(V)$  be a decomposable representation with  $G$ -invariant decomposition  $V = U \oplus W$ . Let  $\mathcal{B}_1 = \{\mathbf{u}_1, \dots, \mathbf{u}_k\}$  and  $\mathcal{B}_2 = \{\mathbf{w}_1, \dots, \mathbf{w}_\ell\}$  be bases for  $U$  and  $W$ , and  $\mathcal{B} = \mathcal{B}_1 \cup \mathcal{B}_2$  be the corresponding basis for  $V$ . Then with respect to  $\mathcal{B}$ , we have

$$[\rho(g)]_{\mathcal{B}} = \begin{pmatrix} [\rho_u(g)]_{\mathcal{B}_1} & 0 \\ 0 & [\rho_w(g)]_{\mathcal{B}_2} \end{pmatrix}$$

**Example.** Let  $G = D_6$ . Then every irreducible complex representation has dimension at most 2.

To show this, let  $\rho : G \rightarrow \text{GL}(V)$  be an irreducible  $G$ -representation. Let  $r \in G$  be a (non-identity) rotation and  $s \in G$  be a reflection. These generate  $D_6$ .

Take an eigenvector  $v$  of  $\rho(r)$ . So  $\rho(r)v = \lambda v$  for some  $\lambda \neq 0$  (since  $\rho(r)$  is invertible, it cannot have zero eigenvalues). Let

$$W = \langle v, \rho(s)v \rangle \leq V$$

be the space spanned by the two vectors. We now check this is fixed by  $\rho$ . Firstly, we have

$$\rho(s)\rho(s)\mathbf{v} = \rho(e)\mathbf{v} = \mathbf{v} \in W,$$

and

$$\rho(r)\rho(s)\mathbf{v} = \rho(s)\rho(r^{-1})\mathbf{v} = \lambda^{-1}\rho(s)\mathbf{v} \in W.$$

Also,  $\rho(r)\mathbf{v} = \lambda\mathbf{v} \in W$  and  $\rho(s)\mathbf{v} \in W$ . So  $W$  is  $G$ -invariant. Since  $V$  is irreducible, we must have  $W = V$ . So  $V$  has dimension at most 2.

The reverse operation of decomposition is taking direct sums.

**Definition** (Direct sum). Let  $\rho : G \rightarrow \text{GL}(V)$  and  $\rho' : G \rightarrow \text{GL}(V')$  be representations of  $G$ . Then the *direct sum* of  $\rho, \rho'$  is the representation

$$\rho \oplus \rho' : G \rightarrow \text{GL}(V \oplus V')$$

given by

$$(\rho \oplus \rho')(g)(\mathbf{v} + \mathbf{v}') = \rho(g)\mathbf{v} + \rho'(g)\mathbf{v}'.$$

In terms of matrices, for matrix representations  $R : G \rightarrow \text{GL}_n(\mathbb{F})$  and  $R' : G \rightarrow \text{GL}_{n'}(\mathbb{F})$ , define  $R \oplus R' : G \rightarrow \text{GL}_{n+n'}(\mathbb{F})$  by

$$(R \oplus R')(g) = \begin{pmatrix} R(g) & 0 \\ 0 & R'(g) \end{pmatrix}.$$

The direct sum was easy to define. It turns out we can also multiply two representations, known as the tensor products. However, to do this, we need to know what the tensor product of two vector spaces is. We will not do this yet.

### 3 Complete reducibility and Maschke's theorem

In representation theory, we would like to decompose a representation into sums of irreducible representations. Unfortunately, this is not always possible. When we can, we say the representation is completely reducible.

**Definition** (Completely reducible/semisimple representation). A representation  $\rho : G \rightarrow \text{GL}(V)$  is *completely reducible* or *semisimple* if it is the direct sum of irreducible representations.

Clearly, irreducible implies completely reducible.

Not all representations are completely reducible. An example is to be found on example sheet 1. These are in fact not too hard to find. For example, there are representations of  $\mathbb{Z}$  over  $\mathbb{C}$  that are not completely reducible, and also a non-completely reducible representation of  $C_p$  over  $\mathbb{F}_p$ .

However, it turns out we have the following theorem:

**Theorem.** Every finite-dimensional representation  $V$  of a finite group over a field of characteristic 0 is completely reducible, namely,  $V \cong V_1 \oplus \cdots \oplus V_r$  is a direct sum of irreducible representations.

By induction, it suffices to prove the following:

**Theorem** (Maschke's theorem). Let  $G$  be a finite group, and  $\rho : G \rightarrow \text{GL}(V)$  a representation over a finite-dimensional vector space  $V$  over a field  $\mathbb{F}$  with  $\text{char } \mathbb{F} = 0$ . If  $W$  is a  $G$ -subspace of  $V$ , then there exists a  $G$ -subspace  $U$  of  $V$  such that  $V = W \oplus U$ .

We will prove this many times.

*Proof.* From linear algebra, we know  $W$  has a complementary subspace. Let  $W'$  be any vector subspace complement of  $W$  in  $V$ , i.e.  $V = W \oplus W'$  as vector spaces.

Let  $q : V \rightarrow W$  be the projection of  $V$  onto  $W$  along  $W'$ , i.e. if  $\mathbf{v} = \mathbf{w} + \mathbf{w}'$  with  $\mathbf{w} \in W, \mathbf{w}' \in W'$ , then  $q(\mathbf{v}) = \mathbf{w}$ .

The clever bit is to take this  $q$  and tweak it a little bit. Define

$$\bar{q} : \mathbf{v} \mapsto \frac{1}{|G|} \sum_{g \in G} \rho(g)q(\rho(g^{-1})\mathbf{v}).$$

This is in some sense an averaging operator, averaging over what  $\rho(g)$  does. Here we need the field to have characteristic zero such that  $\frac{1}{|G|}$  is well-defined. In fact, this theorem holds as long as  $\text{char } F \nmid |G|$ .

For simplicity of expression, we drop the  $\rho$ 's, and simply write

$$\bar{q} : \mathbf{v} \mapsto \frac{1}{|G|} \sum_{g \in G} gq(g^{-1}\mathbf{v}).$$

We first claim that  $\bar{q}$  has image in  $W$ . This is true since for  $\mathbf{v} \in V$ ,  $q(g^{-1}\mathbf{v}) \in W$ , and  $gW \leq W$ . So this is a little bit like a projection.

Next, we claim that for  $\mathbf{w} \in W$ , we have  $\bar{q}(\mathbf{w}) = \mathbf{w}$ . This follows from the fact that  $q$  itself fixes  $W$ . Since  $W$  is  $G$ -invariant, we have  $g^{-1}\mathbf{w} \in W$  for all  $\mathbf{w} \in W$ . So we get

$$\bar{q}(\mathbf{w}) = \frac{1}{|G|} \sum_{g \in G} gq(g^{-1}\mathbf{w}) = \frac{1}{|G|} \sum_{g \in G} gg^{-1}\mathbf{w} = \frac{1}{|G|} \sum_{g \in G} \mathbf{w} = \mathbf{w}.$$

Putting these together, this tells us  $\bar{q}$  is a projection onto  $W$ .

Finally, we claim that for  $h \in G$ , we have  $h\bar{q}(\mathbf{v}) = \bar{q}(h\mathbf{v})$ , i.e. it is invariant under the  $G$ -action. This follows easily from definition:

$$\begin{aligned} h\bar{q}(\mathbf{v}) &= h \frac{1}{|G|} \sum_{g \in G} gq(g^{-1}\mathbf{v}) \\ &= \frac{1}{|G|} \sum_{g \in G} hgq(g^{-1}\mathbf{v}) \\ &= \frac{1}{|G|} \sum_{g \in G} (hg)q((hg)^{-1}h\mathbf{v}) \end{aligned}$$

We now put  $g' = hg$ . Since  $h$  is invertible, summing over all  $g$  is the same as summing over all  $g'$ . So we get

$$\begin{aligned} &= \frac{1}{|G|} \sum_{g' \in G} g'q(g'^{-1}(h\mathbf{v})) \\ &= \bar{q}(h\mathbf{v}). \end{aligned}$$

We are pretty much done. We finally show that  $\ker \bar{q}$  is  $G$ -invariant. If  $\mathbf{v} \in \ker \bar{q}$  and  $h \in G$ , then  $\bar{q}(h\mathbf{v}) = h\bar{q}(\mathbf{v}) = 0$ . So  $h\mathbf{v} \in \ker \bar{q}$ .

Thus

$$V = \text{im } \bar{q} \oplus \ker \bar{q} = W \oplus \ker \bar{q}$$

is a  $G$ -subspace decomposition.  $\square$

The crux of the whole proof is the definition of  $\bar{q}$ . Once we have that, everything else follows easily.

Yet, for the whole proof to work, we need  $\frac{1}{|G|}$  to exist, which in particular means  $G$  must be a finite group. There is no obvious way to generalize this to infinite groups. So let's try a different proof.

The second proof uses inner products, and hence we must take  $\mathbb{F} = \mathbb{C}$ . This can be generalized to infinite compact groups, as we will later see.

Recall the definition of an inner product:

**Definition** (Hermitian inner product). For  $V$  a complex space,  $\langle \cdot, \cdot \rangle$  is a *Hermitian inner product* if

- (i)  $\langle \mathbf{v}, \mathbf{w} \rangle = \overline{\langle \mathbf{w}, \mathbf{v} \rangle}$  (Hermitian)
- (ii)  $\langle \mathbf{v}, \lambda_1 \mathbf{w}_1 + \lambda_2 \mathbf{w}_2 \rangle = \lambda_1 \langle \mathbf{v}, \mathbf{w}_1 \rangle + \lambda_2 \langle \mathbf{v}, \mathbf{w}_2 \rangle$  (sesquilinear)
- (iii)  $\langle \mathbf{v}, \mathbf{v} \rangle > 0$  if  $\mathbf{v} \neq 0$  (positive definite)

**Definition** ( $G$ -invariant inner product). An inner product  $\langle \cdot, \cdot \rangle$  is in addition  $G$ -invariant if

$$\langle g\mathbf{v}, g\mathbf{w} \rangle = \langle \mathbf{v}, \mathbf{w} \rangle.$$

**Proposition.** Let  $W$  be  $G$ -invariant subspace of  $V$ , and  $V$  have a  $G$ -invariant inner product. Then  $W^\perp$  is also  $G$ -invariant.

*Proof.* To prove this, we have to show that for all  $\mathbf{v} \in W^\perp$ ,  $g \in G$ , we have  $g\mathbf{v} \in W^\perp$ .

This is not hard. We know  $\mathbf{v} \in W^\perp$  if and only if  $\langle \mathbf{v}, \mathbf{w} \rangle = 0$  for all  $\mathbf{w} \in W$ . Thus, using the definition of  $G$ -invariance, for  $\mathbf{v} \in W^\perp$ , we know

$$\langle g\mathbf{v}, g\mathbf{w} \rangle = 0$$

for all  $g \in G$ ,  $\mathbf{w} \in W$ .

Thus for all  $\mathbf{w}' \in W$ , pick  $\mathbf{w} = g^{-1}\mathbf{w}' \in W$ , and this shows  $\langle g\mathbf{v}, \mathbf{w}' \rangle = 0$ . Hence  $g\mathbf{v} \in W^\perp$ .  $\square$

Hence if there is a  $G$ -invariant inner product on any complex  $G$ -space  $V$ , then we get another proof of Maschke's theorem.

**Theorem** (Weyl's unitary trick). Let  $\rho$  be a complex representation of a finite group  $G$  on the complex vector space  $V$ . Then there is a  $G$ -invariant Hermitian inner product on  $V$ .

Recall that the unitary group is defined by

$$\begin{aligned} \mathrm{U}(V) &= \{f \in \mathrm{GL}(V) : \langle f(\mathbf{u}), f(\mathbf{v}) \rangle = \langle \mathbf{u}, \mathbf{v} \rangle \text{ for all } \mathbf{u}, \mathbf{v} \in V\} \\ &= \{A \in \mathrm{GL}_n(\mathbb{C}) : AA^\dagger = I\} \\ &= \mathrm{U}(n). \end{aligned}$$

Then we have an easy corollary:

**Corollary.** Every finite subgroup of  $\mathrm{GL}_n(\mathbb{C})$  is conjugate to a subgroup of  $\mathrm{U}(n)$ .

*Proof.* We start by defining an arbitrary inner product on  $V$ : take a basis  $\mathbf{e}_1, \dots, \mathbf{e}_n$ . Define  $(\mathbf{e}_i, \mathbf{e}_j) = \delta_{ij}$ , and extend it sesquilinearly. Define a new inner product

$$\langle \mathbf{v}, \mathbf{w} \rangle = \frac{1}{|G|} \sum_{g \in G} (g\mathbf{v}, g\mathbf{w}).$$

We now check this is sesquilinear, positive-definite and  $G$ -invariant. Sesquilinearity and positive-definiteness are easy. So we just check  $G$ -invariance: we have

$$\begin{aligned} \langle h\mathbf{v}, h\mathbf{w} \rangle &= \frac{1}{|G|} \sum_{g \in G} ((gh)\mathbf{v}, (gh)\mathbf{w}) \\ &= \frac{1}{|G|} \sum_{g' \in G} (g'\mathbf{v}, g'\mathbf{w}) \\ &= \langle \mathbf{v}, \mathbf{w} \rangle. \end{aligned} \quad \square$$

Note that this trick also works for real representations.

Again, we had to take the inverse  $\frac{1}{|G|}$ . To generalize this to compact groups, we will later replace the sum by an integral, and  $\frac{1}{|G|}$  by a volume element. This is fine since  $(g'\mathbf{v}, g'\mathbf{w})$  is a complex number and we know how to integrate complex numbers. This cannot be easily done in the case of  $\bar{q}$ .

Recall we defined the group algebra of  $G$  to be the  $\mathbb{F}$ -vector space

$$\mathbb{F}G = \langle \mathbf{e}_g : g \in G \rangle,$$

i.e. its basis is in one-to-one correspondence with the elements of  $G$ . There is a linear  $G$ -action defined in the obvious way: for  $h \in G$ , we define

$$h \sum_g a_g \mathbf{e}_g = \sum_g a_g \mathbf{e}_{hg} = \sum_{g'} a_{h^{-1}g'} \mathbf{e}_{g'}.$$

This gives a representation of  $G$ .

**Definition** (Regular representation and regular module). The *regular representation* of a group  $G$ , written  $\rho_{\text{reg}}$ , is the natural action of  $G$  on  $\mathbb{F}G$ .  $\mathbb{F}G$  is called the *regular module*.

It is a nice faithful representation of dimension  $|G|$ . Far more importantly, it turns out that *every* irreducible representation of  $G$  is a subrepresentation of the regular representation.

**Proposition.** Let  $\rho$  be an irreducible representation of the finite group  $G$  over a field of characteristic 0. Then  $\rho$  is isomorphic to a subrepresentation of  $\rho_{\text{reg}}$ .

This will also follow from a more general result using character theory later.

*Proof.* Take  $\rho : G \rightarrow \text{GL}(V)$  be irreducible, and pick our favorite  $0 \neq \mathbf{v} \in V$ . Now define  $\theta : \mathbb{F}G \rightarrow V$  by

$$\sum_g a_g \mathbf{e}_g \mapsto \sum_g a_g (g\mathbf{v}).$$

It is not hard to see this is a  $G$ -homomorphism. We are now going to exploit the fact that  $V$  is irreducible. Thus, since  $\text{im } \theta$  is a  $G$ -subspace of  $V$  and non-zero, we must have  $\text{im } \theta = V$ . Also,  $\ker \theta$  is a  $G$ -subspace of  $\mathbb{F}G$ . Now let  $W$  be the  $G$ -complement of  $\ker \theta$  in  $\mathbb{F}G$ , which exists by Maschke's theorem. Then  $W \leq \mathbb{F}G$  is a  $G$ -subspace and

$$\mathbb{F}G = \ker \theta \oplus W.$$

Then the isomorphism theorem gives

$$W \cong \mathbb{F}G / \ker \theta \cong \text{im } \theta = V. \quad \square$$

More generally,  $G$  doesn't have to just act on the vector space generated by itself. If  $G$  acts on any set, we can take that space and create a space acted on by  $G$ .

**Definition** (Permutation representation). Let  $\mathbb{F}$  be a field, and let  $G$  act on a set  $X$ . Let  $\mathbb{F}X = \langle \mathbf{e}_x : x \in X \rangle$  with a  $G$ -action given by

$$g \sum_x a_x \mathbf{e}_x = \sum_x a_x \mathbf{e}_{gx}.$$

So we have a  $G$ -space on  $\mathbb{F}X$ . The representation  $G \rightarrow \text{GL}(\mathbb{F}X)$  is the corresponding *permutation representation*.

## 4 Schur's lemma

The topic of this chapter is *Schur's lemma*, an easy yet extremely useful lemma in representation theory.

**Theorem** (Schur's lemma).

- (i) Assume  $V$  and  $W$  are irreducible  $G$ -spaces over a field  $\mathbb{F}$ . Then any  $G$ -homomorphism  $\theta : V \rightarrow W$  is either zero or an isomorphism.
- (ii) If  $\mathbb{F}$  is algebraically closed, and  $V$  is an irreducible  $G$ -space, then any  $G$ -endomorphism  $V \rightarrow V$  is a scalar multiple of the identity map  $\iota_V$ .

*Proof.*

- (i) Let  $\theta : V \rightarrow W$  be a  $G$ -homomorphism between irreducibles. Then  $\ker \theta$  is a  $G$ -subspace of  $V$ , and since  $V$  is irreducible, either  $\ker \theta = 0$  or  $\ker \theta = V$ . Similarly,  $\text{im } \theta$  is a  $G$ -subspace of  $W$ , and as  $W$  is irreducible, we must have  $\text{im } \theta = 0$  or  $\text{im } \theta = W$ . Hence either  $\ker \theta = V$ , in which case  $\theta = 0$ , or  $\ker \theta = 0$  and  $\text{im } \theta = W$ , i.e.  $\theta$  is a bijection.
- (ii) Since  $\mathbb{F}$  is algebraically closed,  $\theta$  has an eigenvalue  $\lambda$ . Then  $\theta - \lambda \iota_V$  is a singular  $G$ -endomorphism of  $V$ . So by (i), it must be the zero map. So  $\theta = \lambda \iota_V$ .  $\square$

Recall that the  $\mathbb{F}$ -space  $\text{Hom}_G(V, W)$  is the space of all  $G$ -homomorphisms  $V \rightarrow W$ . If  $V = W$ , we write  $\text{End}_G(V)$  for the  $G$ -endomorphisms of  $V$ .

**Corollary.** If  $V, W$  are irreducible complex  $G$ -spaces, then

$$\dim_{\mathbb{C}} \text{Hom}_G(V, W) = \begin{cases} 1 & V, W \text{ are } G\text{-isomorphic} \\ 0 & \text{otherwise} \end{cases}$$

*Proof.* If  $V$  and  $W$  are not isomorphic, then the only possible map between them is the zero map by Schur's lemma.

Otherwise, suppose  $V \cong W$  and let  $\theta_1, \theta_2 \in \text{Hom}_G(V, W)$  be both non-zero. By Schur's lemma, they are isomorphisms, and hence invertible. So  $\theta_2^{-1}\theta_1 \in \text{End}_G(V)$ . Thus  $\theta_2^{-1}\theta_1 = \lambda \iota_V$  for some  $\lambda \in \mathbb{C}$ . Thus  $\theta_1 = \lambda \theta_2$ .  $\square$

We have another less obvious corollary.

**Corollary.** If  $G$  is a finite group and has a faithful complex irreducible representation, then its center  $Z(G)$  is cyclic.

This is a useful result — it allows us transfer our representation-theoretic knowledge (the existence of a faithful complex irreducible representation) to group theoretic knowledge (center of group being cyclic). This will become increasingly common in the future, and is a good thing since representations are easy and groups are hard.

The converse, however, is not true. For this, see example sheet 1, question 10.



*Proof.* Let  $\rho : G \rightarrow \text{GL}(V)$  be a faithful irreducible complex representation. Let  $z \in Z(G)$ . So  $zg = gz$  for all  $g \in G$ . Hence  $\phi_z : \mathbf{v} \mapsto z\mathbf{v}$  is a  $G$ -endomorphism on  $V$ . Hence by Schur's lemma, it is multiplication by a scalar  $\mu_z$ , say. Thus  $z\mathbf{v} = \mu_z\mathbf{v}$  for all  $\mathbf{v} \in V$ .

Then the map

$$\begin{aligned} \sigma : Z(G) &\rightarrow \mathbb{C}^\times \\ z &\mapsto \mu_z \end{aligned}$$

is a representation of  $Z(G)$ . Since  $\rho$  is faithful, so is  $\sigma$ . So  $Z(G) = \{\mu_z : z \in Z(G)\}$  is isomorphic to a finite subgroup of  $\mathbb{C}^\times$ , hence cyclic.  $\square$

**Corollary.** The irreducible complex representations of a finite abelian group  $G$  are all 1-dimensional.

*Proof.* We can use the fact that commuting diagonalizable matrices are simultaneously diagonalizable. Thus for every irreducible  $V$ , we can pick some  $\mathbf{v} \in V$  that is an eigenvector for each  $g \in G$ . Thus  $\langle \mathbf{v} \rangle$  is a  $G$ -subspace. As  $V$  is irreducible, we must have  $V = \langle \mathbf{v} \rangle$ .

Alternatively, we can prove this in a representation-theoretic way. Let  $V$  be an irreducible complex representation. For each  $g \in G$ , the map

$$\begin{aligned} \theta_g : V &\rightarrow V \\ \mathbf{v} &\mapsto g\mathbf{v} \end{aligned}$$

is a  $G$ -endomorphism of  $V$ , since it commutes with the other group elements. Since  $V$  is irreducible,  $\theta_g = \lambda_g \iota_V$  for some  $\lambda_g \in \mathbb{C}$ . Thus

$$g\mathbf{v} = \lambda_g\mathbf{v}$$

for any  $g$ . As  $V$  is irreducible, we must have  $V = \langle \mathbf{v} \rangle$ .  $\square$

Note that this result fails over  $\mathbb{R}$ . For example,  $C_3$  has a two irreducible real representations, one of dimension 1 and one of dimension 2.

We can do something else. Recall that every finite abelian group  $G$  isomorphic to a product of abelian groups. In fact, it can be written as a product of  $C_{p^\alpha}$  for various primes  $p$  and  $\alpha \geq 1$ , and the factors are uniquely determined up to order.

This you already know from IB Groups Rings and Modules. You might be born knowing it — it's such a fundamental fact of nature.

**Proposition.** The finite abelian group  $G = C_{n_1} \times \cdots \times C_{n_r}$  has precisely  $|G|$  irreducible representations over  $\mathbb{C}$ .

This is not a coincidence. We will later show that the number of irreducible representations is the number of conjugacy classes of the group. In abelian groups, each conjugacy class is just a singleton, and hence this result.

*Proof.* Write

$$G = \langle x_1 \rangle \times \cdots \times \langle x_r \rangle,$$

where  $|x_j| = n_j$ . Any irreducible representation  $\rho$  must be one-dimensional. So we have

$$\rho : G \rightarrow \mathbb{C}^\times.$$

Let  $\rho(1, \dots, x_j, \dots, 1) = \lambda_j$ . Then since  $\rho$  is a homomorphism, we must have  $\lambda_j^{n_j} = 1$ . Therefore  $\lambda_j$  is an  $n_j$ th root of unity.

Now the values  $(\lambda_1, \dots, \lambda_r)$  determine  $\rho$  completely, namely

$$\rho(x_1^{j_1}, \dots, x_r^{j_r}) = \lambda_1^{j_1} \cdots \lambda_r^{j_r}.$$

Also, whenever  $\lambda_i$  is an  $n_i$ th root of unity for each  $i$ , then the above formula gives a well-defined representation. So there is a one-to-one correspondence  $\rho \leftrightarrow (\lambda_1, \dots, \lambda_r)$ , with  $\lambda_j^{n_j} = 1$ .

Since for each  $j$ , there are  $n_j$  many  $n_j$ th roots of unity, it follows that there are  $|G| = n_1 \cdots n_r$  many choices of the  $\lambda_i$ . Thus the proposition.  $\square$

### Example.

- (i) Consider  $G = C_4 = \langle x \rangle$ . The four 1-dimensional irreducible representations are given by

	1	$x$	$x^2$	$x^3$
$\rho_1$	1	1	1	1
$\rho_2$	1	$i$	-1	$-i$
$\rho_3$	1	-1	1	-1
$\rho_4$	1	$-i$	-1	$i$

- (ii) Consider the Klein four group  $G = V_R = \langle x_1 \rangle \times \langle x_2 \rangle$ . The irreducible representations are

	1	$x_1$	$x_2$	$x_1 x_2$
$\rho_1$	1	1	1	1
$\rho_2$	1	1	-1	-1
$\rho_3$	1	-1	1	-1
$\rho_4$	1	-1	-1	1

These are also known as character tables, and we will spend quite a lot of time computing these for non-abelian groups.

Note that there is no “natural” one-to-one correspondence between the elements of  $G$  and the representations of  $G$  (for  $G$  finite-abelian). If we choose an isomorphism  $G \cong C_{n_1} \times \cdots \times C_{n_r}$ , then we can identify the two sets, but it depends on the choice of the isomorphism (while the decomposition is unique, we can pick a different generator of, say,  $C_{n_1}$  and get a different isomorphism to the same decomposition).

### Isotypical decompositions

Recall that we proved we can decompose any  $G$ -representation into a sum of irreducible representations. Is this decomposition unique? If it isn't, can we say anything about, say, the size of the irreducible representations, or the number of factors in the decomposition?

We know any diagonalizable endomorphism  $\alpha : V \rightarrow V$  for a vector space  $V$  gives us a vector space decomposition

$$V = \bigoplus_{\lambda} V(\lambda),$$

where

$$V(\lambda) = \{\mathbf{v} \in V : \alpha(\mathbf{v}) = \lambda\mathbf{v}\}.$$

This is canonical in that it depends on  $\alpha$  alone, and nothing else.

If  $V$  is moreover a  $G$ -representation, how does this tie in to the decomposition of  $V$  into the irreducible representations?

Let's do an example.

**Example.** Consider  $G = D_6 \cong S_3 = \langle r, s : r^3 = s^2 = 1, rs = sr^{-1} \rangle$ . We have previously seen that each irreducible representation has dimension at most 2. We spot at least three irreducible representations:

1	triad	$r \mapsto 1$	$s \mapsto 1$
S	sign	$r \mapsto 1$	$s \mapsto -1$
W	2-dimensional		

The last representation is the action of  $D_6$  on  $\mathbb{R}^2$  in the natural way, i.e. the rotations and reflections of the plane that corresponds to the symmetries of the triangle. It is helpful to view this as a complex representation in order to make the matrix look nice. The 2-dimensional representation  $(\rho, W)$  is defined by  $W = \mathbb{C}^2$ , where  $r$  and  $s$  act on  $W$  as

$$\rho(r) = \begin{pmatrix} \omega & 0 \\ 0 & \omega^2 \end{pmatrix}, \quad \rho(s) = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix},$$

and  $\omega = e^{2\pi i/3}$  is a third root of unity. We will now show that these are indeed all the irreducible representations, by decomposing any representation into sum of these.

So let's decompose an arbitrary representation. Let  $(\rho', V)$  be any complex representation of  $G$ . Since  $\rho'(r)$  has order 3, it is diagonalizable has eigenvalues  $1, \omega, \omega^2$ . We diagonalize  $\rho'(r)$  and then  $V$  splits as a vector space into the eigenspaces

$$V = V(1) \oplus V(\omega) \oplus V(\omega^2).$$

Since  $srs^{-1} = r^{-1}$ , we know  $\rho'(s)$  preserves  $V(1)$  and interchanges  $V(\omega)$  and  $V(\omega^2)$ .

Now we decompose  $V(1)$  into  $\rho'(s)$  eigenspaces, with eigenvalues  $\pm 1$ . Since  $r$  has to act trivially on these eigenspaces,  $V(1)$  splits into sums of copies of the irreducible representations 1 and S.

For the remaining mess, choose a basis  $\mathbf{v}_1, \dots, \mathbf{v}_n$  of  $V(\omega)$ , and let  $\mathbf{v}'_j = \rho'(s)\mathbf{v}_j$ . Then  $\rho'(s)$  acts on the two-dimensional space  $\langle \mathbf{v}_j, \mathbf{v}'_j \rangle$  as  $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ , while  $\rho'(r)$  acts as  $\begin{pmatrix} \omega & 0 \\ 0 & \omega^2 \end{pmatrix}$ . This means  $V(\omega) \oplus V(\omega^2)$  decomposes into many copies of W.

We did this for  $D_6$  by brute force. How do we generalize this? We first have the following lemma:

**Lemma.** Let  $V, V_1, V_2$  be  $G$ -vector spaces over  $\mathbb{F}$ . Then

- (i)  $\text{Hom}_G(V, V_1 \oplus V_2) \cong \text{Hom}_G(V, V_1) \oplus \text{Hom}_G(V, V_2)$
- (ii)  $\text{Hom}_G(V_1 \oplus V_2, V) \cong \text{Hom}_G(V_1, V) \oplus \text{Hom}_G(V_2, V)$ .

*Proof.* The proof is to write down the obvious homomorphisms and inverses. Define the projection map

$$\pi_i : V_1 \oplus V_2 \rightarrow V_i,$$

which is the  $G$ -linear projection onto  $V_i$ .

Then we can define the  $G$ -homomorphism

$$\begin{aligned} \text{Hom}_G(V, V_1 \oplus V_2) &\mapsto \text{Hom}_G(V, V_1) \oplus \text{Hom}_G(V, V_2) \\ \varphi &\mapsto (\pi_1\varphi, \pi_2\varphi). \end{aligned}$$

Then the map  $(\psi_1, \psi_2) \mapsto \psi_1 + \psi_2$  is an inverse.

For the second part, we have the homomorphism  $\varphi \mapsto (\varphi|_{V_1}, \varphi|_{V_2})$  with inverse  $(\psi_1, \psi_2) \mapsto \psi_1\pi_1 + \psi_2\pi_2$ .  $\square$

**Lemma.** Let  $\mathbb{F}$  be an algebraically closed field, and  $V$  be a representation of  $G$ . Suppose  $V = \bigoplus_{i=1}^n V_i$  is its decomposition into irreducible components. Then for each irreducible representation  $S$  of  $G$ ,

$$|\{j : V_j \cong S\}| = \dim \text{Hom}_G(S, V).$$

This tells us we can count the multiplicity of  $S$  in  $V$  by looking at the homomorphisms.

*Proof.* We induct on  $n$ . If  $n = 0$ , then this is a trivial space. If  $n = 1$ , then  $V$  itself is irreducible, and by Schur's lemma,  $\dim \text{Hom}_G(S, V) = 1$  if  $V = S$ , 0 otherwise. Otherwise, for  $n > 1$ , we have

$$V = \left( \bigoplus_{i=1}^{n-1} V_i \right) \oplus V_n.$$

By the previous lemma, we know

$$\dim \text{hom}_G \left( S, \left( \bigoplus_{i=1}^{n-1} V_i \right) \oplus V_n \right) = \dim \text{Hom}_G \left( S, \bigoplus_{i=1}^{n-1} V_i \right) + \dim \text{hom}_G(S, V_n).$$

The result then follows by induction.  $\square$

**Definition** (Canonical decomposition/decomposition into isotypical components). A decomposition of  $V$  as  $\bigoplus W_j$ , where each  $W_j$  is (isomorphic to)  $n_j$  copies of the irreducible  $S_j$  (with  $S_j \not\cong S_i$  for  $i \neq j$ ) is the *canonical decomposition* or *decomposition into isotypical components*.

For an algebraically closed field  $\mathbb{F}$ , we know we must have

$$n_j = \dim \text{Hom}_G(S_j, V),$$

and hence this decomposition is well-defined.

We've finally all the introductory stuff. The course now begins.

## 5 Character theory

In topology, we want to classify spaces. To do so, we come up with invariants of spaces, like the number of holes. Then we know that a torus is not the same as a sphere. Here, we want to attach invariants to a representation  $\rho$  of a finite group  $G$  on  $V$ .

One thing we might want to do is to look at the matrix coefficients of  $\rho(g)$ . However, this is bad, since this is highly highly highly basis dependent. It is not a true invariant. We need to do something better than that.

Let  $\mathbb{F} = \mathbb{C}$ , and  $G$  be a finite group. Let  $\rho = \rho_V : G \rightarrow \text{GL}(V)$  be a representation of  $G$ . The clue is to look at the characteristic polynomial of the matrix. The coefficients are functions of the eigenvalues — on one extreme, the determinant is the product of all eigenvalues; on the other extreme, and the trace is the sum of all of them. Surprisingly, it is the trace that works. We don't have to bother ourselves with the other coefficients.

**Definition** (Character). The *character* of a representation  $\rho : G \rightarrow \text{GL}(V)$ , written  $\chi_\rho = \chi_v = \chi$ , is defined as

$$\chi(g) = \text{tr } \rho(g).$$

We say  $\rho$  *affords* the character  $\chi$ .

Alternatively, the character is  $\text{tr } R(g)$ , where  $R(g)$  is any matrix representing  $\rho(g)$  with respect to any basis.

**Definition** (Degree of character). The *degree* of  $\chi_v$  is  $\dim V$ .

Thus,  $\chi$  is a function  $G \rightarrow \mathbb{C}$ .

**Definition** (Linear character). We say  $\chi$  is *linear* if  $\dim V = 1$ , in which case  $\chi$  is a homomorphism  $G \rightarrow \mathbb{C}^\times = \text{GL}_1(\mathbb{C})$ .

Various properties of representations are inherited by characters.

**Definition** (Irreducible character). A character  $\chi$  is *irreducible* if  $\rho$  is *irreducible*.

**Definition** (Faithful character). A character  $\chi$  is *faithful* if  $\rho$  is *faithful*.

**Definition** (Trivial/principal character). A character  $\chi$  is *trivial* or *principal* if  $\rho$  is the trivial representation. We write  $\chi = 1_G$ .

$\chi$  is a complete invariant in the sense that it determines  $\rho$  up to isomorphism. This is staggering. We reduce the whole matrix into a single number — the trace, and yet we have not lost any information at all! We will prove this later, after we have developed some useful properties of characters.

**Theorem.**

- (i)  $\chi_V(1) = \dim V$ .
- (ii)  $\chi_V$  is a *class function*, namely it is conjugation invariant, i.e.

$$\chi_V(hgh^{-1}) = \chi_V(g)$$

for all  $g, h \in G$ . Thus  $\chi_V$  is constant on conjugacy classes.

$$(iii) \chi_V(g^{-1}) = \overline{\chi_V(g)}.$$

(iv) For two representations  $V, W$ , we have

$$\chi_{V \oplus W} = \chi_V + \chi_W.$$

These results, despite being rather easy to prove, are very useful, since they save us a lot of work when computing the characters of representations.

*Proof.*

(i) Obvious since  $\rho_V(1) = \text{id}_V$ .

(ii) Let  $R_g$  be the matrix representing  $g$ . Then

$$\chi(hgh^{-1}) = \text{tr}(R_h R_g R_h^{-1}) = \text{tr}(R_g) = \chi(g),$$

as we know from linear algebra.

(iii) Since  $g \in G$  has finite order, we know  $\rho(g)$  is represented by a diagonal matrix

$$R_g = \begin{pmatrix} \lambda_1 & & \\ & \ddots & \\ & & \lambda_n \end{pmatrix},$$

and  $\chi(g) = \sum \lambda_i$ . Now  $g^{-1}$  is represented by

$$R_{g^{-1}} = \begin{pmatrix} \lambda_1^{-1} & & \\ & \ddots & \\ & & \lambda_n^{-1} \end{pmatrix},$$

Noting that each  $\lambda_i$  is an  $n$ th root of unity, hence  $|\lambda_i| = 1$ , we know

$$\chi(g^{-1}) = \sum \lambda_i^{-1} = \sum \bar{\lambda}_i = \overline{\sum \lambda_i} = \overline{\chi(g)}.$$

(iv) Suppose  $V = V_1 \oplus V_2$ , with  $\rho : G \rightarrow \text{GL}(V)$  splitting into  $\rho_i : G \rightarrow \text{GL}(V_i)$ . Pick a basis  $\mathcal{B}_i$  for  $V_i$ , and let  $\mathcal{B} = \mathcal{B}_1 \cup \mathcal{B}_2$ . Then with respect to  $\mathcal{B}$ , we have

$$[\rho(g)]_{\mathcal{B}} = \begin{pmatrix} [\rho_1(g)]_{\mathcal{B}_1} & 0 \\ 0 & [\rho_2(g)]_{\mathcal{B}_2} \end{pmatrix}.$$

So  $\chi(g) = \text{tr}(\rho(g)) = \text{tr}(\rho_1(g)) + \text{tr}(\rho_2(g)) = \chi_1(g) + \chi_2(g)$ .  $\square$

We will see later that if we take characters  $\chi_1, \chi_2$  of  $G$ , then  $\chi_1 \chi_2$  is also a character of  $G$ . This uses the notion of tensor products, which we will do later.

**Lemma.** Let  $\rho : G \rightarrow \text{GL}(V)$  be a complex representation affording the character  $\chi$ . Then

$$|\chi(g)| \leq \chi(1),$$

with equality if and only if  $\rho(g) = \lambda I$  for some  $\lambda \in \mathbb{C}$ , a root of unity. Moreover,  $\chi(g) = \chi(1)$  if and only if  $g \in \ker \rho$ .

*Proof.* Fix  $g$ , and pick a basis of eigenvectors of  $\rho(g)$ . Then the matrix of  $\rho(g)$  is diagonal, say

$$\rho(g) = \begin{pmatrix} \lambda_1 & & \\ & \ddots & \\ & & \lambda_n \end{pmatrix},$$

Hence

$$|\chi(g)| = \left| \sum \lambda_i \right| \leq \sum |\lambda_i| = \sum 1 = \dim V = \chi(1).$$

In the triangle inequality, we have equality if and only if all the  $\lambda_i$ 's are equal, to  $\lambda$ , say. So  $\rho(g) = \lambda I$ . Since all the  $\lambda_i$ 's are roots of unity, so is  $\lambda$ .

And, if  $\chi(g) = \chi(1)$ , then since  $\rho(g) = \lambda I$ , taking the trace gives  $\chi(g) = \lambda \chi(1)$ . So  $\lambda = 1$ , i.e.  $\rho(g) = I$ . So  $g \in \ker \rho$ .  $\square$

The following lemma allows us to generate new characters from old.

**Lemma.**

- (i) If  $\chi$  is a complex (irreducible) character of  $G$ , then so is  $\bar{\chi}$ .
- (ii) If  $\chi$  is a complex (irreducible) character of  $G$ , then so is  $\varepsilon\chi$  for any linear (1-dimensional) character  $\varepsilon$ .

*Proof.*

- (i) If  $R : G \rightarrow \mathrm{GL}_n(\mathbb{C})$  is a complex matrix representation, then so is  $\bar{R} : G \rightarrow \mathrm{GL}_n(\mathbb{C})$ , where  $g \mapsto \overline{R(g)}$ . Then the character of  $\bar{R}$  is  $\bar{\chi}$ .
- (ii) Similarly,  $R' : g \mapsto \varepsilon(g)R(g)$  for  $g \in G$  is a representation with character  $\varepsilon\chi$ .

It is left as an exercise for the reader to check the details.  $\square$

We now have to justify why we care about characters. We said it was a complete invariant, as in two representations are isomorphic if and only if they have the same character. Before we prove this, we first need some definitions

**Definition** (Space of class functions). Define the *complex space of class functions* of  $G$  to be

$$\mathcal{C}(G) = \{f : G \rightarrow \mathbb{C} : f(hgh^{-1}) = f(g) \text{ for all } h, g \in G\}.$$

This is a vector space by  $f_1 + f_2 : g \mapsto f_1(g) + f_2(g)$  and  $\lambda f : g \mapsto \lambda f(g)$ .

**Definition** (Class number). The *class number*  $k = k(G)$  is the number of conjugacy classes of  $G$ .

We can list the conjugacy classes as  $\mathcal{C}_1, \dots, \mathcal{C}_k$ . wlog, we let  $\mathcal{C}_1 = \{1\}$ . We choose  $g_1, g_2, \dots, g_k$  to be representatives of the conjugacy classes. Note also that  $\dim \mathcal{C}(G) = k$ , since the characteristic function  $\delta_j$  of the classes form a basis where

$$\delta_j(g) = \begin{cases} 1 & g \in \mathcal{C}_j \\ 0 & g \notin \mathcal{C}_j. \end{cases}$$

We define a Hermitian inner product on  $\mathcal{C}(G)$  by

$$\begin{aligned}\langle f, f' \rangle &= \frac{1}{|G|} \sum_{g \in G} \overline{f(g)} f'(g) \\ &= \frac{1}{|G|} \sum_{j=1}^k |C_j| \overline{f(g_j)} f'(g_j)\end{aligned}$$

By the orbit-stabilizer theorem, we can write this as

$$= \sum_{j=1}^k \frac{1}{|C_G(g_j)|} \overline{f(g_j)} f'(g_j).$$

In particular, for characters,

$$\langle \chi, \chi' \rangle = \sum_{j=1}^k \frac{1}{|C_G(g_j)|} \chi(g_j^{-1}) \chi'(g_j).$$

It should be clear (especially using the original formula) that  $\langle \chi, \chi' \rangle = \overline{\langle \chi', \chi \rangle}$ . So when restricted to characters, this is a real symmetric form.

The main result is the following theorem:

**Theorem** (Completeness of characters). The complex irreducible characters of  $G$  form an orthonormal basis of  $\mathcal{C}(G)$ , namely

- (i) If  $\rho : G \rightarrow \text{GL}(V)$  and  $\rho' : G \rightarrow \text{GL}(V')$  are two complex irreducible representations affording characters  $\chi, \chi'$  respectively, then

$$\langle \chi, \chi' \rangle = \begin{cases} 1 & \text{if } \rho \text{ and } \rho' \text{ are isomorphic representations} \\ 0 & \text{otherwise} \end{cases}$$

This is the (row) orthogonality of characters.

- (ii) Each class function of  $G$  can be expressed as a linear combination of irreducible characters of  $G$ .

Proof is deferred. We first look at some corollaries.

**Corollary.** Complex representations of finite groups are characterised by their characters.

*Proof.* Let  $\rho : G \rightarrow \text{GL}(V)$  afford the character  $\chi$ . We know we can write  $\rho = m_1 \rho_1 \oplus \cdots \oplus m_k \rho_k$ , where  $\rho_1, \dots, \rho_k$  are (distinct) irreducible and  $m_j \geq 0$  are the multiplicities. Then we have

$$\chi = m_1 \chi_1 + \cdots + m_k \chi_k,$$

where  $\chi_j$  is afforded by  $\rho_j$ . Then by orthogonality, we know

$$m_j = \langle \chi, \chi_j \rangle.$$

So we can obtain the multiplicity of each  $\rho_j$  in  $\rho$  just by looking at the inner products of the characters.  $\square$



This is not true for infinite groups. For example, if  $G = \mathbb{Z}$ , then the representations

$$1 \mapsto \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad 1 \mapsto \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$

are non-isomorphic, but have the same character 2.

**Corollary** (Irreducibility criterion). If  $\rho : G \rightarrow \mathrm{GL}(V)$  is a complex representation of  $G$  affording the character  $\chi$ , then  $\rho$  is irreducible if and only if  $\langle \chi, \chi \rangle = 1$ .

*Proof.* If  $\rho$  is irreducible, then orthogonality says  $\langle \chi, \chi \rangle = 1$ . For the other direction, suppose  $\langle \chi, \chi \rangle = 1$ . We use complete reducibility to get

$$\chi = \sum m_j \chi_j,$$

with  $\chi_j$  irreducible, and  $m_j \geq 0$  the multiplicities. Then by orthogonality, we get

$$\langle \chi, \chi \rangle = \sum m_j^2.$$

But  $\langle \chi, \chi \rangle = 1$ . So exactly one of  $m_j$  is 1, while the others are all zero, and  $\chi = \chi_j$ . So  $\chi$  is irreducible.  $\square$

**Theorem.** Let  $\rho_1, \dots, \rho_k$  be the irreducible complex representations of  $G$ , and let their dimensions be  $n_1, \dots, n_k$ . Then

$$|G| = \sum n_i^2.$$

Recall that for abelian groups, each irreducible character has dimension 1, and there are  $|G|$  representations. So this is trivially satisfied.

*Proof.* Recall that  $\rho_{\mathrm{reg}} : G \rightarrow \mathrm{GL}(\mathbb{C}G)$ , given by  $G$  acting on itself by multiplication, is the regular representation of  $G$  of dimension  $|G|$ . Let its character be  $\pi_{\mathrm{reg}}$ , the *regular character* of  $G$ .

First note that we have  $\pi_{\mathrm{reg}}(1) = |G|$ , and  $\pi_{\mathrm{reg}}(h) = 0$  if  $h \neq 1$ . The first part is obvious, and the second is easy to show, since we have only 0s along the diagonal.

Next, we decompose  $\pi_{\mathrm{reg}}$  as

$$\pi_{\mathrm{reg}} = \sum a_j \chi_j,$$

We now want to find  $a_j$ . We have

$$a_j = \langle \pi_{\mathrm{reg}}, \chi_j \rangle = \frac{1}{|G|} \sum_{g \in G} \overline{\pi_{\mathrm{reg}}(g)} \chi_j(g) = \frac{1}{|G|} \cdot |G| \chi_j(1) = \chi_j(1).$$

Then we get

$$|G| = \pi_{\mathrm{reg}}(1) = \sum a_j \chi_j(1) = \sum \chi_j(1)^2 = \sum n_j^2. \quad \square$$

From this proof, we also see that each irreducible representation is a subrepresentation of the regular representation.

**Corollary.** The number of irreducible characters of  $G$  (up to equivalence) is  $k$ , the number of conjugacy classes.

*Proof.* The irreducible characters and the characteristic functions of the conjugacy classes are both bases of  $\mathcal{C}(G)$ .  $\square$

**Corollary.** Two elements  $g_1, g_2$  are conjugate if and only if  $\chi(g_1) = \chi(g_2)$  for all irreducible characters  $\chi$  of  $G$ .

*Proof.* If  $g_1, g_2$  are conjugate, since characters are class functions, we must have  $\chi(g_1) = \chi(g_2)$ .

For the other direction, let  $\delta$  be the characteristic function of the class of  $g_1$ . Then since  $\delta$  is a class function, we can write

$$\delta = \sum m_j \chi_j,$$

where  $\chi_j$  are the irreducible characters of  $G$ . Then

$$\delta(g_2) = \sum m_j \chi_j(g_2) = \sum m_j \chi_j(g_1) = \delta(g_1) = 1.$$

So  $g_2$  is in the same conjugacy class as  $g_1$ .  $\square$

Before we move on to the proof of the completeness of characters, we first make a few more definitions:

**Definition** (Character table). The *character table* of  $G$  is the  $k \times k$  matrix  $X = (\chi_i(g_j))$ , where  $1 = \chi_1, \chi_2, \dots, \chi_k$  are the irreducible characters of  $G$ , and  $\mathcal{C}_1 = \{1\}, \mathcal{C}_2, \dots, \mathcal{C}_k$  are the conjugacy classes with  $g_j \in \mathcal{C}_j$ .

We seldom think of this as a matrix, but as an actual table, as we will see in the table below.

We will spend the next few lectures coming up with techniques to compute these character tables.

**Example.** Consider  $G = D_6 \cong S_3 = \langle r, s \mid r^3 = s^2 = 1, sr s^{-1} = r^{-1} \rangle$ . As in all computations, the first thing to do is to compute the conjugacy classes. This is not too hard. We have

$$\mathcal{C}_1 = \{1\}, \quad \mathcal{C}_2 = \{s, sr, sr^2\}, \quad \mathcal{C}_3 = \{r, r^{-1}\}.$$

Alternatively, we can view this as  $S_3$  and use the fact that two elements are conjugate if and only if they have the same cycle types. We have found the three representations: 1, the trivial representation; S, the sign; and W, the two-dimensional representation. In W, the reflections  $sr^j$  acts by matrix with eigenvalues  $\pm 1$ . So the sum of eigenvalues is 0, hence  $\chi_w(sr^2) = 0$ . It also also not hard to see

$$r^m \mapsto \begin{pmatrix} \cos \frac{2m\pi}{3} & -\sin \frac{2m\pi}{3} \\ \sin \frac{2m\pi}{3} & \cos \frac{2m\pi}{3} \end{pmatrix}.$$

So  $\chi_w(r^m) = -1$ .

Fortunately, after developing some theory, we will not need to find all the irreducible representations in order to compute the character table.

	1	$\mathcal{C}_2$	$\mathcal{C}_3$
1	1	1	1
S	1	-1	1
$\chi_w$	2	0	-1

We see that the sum of the squares of the first column is  $1^2 + 1^2 + 2^2 = 6 = |D_6|$ , as expected. We can also check that  $W$  is genuinely an irreducible representation. Noting that the centralizers of  $\mathcal{C}_1, \mathcal{C}_2$  and  $\mathcal{C}_3$  are of sizes 6, 2, 3. So the inner product is

$$\langle \chi_W, \chi_W \rangle = \frac{2^2}{6} + \frac{0^2}{2} + \frac{(-1)^2}{3} = 1,$$

as expected.

So we now need to prove orthogonality.

## 6 Proof of orthogonality

We will do the proof in parts.

**Theorem** (Row orthogonality relations). If  $\rho : G \rightarrow \text{GL}(V)$  and  $\rho' : G \rightarrow \text{GL}(V')$  are two complex irreducible representations affording characters  $\chi, \chi'$  respectively, then

$$\langle \chi, \chi' \rangle = \begin{cases} 1 & \text{if } \rho \text{ and } \rho' \text{ are isomorphic representations} \\ 0 & \text{otherwise} \end{cases}.$$

*Proof.* We fix a basis of  $V$  and of  $V'$ . Write  $R(g), R'(g)$  for the matrices of  $\rho(g)$  and  $\rho'(g)$  with respect to these bases respectively. Then by definition, we have

$$\begin{aligned} \langle \chi', \chi \rangle &= \frac{1}{|G|} \sum_{g \in G} \chi'(g^{-1})\chi(g) \\ &= \frac{1}{|G|} \sum_{g \in G} \sum_{\substack{1 \leq i \leq n' \\ 1 \leq j \leq n}} R'(g^{-1})_{ii} R(g)_{jj}. \end{aligned}$$

For any linear map  $\varphi : V \rightarrow V'$ , we define a new map by averaging by  $\rho'$  and  $\rho$ .

$$\begin{aligned} \tilde{\varphi} : V &\rightarrow V' \\ \mathbf{v} &\mapsto \frac{1}{|G|} \sum \rho'(g^{-1})\varphi\rho(g)\mathbf{v} \end{aligned}$$

We first check  $\tilde{\varphi}$  is a  $G$ -homomorphism — if  $h \in G$ , we need to show

$$\rho'(h^{-1})\tilde{\varphi}\rho(h)(\mathbf{v}) = \tilde{\varphi}(\mathbf{v}).$$

We have

$$\begin{aligned} \rho'(h^{-1})\tilde{\varphi}\rho(h)(\mathbf{v}) &= \frac{1}{|G|} \sum_{g \in G} \rho'((gh)^{-1})\varphi\rho(gh)\mathbf{v} \\ &= \frac{1}{|G|} \sum_{g' \in G} \rho'(g'^{-1})\varphi\rho(g')\mathbf{v} \\ &= \tilde{\varphi}(\mathbf{v}). \end{aligned}$$

- (i) Now we first consider the case where  $\rho, \rho'$  is *not* isomorphic. Then by Schur's lemma, we must have  $\tilde{\varphi} = 0$  for any linear  $\varphi : V \rightarrow V'$ .

We now pick a very nice  $\varphi$ , where everything disappears. We let  $\varphi = \varepsilon_{\alpha\beta}$ , the operator having matrix  $E_{\alpha\beta}$  with entries 0 everywhere except 1 in the  $(\alpha, \beta)$  position.

Then  $\tilde{\varepsilon}_{\alpha\beta} = 0$ . So for each  $i, j$ , we have

$$\frac{1}{|G|} \sum_{g \in G} (R'(g^{-1})E_{\alpha\beta}R(g))_{ij} = 0.$$

Using our choice of  $\varepsilon_{\alpha\beta}$ , we get

$$\frac{1}{|G|} \sum_{g \in G} R'(g^{-1})_{i\alpha} R(g)_{\beta j} = 0$$

for all  $i, j$ . We now pick  $\alpha = i$  and  $\beta = j$ . Then

$$\frac{1}{|G|} \sum_{g \in G} R'(g^{-1})_{ii} R(g)_{jj} = 0.$$

We can sum this thing over all  $i$  and  $j$  to get that  $\langle \chi', \chi \rangle = 0$ .

(ii) Now suppose  $\rho, \rho'$  are isomorphic. So we might as well take  $\chi = \chi', V = V'$  and  $\rho = \rho'$ . If  $\varphi : V \rightarrow V$  is linear, then  $\tilde{\varphi} \in \text{End}_G(V)$ .

We first claim that  $\text{tr } \tilde{\varphi} = \text{tr } \varphi$ . To see this, we have

$$\text{tr } \tilde{\varphi} = \frac{1}{|G|} \sum_{g \in G} \text{tr}(\rho(g^{-1})\varphi\rho(g)) = \frac{1}{|G|} \sum_{g \in G} \text{tr } \varphi = \text{tr } \varphi,$$

using the fact that traces don't see conjugacy (and  $\rho(g^{-1}) = \rho(g)^{-1}$  since  $\rho$  is a group homomorphism).

By Schur's lemma, we know  $\tilde{\varphi} = \lambda \iota_v$  for some  $\lambda \in \mathbb{C}$  (which depends on  $\varphi$ ). Then if  $n = \dim V$ , then

$$\lambda = \frac{1}{n} \text{tr } \varphi.$$

Let  $\varphi = \varepsilon_{\alpha\beta}$ . Then  $\text{tr } \varphi = \delta_{\alpha\beta}$ . Hence

$$\tilde{\varepsilon}_{\alpha\beta} = \frac{1}{|G|} \sum_g \rho(g^{-1})\varepsilon_{\alpha\beta}\rho(g) = \frac{1}{n} \delta_{\alpha\beta} \iota.$$

In terms of matrices, we take the  $(i, j)$ th entry to get

$$\frac{1}{|G|} \sum R(g^{-1})_{i\alpha} R(g)_{\beta j} = \frac{1}{n} \delta_{\alpha\beta} \delta_{ij}.$$

We now put  $\alpha = i$  and  $\beta = j$ . Then we are left with

$$\frac{1}{|G|} \sum_g R(g^{-1})_{ii} R(g)_{jj} = \frac{1}{n} \delta_{ij}.$$

Summing over all  $i$  and  $j$ , we get  $\langle \chi, \chi \rangle = 1$ . □

After learning about tensor products and duals later on, one can provide a shorter proof of this result as follows:

*Alternative proof.* Consider two representation spaces  $V$  and  $W$ . Then

$$\langle \chi_W, \chi_V \rangle = \frac{1}{|G|} \sum \overline{\chi_W(g)} \chi_V(g) = \frac{1}{|G|} \sum \chi_{V \otimes W^*}(g).$$

We notice that there is a natural isomorphism  $V \otimes W^* \cong \text{Hom}(W, V)$ , and the action of  $g$  on this space is by conjugation. Thus, a  $G$ -invariant element is just a  $G$ -homomorphism  $W \rightarrow V$ . Thus, we can decompose  $\text{Hom}(V, W) = \text{Hom}_G(V, W) \oplus U$  for some  $G$ -space  $U$ , and  $U$  has no  $G$ -invariant element. Hence in the decomposition of  $\text{Hom}(V, W)$  into irreducibles, we know there are exactly

$\dim \text{Hom}_G(V, W)$  copies of the trivial representation. By Schur's lemma, this number is 1 if  $V \cong W$ , and 0 if  $V \not\cong W$ .

So it suffices to show that if  $\chi$  is a non-trivial irreducible character, then

$$\sum_{g \in G} \chi(g) = 0.$$

But if  $\rho$  affords  $\chi$ , then any element in the image of  $\sum_{g \in G} \rho(g)$  is fixed by  $G$ . By irreducibility, the image must be trivial. So  $\sum_{g \in G} \rho(g) = 0$ .  $\square$

What we have done is show the orthogonality of the rows. There is a similar one for the columns:

**Theorem** (Column orthogonality relations). We have

$$\sum_{i=1}^k \overline{\chi_i(g_j)} \chi_i(g_\ell) = \delta_{j\ell} |C_G(g_\ell)|.$$

This is analogous to the fact that if a matrix has orthonormal rows, then it also has orthonormal columns. We get the extra factor of  $|C_G(g_\ell)|$  because of the way we count.

This has an easy corollary, which we have already shown previously using the regular representation:

**Corollary.**

$$|G| = \sum_{i=1}^k \chi_i^2(1).$$

*Proof of column orthogonality.* Consider the character table  $X = (\chi_i(g_j))$ . We know

$$\delta_{ij} = \langle \chi_i, \chi_j \rangle = \sum_{\ell} \frac{1}{|C_G(g_\ell)|} \overline{\chi_i(g_\ell)} \chi_j(g_\ell).$$

Then

$$\bar{X} D^{-1} X^T = I_{k \times k},$$

where

$$D = \begin{pmatrix} |C_G(g_1)| & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & |C_G(g_k)| \end{pmatrix}.$$

Since  $X$  is square, it follows that  $D^{-1} \bar{X}^T$  is the inverse of  $X$ . So  $\bar{X}^T X = D$ , which is exactly the theorem.  $\square$

The proof requires that  $X$  is square, i.e. there are  $k$  many irreducible representations. So we need to prove the last part of the completeness of characters.

**Theorem.** Each class function of  $G$  can be expressed as a linear combination of irreducible characters of  $G$ .

*Proof.* We list all the irreducible characters  $\chi_1, \dots, \chi_\ell$  of  $G$ . Note that we don't know the number of irreducibles is  $k$ . This is essentially what we have to prove here. We now claim these generate  $\mathcal{C}(G)$ , the ring of class functions.

Now recall that  $\mathcal{C}(G)$  has an inner product. So it suffices to show that the orthogonal complement to the span  $\langle \chi_1, \dots, \chi_\ell \rangle$  in  $\mathcal{C}(G)$  is trivial. To see this, assume  $f \in \mathcal{C}(G)$  satisfies

$$\langle f, \chi_j \rangle = 0$$

for all  $\chi_j$  irreducible. We let  $\rho : G \rightarrow \text{GL}(V)$  be an irreducible representation affording  $\chi \in \{\chi_1, \dots, \chi_\ell\}$ . Then  $\langle f, \chi \rangle = 0$ .

Consider the function

$$\varphi = \frac{1}{|G|} \sum_g \bar{f}(g) \rho(g) : V \rightarrow V.$$

For any  $h \in G$ , we can compute

$$\rho(h)^{-1} \varphi \rho(h) = \frac{1}{|G|} \sum_g \bar{f}(g) \rho(h^{-1}gh) = \frac{1}{|G|} \sum_g \bar{f}(h^{-1}gh) \rho(h^{-1}gh) = \varphi,$$

using the fact that  $\bar{f}$  is a class function. So this is a  $G$ -homomorphism. So as  $\rho$  is irreducible, Schur's lemma says it must be of the form  $\lambda \iota_V$  for some  $\lambda \in \mathbb{C}$ .

Now we take the trace of this thing. So we have

$$n\lambda = \text{tr} \left( \frac{1}{|G|} \sum_g \bar{f}(g) \rho(g) \right) = \frac{1}{|G|} \sum_g \bar{f}(g) \chi(g) = \langle f, \chi \rangle = 0.$$

So  $\lambda = 0$ , i.e.  $\sum_g \bar{f}(g) \rho(g) = 0$ , the zero endomorphism on  $V$ . This is valid for any irreducible representation, and hence for every representation, by complete reducibility.

In particular, take  $\rho = \rho_{\text{reg}}$ , where  $\rho_{\text{reg}}(g) : \mathbf{e}_1 \mapsto \mathbf{e}_g$  for each  $g \in G$ . Hence

$$\sum_g \bar{f}(g) \rho_{\text{reg}}(g) : \mathbf{e}_1 \mapsto \sum_g \bar{f}(g) \mathbf{e}_g.$$

Since this is zero, it follows that we must have  $\sum_g \bar{f}(g) \mathbf{e}_g = 0$ . Since the  $\mathbf{e}_g$ 's are linearly independent, we must have  $\bar{f}(g) = 0$  for all  $g \in G$ , i.e.  $f = 0$ .  $\square$

## 7 Permutation representations

In this chapter, we are going to study permutation representations in a bit more detail, since we can find some explicit formulas for the character of them. This is particularly useful if we are dealing with the symmetric group.

Let  $G$  be a finite group acting on a finite set  $X = \{x_1, \dots, x_n\}$  (sometimes known as a  $G$ -set). We define  $\mathbb{C}X$  to be the complex vector space with basis  $\{\mathbf{e}_{x_1}, \dots, \mathbf{e}_{x_n}\}$ . More explicitly,

$$\mathbb{C}X = \left\{ \sum_j a_j \mathbf{e}_{x_j} : a_j \in \mathbb{C} \right\}.$$

There is a corresponding representation permutation

$$\begin{aligned} \rho_X : G &\rightarrow \mathrm{GL}(\mathbb{C}X) \\ g &\mapsto \rho(g) \end{aligned}$$

where  $\rho(g) : \mathbf{e}_{x_j} \mapsto \mathbf{e}_{gx_j}$ , extended linearly. We say  $\rho_X$  is the representation corresponding to the action of  $G$  on  $X$ .

This is a generalization of the group algebra — if we let  $G$  act on itself by left multiplication, then this is in fact the group algebra.

The corresponding matrix representations  $\rho_X(g)$  with respect to the basis  $\{\mathbf{e}_x\}_{x \in X}$  are permutation matrices — it is 0 everywhere except for one 1 in each row and column. In particular,  $(\rho(g))_{ij} = 1$  precisely when  $gx_j = x_i$ . So the only non-zero diagonal entries in  $\rho(g)$  are those  $i$  such that  $x_i$  is fixed by  $g$ . Thus we have

**Definition** (Permutation character). The *permutation character*  $\pi_X$  is

$$\pi_X(g) = |\mathrm{fix}(g)| = |\{x \in X : gx = x\}|.$$

**Lemma.**  $\pi_X$  always contains the trivial character  $1_G$  (when decomposed in the basis of irreducible characters). In particular,  $\mathrm{span}\{\mathbf{e}_{x_1} + \dots + \mathbf{e}_{x_n}\}$  is a trivial  $G$ -subspace of  $\mathbb{C}X$ , with  $G$ -invariant complement  $\{\sum_x a_x \mathbf{e}_x : \sum a_x = 0\}$ .

**Lemma.**  $\langle \pi_X, 1 \rangle$ , which is the multiplicity of 1 in  $\pi_X$ , is the number of orbits of  $G$  on  $X$ .

*Proof.* We write  $X$  as the disjoint union of orbits,  $X = X_1 \cup \dots \cup X_\ell$ . Then it is clear that the permutation representation on  $X$  is just the sum of the permutation representations on the  $X_i$ , i.e.

$$\pi_X = \pi_{X_1} + \dots + \pi_{X_\ell},$$

where  $\pi_{X_j}$  is the permutation character of  $G$  on  $X_j$ . So to prove the lemma, it is enough to consider the case where the action is transitive, i.e. there is just one orbit.

So suppose  $G$  acts transitively on  $X$ . We want to show  $\langle \pi_X, 1 \rangle = 1$ . By



definition, we have

$$\begin{aligned}\langle \pi_X, 1 \rangle &= \frac{1}{|G|} \sum_g \pi_X(g) \\ &= \frac{1}{|G|} |\{(g, x) \in G \times X : gx = x\}| \\ &= \frac{1}{|G|} \sum_{x \in X} |G_x|,\end{aligned}$$

where  $G_x$  is the stabilizer of  $x$ . By the orbit-stabilizer theorem, we have  $|G_x||X| = |G|$ . So we can write this as

$$\begin{aligned}&= \frac{1}{|G|} \sum_{x \in X} \frac{|G|}{|X|} \\ &= \frac{1}{|G|} \cdot |X| \cdot \frac{|G|}{|X|} \\ &= 1.\end{aligned}$$

So done. □

**Lemma.** Let  $G$  act on the sets  $X_1, X_2$ . Then  $G$  acts on  $X_1 \times X_2$  by

$$g(x_1, x_2) = (gx_1, gx_2).$$

Then the character

$$\pi_{X_1 \times X_2} = \pi_{X_1} \pi_{X_2},$$

and so

$$\langle \pi_{X_1}, \pi_{X_2} \rangle = \text{number of orbits of } G \text{ on } X_1 \times X_2.$$

*Proof.* We know  $\pi_{X_1 \times X_2}(g)$  is the number of pairs  $(x_1, x_2) \in X_1 \times X_2$  fixed by  $g$ . This is exactly the number of things in  $X_1$  fixed by  $g$  times the number of things in  $X_2$  fixed by  $g$ . So we have

$$\pi_{X_1 \times X_2}(g) = \pi_{X_1}(g) \pi_{X_2}(g).$$

Then using the fact that  $\pi_1, \pi_2$  are real, we get

$$\begin{aligned}\langle \pi_{X_1}, \pi_{X_2} \rangle &= \frac{1}{|G|} \sum_g \overline{\pi_{X_1}(g)} \pi_{X_2}(g) \\ &= \frac{1}{|G|} \sum_g \overline{\pi_{X_1}(g) \pi_{X_2}(g)} 1_G(g) \\ &= \langle \pi_{X_1} \pi_{X_2}, 1 \rangle \\ &= \langle \pi_{X_1 \times X_2}, 1 \rangle.\end{aligned}$$

So the previous lemma gives the desired result. □

Recall that a 2-transitive action is an action that is transitive on pairs, i.e. it can send any pair to any other pair, as long as the elements in the pair are distinct (it can never send  $(x, x)$  to  $(x', x'')$  if  $x' \neq x''$ , since  $g(x, x) = (gx, gx)$ ). More precisely,

**Definition** (2-transitive). Let  $G$  act on  $X$ ,  $|X| > 2$ . Then  $G$  is 2-transitive on  $X$  if  $G$  has two orbits on  $X \times X$ , namely  $\{(x, x) : x \in X\}$  and  $\{(x_1, x_2) : x_i \in X, x_1 \neq x_2\}$ .

Then we have the following lemma:

**Lemma.** Let  $G$  act on  $X$ , with  $|X| > 2$ . Then

$$\pi_X = 1_G + \chi,$$

with  $\chi$  irreducible if and only if  $G$  is 2-transitive on  $X$ .

*Proof.* We know

$$\pi_X = m_1 1_G + m_2 \chi_2 + \cdots + m_\ell \chi_\ell,$$

with  $1_G, \chi_2, \dots, \chi_\ell$  distinct irreducible characters and  $m_i \in \mathbb{N}$  are non-zero. Then by orthogonality,

$$\langle \pi_X, \pi_X \rangle = \sum_{i=1}^j m_i^2.$$

Since  $\langle \pi_X, \pi_X \rangle$  is the number of orbits of  $X \times X$ , we know  $G$  is 2-transitive on  $X$  if and only if  $\ell = 2$  and  $m_1 = m_2 = 1$ .  $\square$

This is useful if we want to understand the symmetric group, since it is always 2-transitive (modulo the silly case of  $S_1$ ).

**Example.**  $S_n$  acting on  $X = \{1, \dots, n\}$  is 2-transitive for  $n \geq 2$ . So  $\pi_X = 1 + \chi$ , with  $\chi$  irreducible of degree  $n - 1$  (since  $\pi_X$  has degree  $n$ ). This works similarly for  $A_n$ , whenever  $n \geq 3$ .

This tells us we can find an irreducible character of  $S_n$  by finding  $\pi_X$ , and then subtracting 1 off.

**Example.** Consider  $G = S_4$ . The conjugacy classes correspond to different cycle types. We already know three characters — the trivial one, the sign, and  $\pi_X - 1_G$ .

		1	3	8	6	6
		1	(1 2)(3 4)	(1 2 3)	(1 2 3 4)	(1 2)
trivial	$\chi_1$	1	1	1	1	1
sign	$\chi_2$	1	1	1	-1	-1
$\pi_X - 1_G$	$\chi_3$	3	-1	0	-1	1
	$\chi_4$					
	$\chi_5$					

We know the product of a one-dimensional irreducible character and another character is another irreducible character, as shown on the first example sheet. So we get

		1	3	8	6	6
		1	(1 2)(3 4)	(1 2 3)	(1 2 3 4)	(1 2)
trivial	$\chi_1$	1	1	1	1	1
sign	$\chi_2$	1	1	1	-1	-1
$\pi_X - 1_G$	$\chi_3$	3	-1	0	-1	1
	$\chi_3 \chi_2$	$\chi_4$	3	-1	0	1
	$\chi_5$					

For the last representation we can find the dimension by computing  $24 - (1^2 + 1^2 + 3^2 + 3^2) = 2^2$ . So it has dimension 2. To obtain the whole of  $\chi_5$ , we can use column orthogonality — for example, we let the entry in the second column be  $x$ . Then column orthogonality says  $1 + 1 - 3 - 3 + 2x = 0$ . So  $x = 2$ . In the end, we find

		1	3	8	6	6
		1	(1 2)(3 4)	(1 2 3)	(1 2 3 4)	(1 2)
trivial	$\chi_1$	1	1	1	1	1
sign	$\chi_2$	1	1	1	-1	-1
$\pi_X - 1_G$	$\chi_3$	3	-1	0	-1	1
$\chi_3\chi_2$	$\chi_4$	3	-1	0	1	-1
	$\chi_5$	2	2	-1	0	0

Alternatively, we have previously shown that  $\chi_{\text{reg}} = \chi_1 + \chi_2 + 3\chi_3 + 3\chi_4 + 2\chi_5$ . By computing  $\chi_{\text{reg}}$  directly, we can find  $\chi_5$ .

Finally, we can obtain  $\chi_5$  by observing  $S_4/V_4 \cong S_3$ , and “lifting” characters. We will do this in the next chapter. In fact, this  $\chi_5$  is the degree-2 irreducible representation of  $S_3 \cong D_6$  lifted up.

We can also do similar things with the alternating group. The issue of course is that the conjugacy classes of the symmetric group may split when we move to the alternating group.

Suppose  $g \in A_n$ . Then

$$|\mathcal{C}_{S_n}(g)| = |S_n : \mathcal{C}_{S_n}(g)|$$

$$|\mathcal{C}_{A_n}(g)| = |A_n : \mathcal{C}_{A_n}(g)|.$$

We know  $\mathcal{C}_{S_n}(g) \supseteq \mathcal{C}_{A_n}(g)$ , but we need not have equality, since elements needed to conjugate  $g$  to  $h \in \mathcal{C}_{S_n}(g)$  might not be in  $A_n$ . For example, consider  $\sigma = (1\ 2\ 3) \in A_3$ . We have  $\mathcal{C}_{A_3}(\sigma) = \{\sigma\}$  and  $\mathcal{C}_{S_3}(\sigma) = \{\sigma, \sigma^{-1}\}$ .

We know  $A_n$  is an index 2 subgroup of  $S_n$ . So  $\mathcal{C}_{A_n}(g) \subseteq \mathcal{C}_{S_n}(g)$  either has index 2 or 1. If the index is 1, then  $|\mathcal{C}_{A_n}(g)| = \frac{1}{2}|\mathcal{C}_{S_n}(g)|$ . Otherwise, the sizes are equal.

A useful criterion for determining which case happens is the following:

**Lemma.** Let  $g \in A_n$ ,  $n > 1$ . If  $g$  commutes with some odd permutation in  $S_n$ , then  $\mathcal{C}_{S_n}(g) = \mathcal{C}_{A_n}(g)$ . Otherwise,  $\mathcal{C}_{S_n}$  splits into two conjugacy classes in  $A_n$  of equal size.

This is quite useful for doing the examples on the example sheet, but we will not go through any more examples here.

## 8 Normal subgroups and lifting

The idea of this section is that there is some correspondence between the irreducible characters of  $G/N$  (with  $N \triangleleft G$ ) and those of  $G$  itself. In most cases,  $G/N$  is a simpler group, and hence we can use this correspondence to find irreducible characters of  $G$ .

The main result is the following lemma:

**Lemma.** Let  $N \triangleleft G$ . Let  $\tilde{\rho} : G/N \rightarrow \text{GL}(V)$  be a representation of  $G/N$ . Then the composition

$$\rho : G \xrightarrow{\text{natural}} G/N \xrightarrow{\tilde{\rho}} \text{GL}(V)$$

is a representation of  $G$ , where  $\rho(g) = \tilde{\rho}(gN)$ . Moreover,

- (i)  $\rho$  is irreducible if and only if  $\tilde{\rho}$  is irreducible.
- (ii) The corresponding characters satisfy  $\chi(g) = \tilde{\chi}(gN)$ .
- (iii)  $\deg \chi = \deg \tilde{\chi}$ .
- (iv) The lifting operation  $\tilde{\chi} \mapsto \chi$  is a bijection

$$\{\text{irreducibles of } G/N\} \longleftrightarrow \{\text{irreducibles of } G \text{ with } N \text{ in their kernel}\}.$$

We say  $\tilde{\chi}$  *lifts to*  $\chi$ .

*Proof.* Since a representation of  $G$  is just a homomorphism  $G \rightarrow \text{GL}(V)$ , and the composition of homomorphisms is a homomorphism, it follows immediately that  $\rho$  as defined in the lemma is a representation.

- (i) We can compute

$$\begin{aligned} \langle \chi, \chi \rangle &= \frac{1}{|G|} \sum_{g \in G} \overline{\chi(g)} \chi(g) \\ &= \frac{1}{|G|} \sum_{gN \in G/N} \sum_{k \in N} \overline{\chi(gk)} \chi(gk) \\ &= \frac{1}{|G|} \sum_{gN \in G/N} \sum_{k \in N} \overline{\tilde{\chi}(gN)} \tilde{\chi}(gN) \\ &= \frac{1}{|G|} \sum_{gN \in G/N} |N| \overline{\tilde{\chi}(gN)} \tilde{\chi}(gN) \\ &= \frac{1}{|G/N|} \sum_{gN \in G/N} \overline{\tilde{\chi}(gN)} \tilde{\chi}(gN) \\ &= \langle \tilde{\chi}, \tilde{\chi} \rangle. \end{aligned}$$

So  $\langle \chi, \chi \rangle = 1$  if and only if  $\langle \tilde{\chi}, \tilde{\chi} \rangle = 1$ . So  $\rho$  is irreducible if and only if  $\tilde{\rho}$  is irreducible.

- (ii) We can directly compute

$$\chi(g) = \text{tr } \rho(g) = \text{tr}(\tilde{\rho}(gN)) = \tilde{\chi}(gN)$$

for all  $g \in G$ .

(iii) To see that  $\chi$  and  $\tilde{\chi}$  have the same degree, we just notice that

$$\deg \chi = \chi(1) = \tilde{\chi}(N) = \deg \tilde{\chi}.$$

Alternatively, to show they have the same dimension, just note that  $\rho$  and  $\tilde{\rho}$  map to the general linear group of the same vector space.

(iv) To show this is a bijection, suppose  $\tilde{\chi}$  is a character of  $G/N$  and  $\chi$  is its lift to  $G$ . We need to show the kernel contains  $N$ . By definition, we know  $\tilde{\chi}(N) = \chi(1)$ . Also, if  $k \in N$ , then  $\chi(k) = \tilde{\chi}(kN) = \tilde{\chi}(N) = \chi(1)$ . So  $N \leq \ker \chi$ .

Now let  $\chi$  be a character of  $G$  with  $N \leq \ker \chi$ . Suppose  $\rho : G \rightarrow \text{GL}(V)$  affords  $\chi$ . Define

$$\begin{aligned} \tilde{\rho} : G/N &\rightarrow \text{GL}(V) \\ gN &\mapsto \rho(g) \end{aligned}$$

Of course, we need to check this is well-defined. If  $gN = g'N$ , then  $g^{-1}g' \in N$ . So  $\rho(g) = \rho(g')$  since  $N \leq \ker \rho$ . So this is indeed well-defined. It is also easy to see that  $\tilde{\rho}$  is a homomorphism, hence a representation of  $G/N$ .

Finally, if  $\tilde{\chi}$  is a character of  $\tilde{\rho}$ , then  $\tilde{\chi}(gN) = \chi(g)$  for all  $g \in G$  by definition. So  $\tilde{\chi}$  lifts to  $\chi$ . It is clear that these two operations are inverses to each other.  $\square$

There is one particular normal subgroup lying around that proves to be useful.

**Lemma.** Given a group  $G$ , the *derived subgroup* or *commutator subgroup*

$$G' = \langle [a, b] : a, b \in G \rangle,$$

where  $[a, b] = aba^{-1}b^{-1}$ , is the unique minimal normal subgroup of  $G$  such that  $G/G'$  is abelian. So if  $G/N$  is abelian, then  $G' \leq N$ .

Moreover,  $G$  has precisely  $\ell = |G : G'|$  representations of dimension 1, all with kernel containing  $G'$ , and are obtained by lifting from  $G/G'$ .

In particular, by Lagrange's theorem,  $\ell \mid G$ .

*Proof.* Consider  $[a, b] = aba^{-1}b^{-1} \in G'$ . Then for any  $h \in G$ , we have

$$h(aba^{-1}b^{-1})h^{-1} = \left( (ha)b(ha)^{-1}b^{-1} \right) \left( bhh^{-1}h^{-1} \right) = [ha, b][b, h] \in G'$$

So in general, let  $[a_1, b_1][a_2, b_2] \cdots [a_n, b_n] \in G'$ . Then

$$h[a_1, b_1][a_2, b_2] \cdots [a_n, b_n]h^{-1} = (h[a_1, b_1]h^{-1})(h[a_2, b_2]h^{-1}) \cdots (h[a_n, b_n]h^{-1}),$$

which is in  $G'$ . So  $G'$  is a normal subgroup.

Let  $N \triangleleft G$ . Let  $g, h \in G$ . Then  $[g, h] \in N$  if and only if  $ghg^{-1}h^{-1} \in N$  if and only if  $ghN = hgN$ , if and only if  $(gN)(hN) = (hN)(gN)$  by normality.

Since  $G'$  is generated by all  $[g, h]$ , we know  $G' \leq N$  if and only if  $G/N$  is abelian.

Since  $G/G'$ , is abelian, we know it has exactly  $\ell$  irreducible characters,  $\tilde{\chi}_1, \dots, \tilde{\chi}_\ell$ , all of degree 1. The lifts of these to  $G$  also have degree 1, and by the previous lemma, these are precisely the irreducible characters  $\chi_i$  of  $G$  such that  $G' \leq \ker \chi_i$ .

But any degree 1 character of  $G$  is a homomorphism  $\chi : G \rightarrow \mathbb{C}^\times$ , hence  $\chi(ghg^{-1}h^{-1}) = 1$ . So for any 1-dimensional character,  $\chi$ , we must have  $G' \leq \ker \chi$ . So the lifts  $\chi_1, \dots, \chi_\ell$  are all 1-dimensional characters of  $G$ .  $\square$

**Example.** Let  $G$  be  $S_n$ , with  $n \geq 3$ . We claim that  $S'_n = A_n$ . Since  $\text{sgn}(a) = \text{sgn}(a^{-1})$ , we know that  $[a, b] \in A_n$  for any  $a, b \in S_n$ . So  $S'_n \leq A_n$  (alternatively, since  $S_n/A_n \cong C_2$  is abelian, we must have  $S'_n \leq A_n$ ). We now notice that

$$(1\ 2\ 3) = (1\ 3\ 2)(1\ 2)(1\ 3\ 2)^{-1}(1\ 2)^{-1} \in S'_n.$$

So by symmetry, all 3-cycles are in  $S'_n$ . Since the 3-cycles generate  $A_n$ , we know we must have  $S'_n = A_n$ .

Since  $S'_n/A_n \cong C_2$ , we get  $\ell = 2$ . So  $S_n$  must have exactly two linear characters, namely the trivial character and the sign.

**Lemma.**  $G$  is not simple if and only if  $\chi(g) = \chi(1)$  for some irreducible character  $\chi \neq 1_G$  and some  $1 \neq g \in G$ . Any normal subgroup of  $G$  is the intersection of the kernels of some of the irreducible characters of  $G$ , i.e.  $N = \bigcap \ker \chi_i$ .

In other words,  $G$  is simple if and only if all non-trivial irreducible characters have trivial kernel.

*Proof.* Suppose  $\chi(g) = \chi(1)$  for some non-trivial irreducible character  $\chi$ , and  $\chi$  is afforded by  $\rho$ . Then  $g \in \ker \rho$ . So if  $g \neq 1$ , then  $1 \neq \ker \rho \triangleleft G$ , and  $\ker \rho \neq G$ . So  $G$  cannot be simple.

If  $1 \neq N \triangleleft G$  is a non-trivial proper subgroup, take an irreducible character  $\tilde{\chi}$  of  $G/N$ , and suppose  $\tilde{\chi} \neq 1_{G/N}$ . Lift this to get an irreducible character  $\chi$ , afforded by the representation  $\rho$  of  $G$ . Then  $N \leq \ker \rho \triangleleft G$ . So  $\chi(g) = \chi(1)$  for  $g \in N$ .

Finally, let  $1 \neq N \triangleleft G$ . We claim that  $N$  is the intersection of the kernels of the lifts  $\chi_1, \dots, \chi_\ell$  of all the irreducibles of  $G/N$ . Clearly, we have  $N \leq \bigcap_i \ker \chi_i$ . If  $g \in G \setminus N$ , then  $gN \neq N$ . So  $\tilde{\chi}(gN) \neq \tilde{\chi}(N)$  for some irreducible  $\tilde{\chi}$  of  $G/N$ . Lifting  $\tilde{\chi}$  to  $\chi$ , we have  $\chi(g) \neq \chi(1)$ . So  $g$  is not in the intersection of the kernels.  $\square$

## 9 Dual spaces and tensor products of representations

In this chapter, we will consider several linear algebra constructions, and see how we can use them to construct new representations and characters.

### 9.1 Dual spaces

Our objective of this section is to try to come up with “dual representations”. Given a representation  $\rho : G \rightarrow \text{GL}(V)$ , we would like to turn  $V^* = \text{Hom}(V, \mathbb{F})$  into a representation. So for each  $g$ , we want to produce a  $\rho^*(g) : V^* \rightarrow V^*$ . So given  $\varphi \in V^*$ , we shall get a  $\rho^*(g)\varphi : V \rightarrow \mathbb{F}$ . Given  $\mathbf{v} \in V$ , a natural guess for the value of  $(\rho^*(g)\varphi)(\mathbf{v})$  might be

$$(\rho^*(g)\varphi)(\mathbf{v}) = \varphi(\rho(g)\mathbf{v}),$$

since this is how we can patch together  $\varphi, \rho, g$  and  $\mathbf{v}$ . However, if we do this, we will not get  $\rho^*(g)\rho^*(h) = \rho^*(gh)$ . Instead, we will obtain  $\rho^*(g)\rho^*(h) = \rho^*(hg)$ , which is the wrong way round. The right definition to use is actually the following:

**Lemma.** Let  $\rho : G \rightarrow \text{GL}(V)$  be a representation over  $\mathbb{F}$ , and let  $V^* = \text{Hom}_{\mathbb{F}}(V, \mathbb{F})$  be the dual space of  $V$ . Then  $V^*$  is a  $G$ -space under

$$(\rho^*(g)\varphi)(\mathbf{v}) = \varphi(\rho(g^{-1})\mathbf{v}).$$

This is the *dual representation* to  $\rho$ . Its character is  $\chi(\rho^*)(g) = \chi_{\rho}(g^{-1})$ .

*Proof.* We have to check  $\rho^*$  is a homomorphism. We check

$$\begin{aligned} \rho^*(g_1)(\rho^*(g_2)\varphi)(\mathbf{v}) &= (\rho^*(g_2)\varphi)(\rho(g_1^{-1})\mathbf{v}) \\ &= \varphi(\rho(g_2^{-1})\rho(g_1^{-2})\mathbf{v}) \\ &= \varphi(\rho((g_1g_2)^{-1})\mathbf{v}) \\ &= (\rho^*(g_1g_2)\varphi)(\mathbf{v}). \end{aligned}$$

To compute the character, fix a  $g \in G$ , and let  $\mathbf{e}_1, \dots, \mathbf{e}_n$  be a basis of eigenvectors of  $V$  of  $\rho(g)$ , say

$$\rho(g)\mathbf{e}_j = \lambda_j\mathbf{e}_j.$$

If we have a dual space of  $V$ , then we have a dual basis. We let  $\varepsilon_1, \dots, \varepsilon_n$  be the dual basis. Then

$$(\rho^*(g)\varepsilon_j)(\mathbf{e}_i) = \varepsilon_j(\rho(g^{-1})\mathbf{e}_i) = \varepsilon_j(\lambda_i^{-1}\mathbf{e}_i) = \lambda_i^{-1}\delta_{ij} = \lambda_j^{-1}\delta_{ij} = \lambda_j^{-1}\varepsilon_j(\mathbf{e}_i).$$

Thus we get

$$\rho^*(g)\varepsilon_j = \lambda_j^{-1}\varepsilon_j.$$

So

$$\chi_{\rho^*}(g) = \sum \lambda_j^{-1} = \chi_{\rho}(g^{-1}). \quad \square$$

**Definition** (Self-dual representation). A representation  $\rho : G \rightarrow \text{GL}(V)$  is *self-dual* if there is isomorphism of  $G$ -spaces  $V \cong V^*$ .

Note that as (finite-dimensional) vector spaces, we always have  $V \cong V^*$ . However, what we require here is an isomorphism that preserves the  $G$ -action, which does not necessarily exist.

Over  $\mathbb{F} = \mathbb{C}$ , this holds if and only if

$$\chi_\rho(g) = \chi_{\rho^*}(g) = \chi_\rho(g^{-1}) = \overline{\chi_\rho(g)}.$$

So a character is self-dual if and only if it is real.

**Example.** All irreducible representations of  $S_n$  are self-dual, since every element is conjugate to its own inverse. This is not always true for  $A_n$ .

## 9.2 Tensor products

The next idea we will tackle is the concept of *tensor products*. We first introduce it as a linear algebra concept, and then later see how representations fit into this framework.

There are many ways we can define the tensor product. The definition we will take here is a rather hands-on construction of the space, which involves picking a basis. We will later describe some other ways to define the tensor product.

**Definition** (Tensor product). Let  $V, W$  be vector spaces over  $\mathbb{F}$ . Suppose  $\dim V = m$  and  $\dim W = n$ . We fix a basis  $\mathbf{v}_1, \dots, \mathbf{v}_m$  and  $\mathbf{w}_1, \dots, \mathbf{w}_n$  of  $V$  and  $W$  respectively.

The *tensor product space*  $V \otimes W = V \otimes_{\mathbb{F}} W$  is an  $nm$ -dimensional vector space (over  $\mathbb{F}$ ) with basis given by formal symbols

$$\{\mathbf{v}_i \otimes \mathbf{w}_j : 1 \leq i \leq m, 1 \leq j \leq n\}.$$

Thus

$$V \otimes W = \left\{ \sum \lambda_{ij} \mathbf{v}_i \otimes \mathbf{w}_j : \lambda_{ij} \in \mathbb{F} \right\},$$

with the “obvious” addition and scalar multiplication.

If

$$\mathbf{v} = \sum \alpha_i \mathbf{v}_i \in V, \quad \mathbf{w} = \sum \beta_j \mathbf{w}_j,$$

we define

$$\mathbf{v} \otimes \mathbf{w} = \sum_{i,j} \alpha_i \beta_j (\mathbf{v}_i \otimes \mathbf{w}_j).$$

Note that not all elements of  $V \otimes W$  are of this form. Some are genuine combinations. For example,  $\mathbf{v}_1 \otimes \mathbf{w}_1 + \mathbf{v}_2 \otimes \mathbf{w}_2$  cannot be written as a tensor product of an element in  $V$  and another in  $W$ .

We can imagine our formula of the tensor of two elements as writing

$$\left( \sum \alpha_i \mathbf{v}_i \right) \otimes \left( \sum \beta_j \mathbf{w}_j \right),$$

and then expand this by letting  $\otimes$  distribute over  $+$ .

**Lemma.**

(i) For  $\mathbf{v} \in V$ ,  $\mathbf{w} \in W$  and  $\lambda \in \mathbb{F}$ , we have

$$(\lambda \mathbf{v}) \otimes \mathbf{w} = \lambda(\mathbf{v} \otimes \mathbf{w}) = \mathbf{v} \otimes (\lambda \mathbf{w}).$$



(ii) If  $\mathbf{x}, \mathbf{x}_1, \mathbf{x}_2 \in V$  and  $\mathbf{y}, \mathbf{y}_1, \mathbf{y}_2 \in W$ , then

$$\begin{aligned}(\mathbf{x}_1 + \mathbf{x}_2) \otimes \mathbf{y} &= (\mathbf{x}_1 \otimes \mathbf{y}) + (\mathbf{x}_2 \otimes \mathbf{y}) \\ \mathbf{x} \otimes (\mathbf{y}_1 + \mathbf{y}_2) &= (\mathbf{x} \otimes \mathbf{y}_1) + (\mathbf{x} \otimes \mathbf{y}_2).\end{aligned}$$

*Proof.*

(i) Let  $\mathbf{v} = \sum \alpha_i \mathbf{v}_i$  and  $\mathbf{w} = \sum \beta_j \mathbf{w}_j$ . Then

$$\begin{aligned}(\lambda \mathbf{v}) \otimes \mathbf{w} &= \sum_{ij} (\lambda \alpha_i) \beta_j \mathbf{v}_i \otimes \mathbf{w}_j \\ \lambda(\mathbf{v} \otimes \mathbf{w}) &= \lambda \sum_{ij} \alpha_i \beta_j \mathbf{v}_i \otimes \mathbf{w}_j \\ \mathbf{v} \otimes (\lambda \mathbf{w}) &= \sum_{ij} \alpha_i (\lambda \beta_j) \mathbf{v}_i \otimes \mathbf{w}_j,\end{aligned}$$

and these three things are obviously all equal.

(ii) Similar nonsense. □

We can define the tensor product using these properties. We consider the space of formal linear combinations  $\mathbf{v} \otimes \mathbf{w}$  for *all*  $\mathbf{v} \in V, \mathbf{w} \in W$ , and then quotient out by the relations we had above. It can be shown that this produces the same space as the one constructed above.

Alternatively, we can define the tensor product using its universal property, which says for any  $U$ , a bilinear map from  $V \times W$  to  $U$  is “naturally” equivalent to a linear map  $V \otimes W \rightarrow U$ . This intuitively makes sense, since the distributivity and linearity properties of  $\otimes$  we showed above are exactly the properties required for a bilinear map if we replace the  $\otimes$  with a “,”.

It turns out this uniquely defines  $V \otimes W$ , as long as we provide a sufficiently precise definition of “naturally”. We can do it concretely as follows — in our explicit construction, we have a canonical bilinear map given by

$$\begin{aligned}\phi : V \times W &\rightarrow V \otimes W \\ (\mathbf{v}, \mathbf{w}) &\mapsto \mathbf{v} \otimes \mathbf{w}.\end{aligned}$$

Given a linear map  $V \otimes W \rightarrow U$ , we can compose it with  $\phi : V \times W \rightarrow V \otimes W$  in order to get a bilinear map  $V \times W \rightarrow U$ . Then universality says *every* bilinear map  $V \times W \rightarrow U$  arises this way, uniquely.

In general, we say an equivalence between bilinear maps  $V \times W \rightarrow U$  and linear maps  $V \otimes W \rightarrow U$  is “natural” if it is mediated by such a universal map. Then we say a vector space  $X$  is the tensor product of  $V$  and  $W$  if there is a natural equivalence between bilinear maps  $V \times W \rightarrow U$  and linear maps  $X \rightarrow U$ .

We will stick with our definition for concreteness, but we prove basis-independence here so that we feel happier:

**Lemma.** Let  $\{\mathbf{e}_1, \dots, \mathbf{e}_m\}$  be any other basis of  $V$ , and  $\{\mathbf{f}_1, \dots, \mathbf{f}_n\}$  be another basis of  $W$ . Then

$$\{\mathbf{e}_i \otimes \mathbf{f}_j : 1 \leq i \leq m, 1 \leq j \leq n\}$$

is a basis of  $V \otimes W$ .

*Proof.* Writing

$$\mathbf{v}_k = \sum \alpha_{ik} \mathbf{e}_i, \quad \mathbf{w}_\ell = \sum \beta_{j\ell} \mathbf{f}_j,$$

we have

$$\mathbf{v}_k \otimes \mathbf{w}_\ell = \sum \alpha_{ik} \beta_{j\ell} \mathbf{e}_i \otimes \mathbf{f}_j.$$

Therefore  $\{\mathbf{e}_i \otimes \mathbf{f}_j\}$  spans  $V \otimes W$ . Moreover, there are  $nm$  of these. Therefore they form a basis of  $V \otimes W$ .  $\square$

That's enough of linear algebra. We shall start doing some representation theory.

**Proposition.** Let  $\rho : G \rightarrow \mathrm{GL}(V)$  and  $\rho' : G \rightarrow \mathrm{GL}(V')$ . We define

$$\rho \otimes \rho' : G \rightarrow \mathrm{GL}(V \otimes V')$$

by

$$(\rho \otimes \rho')(g) : \sum \lambda_{ij} \mathbf{v}_i \otimes \mathbf{w}_j \mapsto \sum \lambda_{ij} (\rho(g) \mathbf{v}_i) \otimes (\rho'(g) \mathbf{w}_j).$$

Then  $\rho \otimes \rho'$  is a representation of  $G$ , with character

$$\chi_{\rho \otimes \rho'}(g) = \chi_\rho(g) \chi_{\rho'}(g)$$

for all  $g \in G$ .

As promised, the product of two characters of  $G$  is also a character of  $G$ .

Just before we prove this, recall we showed in sheet 1 that if  $\rho$  is irreducible and  $\rho'$  is irreducible of degree 1, then  $\rho' \rho = \rho \otimes \rho'$  is irreducible. However, if  $\rho'$  is not of degree 1, then this is almost always false (or else we can produce arbitrarily many irreducible representations).

*Proof.* It is clear that  $(\rho \otimes \rho')(g) \in \mathrm{GL}(V \otimes V')$  for all  $g \in G$ . So  $\rho \otimes \rho'$  is a homomorphism  $G \rightarrow \mathrm{GL}(V \otimes V')$ .

To check the character is indeed as stated, let  $g \in G$ . Let  $\mathbf{v}_1, \dots, \mathbf{v}_m$  be a basis of  $V$  of eigenvectors of  $\rho(g)$ , and let  $\mathbf{w}_1, \dots, \mathbf{w}_n$  be a basis of  $V'$  of eigenvectors of  $\rho'(g)$ , say

$$\rho(g) \mathbf{v}_i = \lambda_i \mathbf{v}_i, \quad \rho'(g) \mathbf{w}_j = \mu_j \mathbf{w}_j.$$

Then

$$\begin{aligned} (\rho \otimes \rho')(g)(\mathbf{v}_i \otimes \mathbf{w}_j) &= \rho(g) \mathbf{v}_i \otimes \rho'(g) \mathbf{w}_j \\ &= \lambda_i \mathbf{v}_i \otimes \mu_j \mathbf{w}_j \\ &= (\lambda_i \mu_j)(\mathbf{v}_i \otimes \mathbf{w}_j). \end{aligned}$$

So

$$\chi_{\rho \otimes \rho'}(g) = \sum_{i,j} \lambda_i \mu_j = \left( \sum \lambda_i \right) \left( \sum \mu_j \right) = \chi_\rho(g) \chi_{\rho'}(g). \quad \square$$

### 9.3 Powers of characters

We work over  $\mathbb{C}$ . Take  $V = V'$  to be  $G$ -spaces, and define

**Notation.**

$$V^{\otimes 2} = V \otimes V.$$

We define  $\tau : V^{\otimes 2} \rightarrow V^{\otimes 2}$  by

$$\tau : \sum \lambda_{ij} \mathbf{v}_i \otimes \mathbf{v}_j \mapsto \sum \lambda_{ij} \mathbf{v}_j \otimes \mathbf{v}_i.$$

This is a linear endomorphism of  $V^{\otimes 2}$  such that  $\tau^2 = 1$ . So the eigenvalues are  $\pm 1$ .

**Definition** (Symmetric and exterior square). We define the *symmetric square* and *exterior square* of  $V$  to be, respectively,

$$\begin{aligned} S^2V &= \{\mathbf{x} \in V^{\otimes 2} : \tau(\mathbf{x}) = \mathbf{x}\} \\ \Lambda^2V &= \{\mathbf{x} \in V^{\otimes 2} : \tau(\mathbf{x}) = -\mathbf{x}\}. \end{aligned}$$

The exterior square is also known as the *anti-symmetric square* and *wedge power*.

**Lemma.** For any  $G$ -space  $V$ ,  $S^2V$  and  $\Lambda^2V$  are  $G$ -subspaces of  $V^{\otimes 2}$ , and

$$V^{\otimes 2} = S^2V \oplus \Lambda^2V.$$

The space  $S^2V$  has basis

$$\{\mathbf{v}_i \mathbf{v}_j = \mathbf{v}_i \otimes \mathbf{v}_j + \mathbf{v}_j \otimes \mathbf{v}_i : 1 \leq i \leq j \leq n\},$$

while  $\Lambda^2V$  has basis

$$\{\mathbf{v}_i \wedge \mathbf{v}_j = \mathbf{v}_i \otimes \mathbf{v}_j - \mathbf{v}_j \otimes \mathbf{v}_i : 1 \leq i < j \leq n\}.$$

Note that we have a strict inequality for  $i < j$ , since  $\mathbf{v}_i \otimes \mathbf{v}_j - \mathbf{v}_j \otimes \mathbf{v}_i = 0$  if  $i = j$ . Hence

$$\dim S^2V = \frac{1}{2}n(n+1), \quad \dim \Lambda^2V = \frac{1}{2}n(n-1).$$

Sometimes, a factor of  $\frac{1}{2}$  appears in front of the definition of the basis elements  $\mathbf{v}_i \mathbf{v}_j$  and  $\mathbf{v}_i \wedge \mathbf{v}_j$ , i.e.

$$\mathbf{v}_i \mathbf{v}_j = \frac{1}{2}(\mathbf{v}_i \otimes \mathbf{v}_j + \mathbf{v}_j \otimes \mathbf{v}_i), \quad \mathbf{v}_i \wedge \mathbf{v}_j = \frac{1}{2}(\mathbf{v}_i \otimes \mathbf{v}_j - \mathbf{v}_j \otimes \mathbf{v}_i).$$

However, this is not important.

*Proof.* This is elementary linear algebra. For the decomposition  $V^{\otimes 2}$ , given  $\mathbf{x} \in V^{\otimes 2}$ , we can write it as

$$\mathbf{x} = \underbrace{\frac{1}{2}(\mathbf{x} + \tau(\mathbf{x}))}_{\in S^2V} + \underbrace{\frac{1}{2}(\mathbf{x} - \tau(\mathbf{x}))}_{\in \Lambda^2V}. \quad \square$$

How is this useful for character calculations?

**Lemma.** Let  $\rho : G \rightarrow \text{GL}(V)$  be a representation affording the character  $\chi$ . Then  $\chi^2 = \chi_S + \chi_\Lambda$  where  $\chi_S = S^2\chi$  is the character of  $G$  in the subrepresentation on  $S^2V$ , and  $\chi_\Lambda = \Lambda^2\chi$  the character of  $G$  in the subrepresentation on  $\Lambda^2V$ . Moreover, for  $g \in G$ ,

$$\chi_S(g) = \frac{1}{2}(\chi^2(g) + \chi(g^2)), \quad \chi_\Lambda(g) = \frac{1}{2}(\chi^2(g) - \chi(g^2)).$$

The real content of the lemma is, of course, the formula for  $\chi_S$  and  $\chi_\Lambda$ .

*Proof.* The fact that  $\chi^2 = \chi_S + \chi_\Lambda$  is immediate from the decomposition of  $G$ -spaces.

We now compute the characters  $\chi_S$  and  $\chi_\Lambda$ . For  $g \in G$ , we let  $\mathbf{v}_1, \dots, \mathbf{v}_n$  be a basis of  $V$  of eigenvectors of  $\rho(g)$ , say

$$\rho(g)\mathbf{v}_i = \lambda_i\mathbf{v}_i.$$

We'll be lazy and just write  $g\mathbf{v}_i$  instead of  $\rho(g)\mathbf{v}_i$ . Then, acting on  $\Lambda^2V$ , we get

$$g(\mathbf{v}_i \wedge \mathbf{v}_j) = \lambda_i\lambda_j\mathbf{v}_i \wedge \mathbf{v}_j.$$

Thus

$$\chi_\Lambda(g) = \sum_{1 \leq i < j \leq n} \lambda_i\lambda_j.$$

Since the answer involves the square of the character, let's write that down:

$$\begin{aligned} (\chi(g))^2 &= \left( \sum \lambda_i \right)^2 \\ &= \sum \lambda_i^2 + 2 \sum_{i < j} \lambda_i\lambda_j \\ &= \chi(g^2) + 2 \sum_{i < j} \lambda_i\lambda_j \\ &= \chi(g^2) + 2\chi_\Lambda(g). \end{aligned}$$

Then we can solve to obtain

$$\chi_\Lambda(g) = \frac{1}{2}(\chi^2(g) - \chi(g^2)).$$

Then we can get

$$\chi_S = \chi^2 - \chi_\Lambda = \frac{1}{2}(\chi^2(g) + \chi(g^2)). \quad \square$$

**Example.** Consider again  $G = S_4$ . As before, we have the following table:

		1	3	8	6	6
		1	(1 2)(3 4)	(1 2 3)	(1 2 3 4)	(1 2)
trivial	$\chi_1$	1	1	1	1	1
sign	$\chi_2$	1	1	1	-1	-1
$\pi_X - 1_G$	$\chi_3$	3	-1	0	-1	1
$\chi_3\chi_2$	$\chi_4$	3	-1	0	1	-1
	$\chi_5$					

We now compute  $\chi_5$  in a different way, by decomposing  $\chi_3^2$ . We have

	1	3	8	6	6
	1	(1 2)(3 4)	(1 2 3)	(1 2 3 4)	(1 2)
$\chi_3^2$	9	1	0	1	1
$\chi_3(g^2)$	3	3	0	-1	3
$S^2\chi_3$	6	2	0	0	2
$\Lambda^2\chi_3$	3	-1	0	1	-1

We notice  $\Lambda^2\chi_3$  is just  $\chi_4$ . Now  $S^2\chi_3$  is not irreducible, which we can easily show by computing the inner product. By taking the inner product with the other irreducible characters, we find  $S^2\chi_3 = 1 + \chi_3 + \chi_5$ , where  $\chi_5$  is our new irreducible character. So we obtain

		1	3	8	6	6
		1	(1 2)(3 4)	(1 2 3)	(1 2 3 4)	(1 2)
trivial	$\chi_1$	1	1	1	1	1
sign	$\chi_2$	1	1	1	-1	-1
$\pi_X - 1_G$	$\chi_3$	3	-1	0	-1	1
$\chi_3\chi_2$	$\chi_4$	3	-1	0	1	-1
$S^3\chi_3 - 1 - \chi_3$	$\chi_5$	2	2	-1	0	0

## 9.4 Characters of $G \times H$

We have looked at characters of direct products a bit before, when we decomposed an abelian group into a product of cyclic groups. We will now consider this in the general case.

**Proposition.** Let  $G$  and  $H$  be two finite groups with irreducible characters  $\chi_1, \dots, \chi_k$  and  $\psi_1, \dots, \psi_r$  respectively. Then the irreducible characters of the direct product  $G \times H$  are precisely

$$\{\chi_i\psi_j : 1 \leq i \leq k, 1 \leq j \leq r\},$$

where

$$(\chi_i\psi_j)(g, h) = \chi_i(g)\psi_j(h).$$

*Proof.* Take  $\rho : G \rightarrow \text{GL}(V)$  affording  $\chi$ , and  $\rho' : H \rightarrow \text{GL}(W)$  affording  $\psi$ . Then define

$$\begin{aligned} \rho \otimes \rho' : G \times H &\rightarrow \text{GL}(V \otimes W) \\ (g, h) &\mapsto \rho(g) \otimes \rho'(h), \end{aligned}$$

where

$$(\rho(g) \otimes \rho'(h))(\mathbf{v}_i \otimes \mathbf{w}_j) \mapsto \rho(g)\mathbf{v}_i \otimes \rho'(h)\mathbf{w}_j.$$

This is a representation of  $G \times H$  on  $V \otimes W$ , and  $\chi_{\rho \otimes \rho'} = \chi\psi$ . The proof is similar to the case where  $\rho, \rho'$  are both representations of  $G$ , and we will not repeat it here.

Now we need to show  $\chi_i\psi_j$  are distinct and irreducible. It suffices to show they are orthonormal. We have

$$\begin{aligned} \langle \chi_i\psi_j, \chi_r\psi_s \rangle_{G \times H} &= \frac{1}{|G \times H|} \sum_{(g,h) \in G \times H} \overline{\chi_i\psi_j(g,h)} \chi_r\psi_s(g,h) \\ &= \left( \frac{1}{|G|} \sum_{g \in G} \overline{\chi_i(g)} \chi_r(g) \right) \left( \frac{1}{|H|} \sum_{h \in H} \overline{\psi_j(h)} \psi_s(h) \right) \\ &= \delta_{ir} \delta_{js}. \end{aligned}$$

So it follows that  $\{\chi_i\psi_j\}$  are distinct and irreducible. We need to show this is complete. We can consider

$$\sum_{i,j} \chi_i\psi_j(1)^2 = \sum \chi_i^2(1)\psi_j^2(1) = \left( \sum \chi_i^2(1) \right) \left( \sum \psi_j^2(1) \right) = |G||H| = |G \times H|.$$

So done. □

## 9.5 Symmetric and exterior powers

We have introduced the objects  $S^2V$  and  $\Lambda^2V$ . We can do this in a more general setting, for higher powers. Consider a vector space  $V$  over  $\mathbb{F}$ , and  $\dim_{\mathbb{F}} V = d$ . We let  $\{\mathbf{v}_1, \dots, \mathbf{v}_d\}$  be a basis, and let

$$T^n V = V^{\otimes n} = \underbrace{V \otimes \dots \otimes V}_{n \text{ times}}.$$

The basis for this space is just

$$\{\mathbf{v}_{i_1} \otimes \dots \otimes \mathbf{v}_{i_n} : i_1, \dots, i_n \in \{1, \dots, d\}\}.$$

First of all, there is an obvious  $S_n$ -action on this space, permuting the multipliers of  $V^{\otimes n}$ . For any  $\sigma \in S_n$ , we can define an action  $\sigma : V^{\otimes n} \rightarrow V^{\otimes n}$  given by

$$\mathbf{v}_1 \otimes \dots \otimes \mathbf{v}_n \mapsto \mathbf{v}_{\sigma(1)} \otimes \dots \otimes \mathbf{v}_{\sigma(n)}.$$

Note that this is a left action only if we compose permutations right-to-left. If we compose left-to-right instead, we have to use  $\sigma^{-1}$  instead of  $\sigma$  (or use  $\sigma$  and obtain a right action). In fact, this defines a representation of  $S^n$  on  $V^{\otimes n}$  by linear representation.

If  $V$  itself is a  $G$ -space, then we also get a  $G$ -action on  $V^{\otimes n}$ . Let  $\rho : G \rightarrow \text{GL}(V)$  be a representation. Then we obtain the action of  $G$  on  $V^{\otimes n}$  by

$$\rho^{\otimes n} : \mathbf{v}_1 \otimes \dots \otimes \mathbf{v}_n \mapsto \rho(g)\mathbf{v}_1 \otimes \dots \otimes \rho(g)\mathbf{v}_n.$$

Staring at this carefully, it is clear that these two actions commute with each other. This rather simple innocent-looking observation is the basis of an important theorem by Schur, and has many many applications. However, that would be for another course.

Getting back on track, since the two actions commute, we can decompose  $V^{\otimes n}$  as an  $S_n$ -module, and each isotypical component is a  $G$ -invariant subspace of  $V^{\otimes n}$ . We don't really need this, but it is a good generalization of what we've had before.

**Definition** (Symmetric and exterior power). For a  $G$ -space  $V$ , define

(i) The  $n$ th symmetric power of  $V$  is

$$S^n V = \{x \in V^{\otimes n} : \sigma(x) = x \text{ for all } \sigma \in S_n\}.$$

(ii) The  $n$ th exterior power of  $V$  is

$$\Lambda^n V = \{x \in V^{\otimes n} : \sigma(x) = (\text{sgn } \sigma)x \text{ for all } \sigma \in S_n\}.$$

Both of these are  $G$ -subspaces of  $V^{\otimes n}$ .

Recall that in the  $n = 2$  case, we have  $V^{\otimes 2} = S^2 V \oplus \Lambda^2 V$ . However, for  $n > 2$ , we get  $S^n V \oplus \Lambda^n V \subsetneq V^{\otimes n}$ . In general, lots of others are obtained from the  $S_n$ -action.

**Example.** The bases for  $S^n V$  and  $\Lambda^n V$  are obtained as follows: take  $\mathbf{e}_1, \dots, \mathbf{e}_d$  a basis of  $V$ . Then

$$\left\{ \frac{1}{n!} \sum_{\sigma \in S_n} \mathbf{e}_{i_{\sigma(1)}} \otimes \cdots \otimes \mathbf{e}_{i_{\sigma(n)}} : 1 \leq i_1 \leq \cdots \leq i_n \leq d \right\}$$

is a basis for  $S^n V$ . So the dimension is

$$\dim S^n V = \binom{d+n-1}{n}.$$

For  $\Lambda^n V$ , we get a basis of

$$\left\{ \frac{1}{n!} \sum_{\sigma \in S_n} (\text{sgn } \sigma) \mathbf{e}_{i_{\sigma(1)}} \otimes \cdots \otimes \mathbf{e}_{i_{\sigma(n)}} : 1 \leq i_1 < \cdots < i_n \leq d \right\}.$$

The dimension is

$$\dim \Lambda^n V = \begin{cases} 0 & n > d \\ \binom{d}{n} & n \leq d \end{cases}$$

Details are left for the third example sheet.

## 9.6 Tensor algebra

This part is for some unknown reason included in the schedules. We do not need it here, but it has many applications elsewhere.

Consider a field  $\mathbb{F}$  with characteristic 0.

**Definition** (Tensor algebra). Let  $T^n V = V^{\otimes n}$ . Then the *tensor algebra* of  $V$  is

$$T^*(V) = T(V) = \bigoplus_{n \geq 0} T^n V,$$

with  $T^0 V = \mathbb{F}$  by convention.

This is a vector space over  $\mathbb{F}$  with the obvious addition and multiplication by scalars.  $T(V)$  is also a (non-commutative) (graded) ring with product  $x \cdot y = x \otimes y$ . This is graded in the sense that if  $x \in T^n V$  and  $y \in T^m V$ ,  $x \cdot y = x \otimes y \in T^{n+m} V$ .

**Definition** (Symmetric and exterior algebra). We define the *symmetric algebra* of  $V$  to be

$$S(V) = T(V)/(\text{ideal of } T(V) \text{ generated by all } u \otimes v - v \otimes u).$$

The *exterior algebra* of  $V$  is

$$\Lambda(V) = T(V)/(\text{ideal of } T(V) \text{ generated by all } v \otimes v).$$

Note that  $v$  and  $u$  are not elements of  $V$ , but arbitrary elements of  $T^n V$  for some  $n$ .

The symmetric algebra is commutative, while the exterior algebra is *graded-commutative*, i.e. if  $x \in \Lambda^n(V)$  and  $y \in \Lambda^m(V)$ , then  $x \cdot y = (-1)^{n+m+1} y \cdot x$ .

## 9.7 Character ring

Recall that  $\mathcal{C}(G)$  is a commutative ring, and contains all the characters. Also, the sum and products of characters are characters. However, they don't quite form a subring, since we are not allowed to subtract.

**Definition** (Character ring). The *character ring* of  $G$  is the  $\mathbb{Z}$ -submodule of  $\mathcal{C}(G)$  spanned by the irreducible characters and is denoted  $R(G)$ .

Recall we can obtain all characters by adding irreducible characters. However, subtraction is not allowed. So the charactering ring includes something that is not quite a character.

**Definition** (Generalized/virtual characters). The elements of  $R(G)$  are called *generalized or virtual characters*. These are class functions of the form

$$\psi = \sum_{\chi} n_{\chi} \chi,$$

summing over all irreducibles  $\chi$ , and  $n_{\chi} \in \mathbb{Z}$ .

We know  $R(G)$  is a ring, and any generalized character is a difference of two characters (we let

$$\alpha = \sum_{n_{\chi} \geq 0} n_{\chi} \chi, \quad \beta = \sum_{n_{\chi} < 0} (-n_{\chi}) \chi.$$

Then  $\psi = \alpha - \beta$ , and  $\alpha$  and  $\beta$  are characters). Then the irreducible characters  $\{\chi_i\}$  forms a  $\mathbb{Z}$ -basis for  $R(G)$  as a free  $\mathbb{Z}$ -module, since we have shown that they are independent, and it generates  $R(G)$  by definition.

**Lemma.** Suppose  $\alpha$  is a generalized character and  $\langle \alpha, \alpha \rangle = 1$  and  $\alpha(1) > 0$ . Then  $\alpha$  is actually a character of an irreducible representation of  $G$ .

*Proof.* We list the irreducible characters as  $\chi_1, \dots, \chi_k$ . We then write

$$\alpha = \sum n_i \chi_i.$$

Since the  $\chi_i$ 's are orthonormal, we get

$$\langle \alpha, \alpha \rangle = \sum n_i^2 = 1.$$

So exactly one of  $n_i$  is  $\pm 1$ , while the others are all zero. So  $\alpha = \pm \chi_i$  for some  $i$ . Finally, since  $\alpha(1) > 0$  and also  $\chi_i(1) > 0$ , we must have  $n_i = +1$ . So  $\alpha = \chi_i$ .  $\square$



Henceforth we don't distinguish between a character and its negative, and often study generalized characters of inner product 1 rather than irreducible characters.

## 10 Induction and restriction

This is the last chapter on methods of calculating characters. Afterwards, we shall start applying these to do something useful.

Throughout the chapter, we will take  $\mathbb{F} = \mathbb{C}$ . Suppose  $H \leq G$ . How do characters of  $G$  and  $H$  relate? It is easy to see that every representation of  $G$  can be restricted to give a representation of  $H$ . More surprisingly, given a representation of  $H$ , we can induce a representation of  $G$ .

We first deal with the easy case of restriction.

**Definition (Restriction).** Let  $\rho : G \rightarrow \text{GL}(V)$  be a representation affording  $\chi$ . We can think of  $V$  as an  $H$ -space by restricting  $\rho$ 's attention to  $h \in H$ . We get a representation  $\text{Res}_H^G \rho = \rho_H = \rho \downarrow_H$ , the *restriction* of  $\rho$  to  $H$ . It affords the character  $\text{Res}_H^G \chi = \chi_H = \chi \downarrow_H$ .

It is a fact of life that the restriction of an irreducible character is in general not irreducible. For example, restricting any non-linear irreducible character to the trivial subgroup will clearly produce a reducible character.

**Lemma.** Let  $H \leq G$ . If  $\psi$  is any non-zero irreducible character of  $H$ , then there exists an irreducible character  $\chi$  of  $G$  such that  $\psi$  is a constituent of  $\text{Res}_H^G \chi$ , i.e.

$$\langle \text{Res}_H^G \chi, \psi \rangle \neq 0.$$

*Proof.* We list the irreducible characters of  $G$  as  $\chi_1, \dots, \chi_k$ . Recall the regular character  $\pi_{\text{reg}}$ . Consider

$$\langle \text{Res}_H^G \pi_{\text{reg}}, \psi \rangle = \frac{|G|}{|H|} \psi(1) \neq 0.$$

On the other hand, we also have

$$\langle \text{Res}_H^G \pi_{\text{reg}}, \psi \rangle_H = \sum_1^k \deg \chi_i \langle \text{Res}_H^G \chi_i, \psi \rangle.$$

If this sum has to be non-zero, then there must be some  $i$  such that  $\langle \text{Res}_H^G \chi_i, \psi \rangle \neq 0$ .  $\square$

**Lemma.** Let  $\chi$  be an irreducible character of  $G$ , and let

$$\text{Res}_H^G \chi = \sum_i c_i \chi_i,$$

with  $\chi_i$  irreducible characters of  $H$ , and  $c_i$  non-negative integers. Then

$$\sum c_i^2 \leq |G : H|,$$

with equality iff  $\chi(g) = 0$  for all  $g \in G \setminus H$ .

This is useful only if the index is small, e.g. 2 or 3.

*Proof.* We have

$$\langle \text{Res}_H^G \chi, \text{Res}_H^G \chi \rangle_H = \sum c_i^2.$$

However, by definition, we also have

$$\langle \text{Res}_H^G \chi, \text{Res}_H^G \chi \rangle_H = \frac{1}{|H|} \sum_{h \in H} |\chi(h)|^2.$$

On the other hand, since  $\chi$  is irreducible, we have

$$\begin{aligned} 1 &= \langle \chi, \chi \rangle_G \\ &= \frac{1}{|G|} \sum_{g \in G} |\chi(g)|^2 \\ &= \frac{1}{|G|} \left( \sum_{h \in H} |\chi(h)|^2 + \sum_{g \in G \setminus H} |\chi(g)|^2 \right) \\ &= \frac{|H|}{|G|} \sum c_i^2 + \frac{1}{|G|} \sum_{g \in G \setminus H} |\chi(g)|^2 \\ &\geq \frac{|H|}{|G|} \sum c_i^2. \end{aligned}$$

So the result follows.  $\square$

Now we shall go in the other direction — given a character of  $H$ , we want to induce a character of the larger group  $G$ . Perhaps rather counter-intuitively, it is easier for us to first construct the character, and then later find a representation that affords this character.

We start with a slightly more general notion of an induced *class function*.

**Definition** (Induced class function). Let  $\psi \in \mathcal{C}(H)$ . We define the *induced class function*  $\text{Ind}_H^G \psi = \psi \uparrow^G = \psi^G$  by

$$\text{Ind}_H^G \psi(g) = \frac{1}{|H|} \sum_{x \in G} \dot{\psi}(x^{-1}gx),$$

where

$$\dot{\psi}(y) = \begin{cases} \psi(y) & y \in H \\ 0 & y \notin H \end{cases}.$$

The first thing to check is, of course, that  $\text{Ind}_H^G$  is indeed a class function.

**Lemma.** Let  $\psi \in \mathcal{C}_H$ . Then  $\text{Ind}_H^G \psi \in \mathcal{C}(G)$ , and  $\text{Ind}_H^G \psi(1) = |G : H| \psi(1)$ .

*Proof.* The fact that  $\text{Ind}_H^G \psi$  is a class function follows from direct inspection of the formula. Then we have

$$\text{Ind}_H^G \psi(1) = \frac{1}{|H|} \sum_{x \in G} \dot{\psi}(1) = \frac{|G|}{|H|} \psi(1) = |G : H| \psi(1). \quad \square$$

As it stands, the formula is not terribly useful. So we need to find an alternative formula for it.

If we have a subset, a sensible thing to do is to look at the cosets of  $H$ . We let  $n = |G : H|$ , and let  $1 = t_1, \dots, t_n$  be a *left transversal* of  $H$  in  $G$ , i.e.  $t_1H = H, \dots, t_nH$  are precisely the  $n$  left-cosets of  $H$  in  $G$ .

**Lemma.** Given a (left) transversal  $t_1, \dots, t_n$  of  $H$ , we have

$$\text{Ind}_H^G \psi(g) = \sum_{i=1}^n \mathring{\psi}(t_i^{-1}gt_i).$$

*Proof.* We can express every  $x \in G$  as  $x = t_ih$  for some  $h \in H$  and  $i$ . We then have

$$\mathring{\psi}((t_ih)^{-1}g(t_ih)) = \mathring{\psi}(h^{-1}(t_i^{-1}gt_i)h) = \mathring{\psi}(t_i^{-1}gt_i),$$

since  $\psi$  is a class function of  $H$ , and  $h^{-1}(t_i^{-1}gt_i)h \in H$  if and only if  $t_i^{-1}gt_i \in H$ , as  $h \in H$ . So the result follows.  $\square$

This is not too useful as well. But we are building up to something useful.

**Theorem** (Frobenius reciprocity). Let  $\psi \in \mathcal{C}(H)$  and  $\varphi \in \mathcal{C}(G)$ . Then

$$\langle \text{Res}_H^G \varphi, \psi \rangle_H = \langle \varphi, \text{Ind}_H^G \psi \rangle_G.$$

Alternatively, we can write this as

$$\langle \varphi_H, \psi \rangle = \langle \varphi, \psi^G \rangle.$$

If you are a category theorist, and view restriction and induction as functors, then this says restriction is a right adjoint to induction, and this is just a special case of the tensor-Hom adjunction. If you neither understand nor care about this, then this is a good sign [sic].

*Proof.* We have

$$\begin{aligned} \langle \varphi, \psi^G \rangle &= \frac{1}{|G|} \sum_{g \in G} \overline{\varphi(g)} \psi^G(g) \\ &= \frac{1}{|G||H|} \sum_{x, g \in G} \overline{\varphi(g)} \mathring{\psi}(x^{-1}gx) \end{aligned}$$

We now write  $y = x^{-1}gx$ . Then summing over  $g$  is the same as summing over  $y$ . Since  $\varphi$  is a  $G$ -class function, this becomes

$$= \frac{1}{|G||H|} \sum_{x, y \in G} \overline{\varphi(y)} \mathring{\psi}(y)$$

Now note that the sum is independent of  $x$ . So this becomes

$$= \frac{1}{|H|} \sum_{y \in G} \overline{\varphi(y)} \mathring{\psi}(y)$$

Now this only has contributions when  $y \in H$ , by definition of  $\mathring{\psi}$ . So

$$\begin{aligned} &= \frac{1}{|H|} \sum_{y \in H} \overline{\varphi(y)} \psi(y) \\ &= \langle \varphi_H, \psi \rangle_H. \end{aligned}$$

$\square$

**Corollary.** Let  $\psi$  be a character of  $H$ . Then  $\text{Ind}_H^G \psi$  is a character of  $G$ .

*Proof.* Let  $\chi$  be an irreducible character of  $G$ . Then

$$\langle \text{Ind}_H^G \psi, \chi \rangle = \langle \psi, \text{Res}_H^G \chi \rangle.$$

Since  $\psi$  and  $\text{Res}_H^G \chi$  are characters, the thing on the right is in  $\mathbb{Z}_{\geq 0}$ . Hence  $\text{Ind}_H^G \psi$  is a linear combination of irreducible characters with non-negative coefficients, and is hence a character.  $\square$

Recall we denote the conjugacy class of  $g \in G$  as  $\mathcal{C}_G(g)$ , while the centralizer is  $C_G(g)$ . If we take a conjugacy class  $\mathcal{C}_G(g)$  in  $G$  and restrict it to  $H$ , then the result  $\mathcal{C}_G(g) \cap H$  need not be a conjugacy class, since the element needed to conjugate  $x, y \in \mathcal{C}_G(g) \cap H$  need not be present in  $H$ , as is familiar from the case of  $A_n \leq S_n$ . However, we know that  $\mathcal{C}_G(g) \cap H$  is a union of conjugacy classes in  $H$ , since elements conjugate in  $H$  are also conjugate in  $G$ .

**Proposition.** Let  $\psi$  be a character of  $H \leq G$ , and let  $g \in G$ . Let

$$\mathcal{C}_G(g) \cap H = \bigcup_{i=1}^m \mathcal{C}_H(x_i),$$

where the  $x_i$  are the representatives of the  $H$  conjugacy classes of elements of  $H$  conjugate to  $g$ . If  $m = 0$ , then  $\text{Ind}_H^G \psi(g) = 0$ . Otherwise,

$$\text{Ind}_H^G \psi(g) = |C_G(g)| \sum_{i=1}^m \frac{\psi(x_i)}{|C_H(x_i)|}.$$

This is all just group theory. Note that some people will think this proof is excessive — everything shown is “obvious”. In some sense it is. Some steps seem very obvious to certain people, but we are spelling out all the details so that everyone is happy.

*Proof.* If  $m = 0$ , then  $\{x \in G : x^{-1}gx \in H\} = \emptyset$ . So  $\psi(x^{-1}gx) = 0$  for all  $x$ . So  $\text{Ind}_H^G \psi(g) = 0$  by definition.

Now assume  $m > 0$ . We let

$$X_i = \{x \in G : x^{-1}gx \in H \text{ and is conjugate in } H \text{ to } x_i\}.$$

By definition of  $x_i$ , we know the  $X_i$ 's are pairwise disjoint, and their union is  $\{x \in G : x^{-1}gx \in H\}$ . Hence by definition,

$$\begin{aligned} \text{Ind}_H^G \psi(g) &= \frac{1}{|H|} \sum_{x \in G} \psi(x^{-1}gx) \\ &= \frac{1}{|H|} \sum_{i=1}^m \sum_{x \in X_i} \psi(x^{-1}gx) \\ &= \frac{1}{|H|} \sum_{i=1}^m \sum_{x \in X_i} \psi(x_i) \\ &= \sum_{i=1}^m \frac{|X_i|}{|H|} \psi(x_i). \end{aligned}$$

So we now have to show that in fact

$$\frac{|X_i|}{|H|} = \frac{|C_G(g)|}{|C_H(x_i)|}.$$

We fix some  $1 \leq i \leq m$ . Choose some  $g_i \in G$  such that  $g_i^{-1}gg_i = x_i$ . This exists by definition of  $x_i$ . So for every  $c \in C_G(g)$  and  $h \in H$ , we have

$$(cg_ih)^{-1}g(cg_ih) = h^{-1}g_i^{-1}c^{-1}gcg_ih$$

We now use the fact that  $c$  commutes with  $g$ , since  $c \in C_G(g)$ , to get

$$\begin{aligned} &= h^{-1}g_i^{-1}c^{-1}cgg_ih \\ &= h^{-1}g_i^{-1}gg_ih \\ &= h^{-1}x_ih. \end{aligned}$$

Hence by definition of  $X_i$ , we know  $cg_ih \in X_i$ . Hence

$$C_G(g)g_iH \subseteq X_i.$$

Conversely, if  $x \in X_i$ , then  $x^{-1}gx = h^{-1}x_ih = h^{-1}(g_i^{-1}gg_i)h$  for some  $h$ . Thus  $xh^{-1}g_i^{-1} \in C_G(g)$ , and so  $x \in C_G(g)g_ih$ . So

$$x \in C_G(g)g_iH.$$

So we conclude

$$X_i = C_G(g)g_iH.$$

Thus, using some group theory magic, which we shall not prove, we get

$$|X_i| = |C_G(g)g_iH| = \frac{|C_G(g)||H|}{|H \cap g_i^{-1}C_G(g)g_i|}$$

Finally, we note

$$g_i^{-1}C_G(g)g_i = C_G(g_i^{-1}gg_i) = C_G(x_i).$$

Thus

$$|X_i| = \frac{|H||C_G(g)|}{|H \cap C_G(x_i)|} = \frac{|H||C_G(g)|}{|C_H(x_i)|}.$$

Dividing, we get

$$\frac{|X_i|}{|H|} = \frac{|C_G(g)|}{|C_H(x_i)|}.$$

So done. □

To clarify matters, if  $H, K \leq G$ , then a *double coset* of  $H, K$  in  $G$  is a set

$$HxK = \{h x k : h \in H, k \in K\}$$

for some  $x \in G$ . Facts about them include

- (i) Two double cosets are either disjoint or equal.

(ii) The sizes are given by

$$\frac{|H||K|}{|H \cap xKx^{-1}|} = \frac{|H||K|}{|x^{-1}Hx \cap K|}.$$

**Lemma.** Let  $\psi = 1_H$ , the trivial character of  $H$ . Then  $\text{Ind}_H^G 1_H = \pi_X$ , the permutation character of  $G$  on the set  $X$ , where  $X = G/H$  is the set of left cosets of  $H$ .

*Proof.* We let  $n = |G : H|$ , and  $t_1, \dots, t_n$  be representatives of the cosets. By definition, we know

$$\begin{aligned} \text{Ind}_H^G 1_H(g) &= \sum_{i=1}^n \mathbb{1}_H(t_i^{-1}gt_i) \\ &= |\{i : t_i^{-1}gt_i \in H\}| \\ &= |\{i : g \in t_i H t_i^{-1}\}| \end{aligned}$$

But  $t_i H t_i^{-1}$  is the stabilizer in  $G$  of the coset  $t_i H \in X$ . So this is equal to

$$\begin{aligned} &= |\text{fix}_X(g)| \\ &= \pi_X(g). \end{aligned} \quad \square$$

By Frobenius reciprocity, we know

$$\langle \pi_X, 1_G \rangle_G = \langle \text{Ind}_H^G 1_H, 1_G \rangle_G = \langle 1_H, 1_H \rangle_H = 1.$$

So the multiplicity of  $1_G$  in  $\pi_X$  is indeed 1, as we have shown before.

**Example.** Let  $H = C_4 = \langle (1\ 2\ 3\ 4) \rangle \leq G = S_4$ . The index is  $|S_4 : C_4| = 6$ . We consider the character of the induced representation  $\text{Ind}_H^G(\alpha)$ , where  $\alpha$  is the faithful 1-dimensional representation of  $C_4$  given by

$$\alpha((1\ 2\ 3\ 4)) = i.$$

Then the character of  $\alpha$  is

	1	(1 2 3 4)	(1 3)(2 4)	(1 4 3 2)
$\chi_\alpha$	1	$i$	-1	$-i$

What is the induced character in  $S_4$ ? We know that

$$\text{Ind}_H^G(\alpha)(1) = |G : H|\alpha(1) = |G : H| = 6.$$

So the degree of  $\text{Ind}_H^G(\alpha)$  is 6. Also, the elements (1 2) and (3 4) are not conjugate to anything in  $C_4$ . So the character vanishes.

For (1 2)(3 4), only one of the three conjugates in  $S_4$  lie in  $H$  (namely (1 3)(2 4)). So, using the sizes of the centralizers, we obtain

$$\text{Ind}_H^G((1\ 3)(2\ 4)) = 8 \left( \frac{-1}{4} \right) = -2.$$

For  $(1\ 2\ 3\ 4)$ , it is conjugate to 6 elements of  $S_4$ , two of which are in  $C_4$ , namely  $(1\ 2\ 3\ 4)$  and  $(1\ 4\ 3\ 2)$ . So

$$\text{Ind}_H^G(1\ 2\ 3\ 4) = 4 \left( \frac{i}{4} + \frac{-i}{4} \right) = 0.$$

So we get the following character table:

	1	6	8	3	6
	1	(1 2)	(1 2 3)	(1 2)(3 4)	(1 2 3 4)
$\text{Ind}_H^G(\alpha)$	6	0	0	-2	0

### Induced representations

We have constructed a class function, and showed it is indeed the character of some representation. However, we currently have no idea what this corresponding representation is. Here we will try to construct such a representation explicitly. This is not too enlightening, but its nice to know it can be done. We will also need this explicit construction when proving Mackey's theorem later.

Let  $H \leq G$  have index  $n$ , and  $1 = t_1, \dots, t_n$  be a left transversal. Let  $W$  be a  $H$ -space. Define the vector space

$$V = \text{Ind}_H^G W = W \oplus (t_2 \otimes W) \oplus \dots \oplus (t_n \otimes W),$$

where

$$t_i \otimes W = \{t_i \otimes \mathbf{w} : \mathbf{w} \in W\}.$$

So  $\dim V = n \dim W$ .

To define the  $G$ -action on  $V$ , let  $g \in G$ . Then for every  $i$ , there is a unique  $j$  such that  $t_j^{-1}gt_i \in H$ , i.e.  $gt_iH = t_jH$ . We define

$$g(t_i \otimes \mathbf{w}) = t_j \otimes ((t_j^{-1}gt_i)\mathbf{w}).$$

We tend to drop the tensor signs, and just write

$$g(t_i \mathbf{w}) = t_j(t_j^{-1}gt_i \mathbf{w}).$$

We claim this is a  $G$ -action. We have

$$g_1(g_2t_i \mathbf{w}) = g_1(t_j(t_j^{-1}g_2t_i)\mathbf{w}),$$

where  $j$  is the unique index such that  $g_2t_iH = t_jH$ . This is then equal to

$$t_\ell((t_\ell^{-1}gt_j)(t_j^{-1}g_2t_i)\mathbf{w}) = t_\ell((t_\ell^{-1}(g_1g_2)t_i)\mathbf{w}) = (g_1g_2)(t_i \mathbf{w}),$$

where  $\ell$  is the unique index such that  $g_1t_jH = t_\ell H$  (and hence  $g_1g_2t_iH = g_1t_jH = t_\ell H$ ).

**Definition** (Induced representation). Let  $H \leq G$  have index  $n$ , and  $1 = t_1, \dots, t_n$  be a left transversal. Let  $W$  be a  $H$ -space. Define the *induced representation* to be the vector space

$$\text{Ind}_H^G W = W \oplus t_2 \otimes W \oplus \dots \oplus t_n \otimes W,$$

with the  $G$ -action

$$g : t_i \mathbf{w} \mapsto t_j(t_j^{-1}gt_i)\mathbf{w},$$

where  $t_j$  is the unique element (among  $t_1, \dots, t_n$ ) such that  $t_j^{-1}gt_i \in H$ .



This has the “right” character. Suppose  $W$  has character  $\psi$ . Since  $g : t_i \mathbf{w} \mapsto t_j (t_j^{-1} g t_i)$ , the contribution to the character is 0 unless  $j = i$ , i.e. if  $t_i^{-1} g t_i \in H$ . Then it contributes

$$\psi(t_i^{-1} g t_i).$$

Thus we get

$$\mathrm{Ind}_H^G \psi(g) = \sum_{i=1}^n \psi(t_i^{-1} g t_i).$$

Note that this construction is rather ugly. It could be made much nicer if we knew a bit more algebra — we can write the induced module simply as

$$\mathrm{Ind}_H^G W = \mathbb{F}G \otimes_{\mathbb{F}H} W,$$

where we view  $W$  as a left- $\mathbb{F}H$  module, and  $\mathbb{F}G$  as a  $(\mathbb{F}G, \mathbb{F}H)$ -bimodule. Alternatively, this is the *extension of scalars* from  $\mathbb{F}H$  to  $\mathbb{F}G$ .

## 11 Frobenius groups

We will now use character theory to prove some major results in finite group theory. We first do Frobenius' theorem here. Later, will prove Burnside's  $p^a q^b$  theorem.

This is a theorem with lots and lots of proofs, but all of these proofs involves some sort of representation theory — it seems like representation is an unavoidable ingredient of it.

**Theorem** (Frobenius' theorem (1891)). Let  $G$  be a transitive permutation group on a finite set  $X$ , with  $|X| = n$ . Assume that each non-identity element of  $G$  fixes *at most one* element of  $X$ . Then the set of fixed point-free elements (“derangements”)

$$K = \{1\} \cup \{g \in G : g\alpha \neq \alpha \text{ for all } \alpha \in X\}$$

is a normal subgroup of  $G$  with order  $n$ .

On the face of it, it is not clear  $K$  is even a subgroup at all. It turns out normality isn't really hard to prove — the hard part is indeed showing it is a subgroup.

Note that we did not explicitly say  $G$  is finite. But these conditions imply  $G \leq S_n$ , which forces  $G$  to be finite.

*Proof.* The idea of the proof is to construct a character  $\Theta$  whose kernel is  $K$ . First note that by definition of  $K$ , we have

$$G = K \cup \bigcup_{\alpha \in X} G_\alpha,$$

where  $G_\alpha$  is, as usual, the stabilizer of  $\alpha$ . Also, we know that  $G_\alpha \cap G_\beta = \{1\}$  if  $\alpha \neq \beta$  by assumption, and by definition of  $K$ , we have  $K \cap G_\alpha = \{1\}$  as well.

Next note that all the  $G_\alpha$  are conjugate. Indeed, we know  $G$  is transitive, and  $gG_\alpha g^{-1} = G_{g\alpha}$ . We set  $H = G_\alpha$  for some arbitrary choice of  $\alpha$ . Then the above tells us that

$$|G| = |K| + |X|(|H| - 1).$$

On the other hand, by the orbit-stabilizer theorem, we know  $|G| = |X||H|$ . So it follows that we have

$$|K| = |X| = n.$$

We first compute what induced characters look like.

**Claim.** Let  $\psi$  be a character of  $H$ . Then

$$\text{Ind}_H^G \psi(g) = \begin{cases} n\psi(1) & g = 1 \\ \psi(g) & g \in H \setminus \{1\} \\ 0 & g \in K \setminus \{1\} \end{cases}.$$

Since every element in  $G$  is either in  $K$  or conjugate to an element in  $H$ , this uniquely specifies what the induced character is.

This is a matter of computation. Since  $[G : H] = n$ , the case  $g = 1$  immediately follows. Using the definition of the induced character, since any non-identity in  $K$  is not conjugate to any element in  $H$ , we know the induced character vanishes on  $K \setminus \{1\}$ .

Finally, suppose  $g \in H \setminus \{1\}$ . Note that if  $x \in G$ , then  $xgx^{-1} \in G_{x\alpha}$ . So this lies in  $H$  if and only if  $x \in H$ . So we can write the induced character as

$$\text{Ind}_H^G \psi(g) = \frac{1}{|H|} \sum_{g \in G} \psi(xgx^{-1}) = \frac{1}{|H|} \sum_{h \in H} \psi(hgh^{-1}) = \psi(g).$$

**Claim.** Let  $\psi$  be an irreducible character of  $H$ , and define

$$\theta = \psi^G - \psi(1)(1_H)^G + \psi(1)1_G.$$

Then  $\theta$  is a character, and

$$\theta(g) = \begin{cases} \psi(h) & h \in H \\ \psi(1) & k \in K \end{cases}.$$

Note that we chose the coefficients exactly so that the final property of  $\theta$  holds. This is a matter of computation:

	1	$h \in H \setminus \{1\}$	$K \setminus \{1\}$
$\psi^G$	$n\psi(1)$	$\psi(h)$	0
$\psi(1)(1_H)^G$	$n\psi(1)$	$\psi(1)$	0
$\psi(1)1_G$	$\psi(1)$	$\psi(1)$	$\psi(1)$
$\theta_i$	$\psi(1)$	$\psi(h)$	$\psi(1)$

The less obvious part is that  $\theta$  is a character. From the way we wrote it, we already know it is a virtual character. We then compute the inner product

$$\begin{aligned} \langle \theta, \theta \rangle_G &= \frac{1}{|G|} \sum_{g \in G} |\theta(g)|^2 \\ &= \frac{1}{|G|} \left( \sum_{g \in K} |\theta(g)|^2 + \sum_{g \in G \setminus K} |\theta(g)|^2 \right) \\ &= \frac{1}{|G|} \left( n|\psi(1)|^2 + n \sum_{h \neq 1 \in H} |\psi(h)|^2 \right) \\ &= \frac{1}{|G|} \left( n \sum_{h \in H} |\psi(h)|^2 \right) \\ &= \frac{1}{|G|} (n|H| \langle \psi, \psi \rangle_H) \\ &= 1. \end{aligned}$$

So either  $\theta$  or  $-\theta$  is a character. But  $\theta(1) = \psi(1) > 0$ . So  $\theta$  is a character.

Finally, we have

**Claim.** Let  $\psi_1, \dots, \psi_t$  be the irreducible representations of  $H$ , and  $\theta_i$  be the corresponding representations of  $G$  constructed above. Set

$$\Theta = \sum_{i=1}^t \theta_i(1)\theta_i.$$

Then we have

$$\theta(g) = \begin{cases} |H| & g \in K \\ 0 & g \notin K \end{cases}.$$

From this, it follows that the kernel of the representation affording  $\theta$  is  $K$ , and in particular  $K$  is a normal subgroup of  $G$ .

This is again a computation using column orthogonality. For  $1 \neq h \in H$ , we have

$$\Theta(h) = \sum_{i=1}^t \psi_i(1)\psi_i(h) = 0,$$

and for any  $y \in K$ , we have

$$\Theta(y) = \sum_{i=1}^t \psi_i(1)^2 = |H|.$$

□

**Definition** (Frobenius group and Frobenius complement). A *Frobenius group* is a group  $G$  having a subgroup  $H$  such that  $H \cap gHg^{-1} = 1$  for all  $g \notin H$ . We say  $H$  is a *Frobenius complement* of  $G$ .

How does this relate to the previous theorem?

**Proposition.** The left action of any finite Frobenius group on the cosets of the Frobenius complement satisfies the hypothesis of Frobenius' theorem.

**Definition** (Frobenius kernel). The *Frobenius kernel* of a Frobenius group  $G$  is the  $K$  obtained from Frobenius' theorem.

*Proof.* Let  $G$  be a Frobenius group, having a complement  $H$ . Then the action of  $G$  on the cosets  $G/H$  is transitive. Furthermore, if  $1 \neq g \in G$  fixes  $xH$  and  $yH$ , then we have  $g \in xHx^{-1} \cap yHy^{-1}$ . This implies  $H \cap (y^{-1}x)H(y^{-1}x)^{-1} \neq 1$ . Hence  $xH = yH$ . □

Note that J. Thompson (in his 1959 thesis) proved any finite group having a fixed-point free automorphism of prime order is nilpotent. This implies  $K$  is nilpotent, which means  $K$  is a direct product of its Sylow subgroups.

## 12 Mackey theory

We work over  $\mathbb{C}$ . We wish to describe the restriction to a subgroup  $K \leq G$  of an induced representation  $\text{Ind}_H^G W$ , i.e. the character  $\text{Res}_K^G \text{Ind}_H^G W$ . In general,  $K$  and  $H$  can be unrelated, but in many applications, we have  $K = H$ , in which case we can characterize when  $\text{Ind}_H^G W$  is irreducible.

It is quite helpful to first look at a special case, where  $W = 1_H$  is the trivial representation. Thus  $\text{Ind}_H^G 1_H$  is the permutation representation of  $G$  on  $G/H$  (coset action on the left cosets of  $H$  in  $G$ ).

Recall that by the orbit-stabilizer theorem, if  $G$  is transitive on the set  $X$ , and  $H = G_\alpha$  for some  $\alpha \in X$ , then the action of  $G$  on  $X$  is isomorphic the action on  $G/H$ , namely the correspondence

$$g\alpha \leftrightarrow gH$$

is a well-defined bijection, and commutes with with the  $g$ -action (i.e.  $x(g\alpha) = (xg)\alpha \leftrightarrow x(gH) = (xg)H$ ).

We now consider the action of  $G$  on  $G/H$  and let  $K \leq G$ . Then  $K$  also acts on  $G/H$ , and  $G/H$  splits into  $K$ -orbits. The  $K$ -orbit of  $gH$  contains precisely  $kgH$  for  $k \in K$ . So it is the double coset

$$KgH = \{kgh : k \in K, h \in H\}.$$

The set of double cosets  $K \backslash G/H$  partition  $G$ , and the number of double cosets is

$$|K \backslash G/H| = \langle \pi_{G/K}, \pi_{G/H} \rangle.$$

We don't need this, but this is true.

If it happens that  $H = K$ , and  $H$  is normal, then we just have  $K \backslash G/H = G/H$ .

What about stabilizers? Clearly,  $G_{gH} = gHg^{-1}$ . Thus, restricting to the action of  $K$ , we have  $K_{gH} = gHg^{-1} \cap K$ . We call  $H_g = K_{gH}$ .

So by our correspondence above, the action of  $K$  on the orbit containing  $gH$  is isomorphic to the action of  $K$  on  $K/(gHg^{-1} \cap K) = K/H_g$ . From this, and using the fact that  $\text{Ind}_H^G 1_H = \mathbb{C}(G/H)$ , we get the special case of Mackey's theorem:

**Proposition.** Let  $G$  be a finite group and  $H, K \leq G$ . Let  $g_1, \dots, g_k$  be the representatives of the double cosets  $K \backslash G/H$ . Then

$$\text{Res}_K^G \text{Ind}_H^G 1_H \cong \bigoplus_{i=1}^k \text{Ind}_{g_i H g_i^{-1} \cap K}^K 1.$$

The general form of Mackey's theorem is the following:

**Theorem** (Mackey's restriction formula). In general, for  $K, H \leq G$ , we let  $\mathcal{S} = \{1, g_1, \dots, g_r\}$  be a set of double coset representatives, so that

$$G = \bigcup K g_i H.$$

We write  $H_g = gHg^{-1} \cap K \leq G$ . We let  $(\rho, W)$  be a representation of  $H$ . For each  $g \in G$ , we define  $(\rho_g, W_g)$  to be a representation of  $H_g$ , with the same underlying vector space  $W$ , but now the action of  $H_g$  is

$$\rho_g(x) = \rho(g^{-1}xg),$$

where  $h = g^{-1}xg \in H$  by construction.

This is clearly well-defined. Since  $H_g \leq K$ , we obtain an induced representation  $\text{Ind}_{H_g}^K W_g$ .

Let  $G$  be finite,  $H, K \leq G$ , and  $W$  be a  $H$ -space. Then

$$\text{Res}_K^G \text{Ind}_H^G W = \bigoplus_{g \in \mathcal{S}} \text{Ind}_{H_g}^K W_g.$$

We will defer the proof of this for a minute or two. We will first derive some corollaries of this, starting with the character version of the theorem.

**Corollary.** Let  $\psi$  be a character of a representation of  $H$ . Then

$$\text{Res}_K^G \text{Ind}_H^G \psi = \sum_{g \in \mathcal{S}} \text{Ind}_{H_g}^K \psi_g,$$

where  $\psi_g$  is the class function (and a character) on  $H_g$  given by

$$\psi_g(x) = \psi(g^{-1}xg).$$

These characters  $\psi_g$  are sometimes known as the *conjugate characters*. This obviously follows from Mackey's restriction formula.

**Corollary** (Mackey's irreducibility criterion). Let  $H \leq G$  and  $W$  be a  $H$ -space. Then  $V = \text{Ind}_H^G W$  is irreducible if and only if

- (i)  $W$  is irreducible; and
- (ii) For each  $g \in \mathcal{S} \setminus H$ , the two  $H_g$  spaces  $W_g$  and  $\text{Res}_{H_g}^H W$  have no irreducible constituents in common, where  $H_g = gHg^{-1} \cap H$ .

Note that the set  $\mathcal{S}$  of representatives was chosen arbitrarily. So we may require (ii) to hold for *all*  $g \in G \setminus H$ , instead of  $g \in \mathcal{S} \setminus H$ . While there are more things to check in  $G$ , it is sometimes convenient not have to pick out an  $\mathcal{S}$  explicitly.

Note that the only  $g \in \mathcal{S} \cap H$  is the identity, since for any  $h \in H$ ,  $KhH = KH = K1H$ .

*Proof.* We use characters, and let  $W$  afford the character  $\psi$ . We take  $K = H$  in Mackey's restriction formula. Then we have  $H_g = gHg^{-1} \cap H$ .

Using Frobenius reciprocity, we can compute the inner product as

$$\begin{aligned} \langle \text{Ind}_H^G \psi, \text{Ind}_H^G \psi \rangle_G &= \langle \psi, \text{Res}_H^G \text{Ind}_H^G \psi \rangle_H \\ &= \sum_{g \in \mathcal{S}} \langle \psi, \text{Ind}_{H_g}^H \psi_g \rangle_H \\ &= \sum_{g \in \mathcal{S}} \langle \text{Res}_{H_g}^H \psi, \psi_g \rangle_{H_g} \\ &= \langle \psi, \psi \rangle + \sum_{g \in \mathcal{S} \setminus H} \langle \text{Res}_{H_g}^H \psi, \psi_g \rangle_{H_g} \end{aligned}$$

We can write this because if  $g = 1$ , then  $H_g = H$ , and  $\psi_g = \psi$ .

This is a sum of non-negative integers, since the inner products of characters always are. So  $\text{Ind}_H^G \psi$  is irreducible if and only if  $\langle \psi, \psi \rangle = 1$ , and all the other terms in the sum are 0. In other words,  $W$  is an irreducible representation of  $H$ , and for all  $g \notin H$ ,  $W$  and  $W_g$  are disjoint representations of  $H_g$ .  $\square$

**Corollary.** Let  $H \triangleleft G$ , and suppose  $\psi$  is an irreducible character of  $H$ . Then  $\text{Ind}_H^G \psi$  is irreducible if and only if  $\psi$  is distinct from all its conjugates  $\psi_g$  for  $g \in G \setminus H$  (where  $\psi_g(h) = \psi(g^{-1}hg)$  as before).

*Proof.* We take  $K = H \triangleleft G$ . So the double cosets are just left cosets. Also,  $H_g = H$  for all  $g$ . Moreover,  $W_g$  is irreducible since  $W$  is irreducible.

So, by Mackey's irreducible criterion,  $\text{Ind}_H^G W$  is irreducible precisely if  $W \not\cong W_g$  for all  $g \in G \setminus H$ . This is equivalent to  $\psi \neq \psi_g$ .  $\square$

Note that again we could check the conditions on a set of representatives of (double) cosets. In fact, the isomorphism class of  $W_g$  (for  $g \in G$ ) depends only on the coset  $gH$ .

We now prove Mackey's theorem.

**Theorem** (Mackey's restriction formula). In general, for  $K, H \leq G$ , we let  $\mathcal{S} = \{1, g_1, \dots, g_r\}$  be a set of double coset representatives, so that

$$G = \bigcup K g_i H.$$

We write  $H_g = gHg^{-1} \cap K \leq G$ . We let  $(\rho, W)$  be a representation of  $H$ . For each  $g \in G$ , we define  $(\rho_g, W_g)$  to be a representation of  $H_g$ , with the same underlying vector space  $W$ , but now the action of  $H_g$  is

$$\rho_g(x) = \rho(g^{-1}xg),$$

where  $h = g^{-1}xg \in H$  by construction.

This is clearly well-defined. Since  $H_g \leq K$ , we obtain an induced representation  $\text{Ind}_{H_g}^K W_g$ .

Let  $G$  be finite,  $H, K \leq G$ , and  $W$  be a  $H$ -space. Then

$$\text{Res}_K^G \text{Ind}_H^G W = \bigoplus_{g \in \mathcal{S}} \text{Ind}_{H_g}^K W_g.$$

This is possibly the hardest and most sophisticated proof in the course.

*Proof.* Write  $V = \text{Ind}_H^G W$ . Pick  $g \in G$ , so that  $KgH \in K \setminus G/H$ . Given a left transversal  $\mathcal{T}$  of  $H$  in  $G$ , we can obtain  $V$  explicitly as a direct sum

$$V = \bigoplus_{t \in \mathcal{T}} t \otimes W.$$

The idea is to "coarsen" this direct sum decomposition using double coset representatives, by collecting together the  $t \otimes W$ 's with  $t \in KgH$ . We define

$$V(g) = \bigoplus_{t \in KgH \cap \mathcal{T}} t \otimes W.$$

Now each  $V(g)$  is a  $K$ -space — given  $k \in K$  and  $t \otimes \mathbf{w} \in t \otimes W$ , since  $t \in KgH$ , we have  $kt \in KgH$ . So there is some  $t' \in \mathcal{T}$  such that  $ktH = t'H$ . Then  $t' \in ktH \subseteq KgH$ . So we can define

$$k \cdot (t \otimes \mathbf{w}) = t' \otimes (\rho(t'^{-1}kt)\mathbf{w}),$$

where  $t'kt \in H$ .

Viewing  $V$  as a  $K$ -space (forgetting its whole  $G$ -structure), we have

$$\text{Res}_K^G V = \bigoplus_{g \in \mathcal{S}} V(g).$$

The left hand side is what we want, but the right hand side looks absolutely nothing like  $\text{Ind}_{H_g}^K W_g$ . So we need to show

$$V(g) = \bigoplus_{t \in KgH \cap \mathcal{T}} t \otimes W \cong \text{Ind}_{H_g}^K W_g,$$

as  $K$  representations, for each  $g \in \mathcal{S}$ .

Now for  $g$  fixed, each  $t \in KgH$  can be represented by some  $kg h$ , and by restricting to elements in the traversal  $\mathcal{T}$  of  $H$ , we are really summing over cosets  $kgH$ . Now cosets  $kgH$  are in bijection with cosets  $k(gHg^{-1})$  in the obvious way. So we are actually summing over elements in  $K/(gHg^{-1} \cap K) = K/H_g$ . So we write

$$V(g) = \bigoplus_{k \in K/H_g} (kg) \otimes W.$$

We claim that there is an isomorphism that sends  $k \otimes W_g \cong (kg) \otimes W$ . We define  $k \otimes W_g \rightarrow (kg) \otimes W$  by  $k \otimes \mathbf{w} \mapsto kg \otimes \mathbf{w}$ . This is an isomorphism of vector spaces almost by definition, so we only check that it is compatible with the action. The action of  $x \in K$  on the left is given by

$$\rho_g(x)(k \otimes \mathbf{w}) = k' \otimes (\rho_g(k'^{-1} x k) \mathbf{w}) = k' \otimes (\rho(g^{-1} k'^{-1} x k g) \mathbf{w}),$$

where  $k' \in K$  is such that  $k'^{-1} x k \in H_g$ , i.e.  $g^{-1} k'^{-1} x k g \in H$ . On the other hand,

$$\rho(x)(kg \otimes \mathbf{w}) = k'' \otimes (\rho(k''^{-1} x (kg)) \mathbf{w}),$$

where  $k'' \in K$  is such that  $k''^{-1} x (kg) \in H$ . Since there is a unique choice of  $k''$  (after picking a particular transversal), and  $k'g$  works, we know this is equal to

$$k'g \otimes (\rho(g^{-1} k'^{-1} x k g) \mathbf{w}).$$

So the actions are the same. So we have an isomorphism.

Then

$$V(g) = \bigoplus_{k \in K/H_g} k \otimes W_g = \text{Ind}_{H_g}^K W_g,$$

as required. □

**Example.** Let  $g = S_n$  and  $H = A_n$ . Consider a  $\sigma \in S_n$ . Then its conjugacy class in  $S_n$  is determined by its cycle type.

If the class of  $\sigma$  splits into two classes in  $A_n$ , and  $\chi$  is an irreducible character of  $A_n$  that takes different values on the two classes, then by the irreducibility criterion,  $\text{Ind}_{A_n}^{S_n} \chi$  is irreducible.



## 13 Integrality in the group algebra

The next big result we are going to have is that the degree of an irreducible character always divides the group order. This is not at all an obvious fact. To prove this, we make the cunning observation that character degrees and friends are not just regular numbers, but *algebraic integers*.

**Definition** (Algebraic integers). A complex number  $a \in \mathbb{C}$  is an *algebraic integer* if  $a$  is a root of a monic polynomial with integer coefficients. Equivalently,  $a$  is such that the subring of  $\mathbb{C}$  given by

$$\mathbb{Z}[a] = \{f(a) : f \in \mathbb{Z}[X]\}$$

is finitely generated. Equivalently,  $a$  is the eigenvalue of a matrix, all of whose entries lie in  $\mathbb{Z}$ .

We will quote some results about algebraic integers which we will not prove.

**Proposition.**

- (i) The algebraic integers form a subring of  $\mathbb{C}$ .
- (ii) If  $a \in \mathbb{C}$  is both an algebraic integer and rational, then  $a$  is in fact an integer.
- (iii) Any subring of  $\mathbb{C}$  which is a finitely generated  $\mathbb{Z}$ -module consists of algebraic integers.

The strategy is to show that given a group  $G$  and an irreducible character  $\chi$ , the value  $\frac{|G|}{\chi(1)}$  is an algebraic integer. Since it is also a rational number, it must be an integer. So  $|G|$  is a multiple of  $\chi(1)$ .

We make the first easy step.

**Proposition.** If  $\chi$  is a character of  $G$  and  $g \in G$ , then  $\chi(g)$  is an algebraic integer.

*Proof.* We know  $\chi(g)$  is the sum of  $n$ th roots of unity (where  $n$  is the order of  $g$ ). Each root of unity is an algebraic integer, since it is by definition a root of  $x^n - 1$ . Since algebraic integers are closed under addition, the result follows.  $\square$

We now have a look at the center of the group algebra  $\mathbb{C}G$ . Recall that the group algebra  $\mathbb{C}G$  of a finite group  $G$  is a complex vector space generated by elements of  $G$ . More precisely,

$$\mathbb{C}G = \left\{ \sum_{g \in G} \alpha_g g : \alpha_g \in \mathbb{C} \right\}.$$

Borrowing the multiplication of  $G$ , this forms a ring, hence an algebra. We now list the conjugacy classes of  $G$  as

$$\{1\} = \mathcal{C}_1, \dots, \mathcal{C}_k.$$

**Definition** (Class sum). The *class sum* of a conjugacy class  $\mathcal{C}_j$  of a group  $G$  is

$$C_j = \sum_{g \in \mathcal{C}_j} g \in \mathbb{C}G.$$

The claim is now  $C_j$  lives in the center of  $\mathbb{C}G$  (note that the center of the group algebra is different from the group algebra of the center). Moreover, they form a basis:

**Proposition.** The class sums  $C_1, \dots, C_k$  form a basis of  $Z(\mathbb{C}G)$ . There exists non-negative integers  $a_{ij\ell}$  (with  $1 \leq i, j, \ell \leq k$ ) with

$$C_i C_j = \sum_{\ell=1}^k a_{ij\ell} C_\ell.$$

The content of this proposition is not that  $C_i C_j$  can be written as a sum of  $C_\ell$ 's. This is true because the  $C_\ell$ 's form a basis. The content is that these are actually integers, not arbitrary complex numbers.

**Definition** (Class algebra/structure constants). The constants  $a_{ij\ell}$  as defined above are the *class algebra constants* or *structure constants*.

*Proof.* It is clear from definition that  $gC_jg^{-1} = C_j$ . So we have  $C_j \in Z(\mathbb{C}G)$ . Also, since the  $C_j$ 's are produced from disjoint conjugacy classes, they are linearly independent.

Now suppose  $z \in Z(\mathbb{C}G)$ . So we can write

$$z = \sum_{g \in G} \alpha_g g.$$

By definition, this commutes with all elements of  $\mathbb{C}G$ . So for all  $h \in G$ , we must have

$$\alpha_{h^{-1}gh} = \alpha_g.$$

So the function  $g \mapsto \alpha_g$  is constant on conjugacy classes of  $G$ . So we can write  $\alpha_j = \alpha_g$  for  $g \in C_j$ . Then

$$z = \sum_{j=1}^k \alpha_j C_j.$$

Finally, the center  $Z(\mathbb{C}G)$  is an algebra. So

$$C_i C_j = \sum_{\ell=1}^k a_{ij\ell} C_\ell$$

for some complex numbers  $a_{ij\ell}$ , since the  $C_j$  span. The claim is that  $a_{ij\ell} \in \mathbb{Z}_{\geq 0}$  for all  $i, j, \ell$ . To see this, we fix  $g_\ell \in C_\ell$ . Then by definition of multiplication, we know

$$a_{ij\ell} = |\{(x, y) \in C_i \times C_j : xy = g_\ell\}|,$$

which is clearly a non-negative integer.  $\square$

Let  $\rho : G \rightarrow \text{GL}(V)$  be an irreducible representation of  $G$  over  $\mathbb{C}$  affording the character  $\chi$ . Extending linearly, we get a map  $\rho : \mathbb{C}G \rightarrow \text{End } V$ , an algebra homomorphism. In general, we have the following definition:

**Definition** (Representation of algebra). Let  $A$  be an algebra. A *representation* of  $A$  is a homomorphism of algebras  $\rho : A \rightarrow \text{End } V$ .

Let  $z \in Z(CG)$ . Then  $\rho(z)$  commutes with all  $\rho(g)$  for  $g \in G$ . Hence, by Schur's lemma, we can write  $\rho(z) = \lambda_z I$  for some  $\lambda_z \in \mathbb{C}$ . We then obtain the algebra homomorphism

$$\begin{aligned}\omega_\rho = \omega_\chi = \omega : Z(CG) &\rightarrow \mathbb{C} \\ z &\mapsto \lambda_z.\end{aligned}$$

By definition, we have  $\rho(C_i) = \omega(C_i)I$ . Taking traces of both sides, we know

$$\sum_{g \in C_i} \chi(g) = \chi(1)\omega(C_i).$$

We also know the character is a class function. So we in fact get

$$\chi(1)\omega(C_i) = |C_i|\chi(g_i),$$

where  $g_i \in C_i$  is a representative of  $C_i$ . So we get

$$\omega(C_i) = \frac{\chi(g_i)}{\chi(1)}|C_i|.$$

Why should we care about this? The thing we are looking at is in fact an algebraic integer.

**Lemma.** The values of

$$\omega_\chi(C_i) = \frac{\chi(g_i)}{\chi(1)}|C_i|$$

are algebraic integers.

Note that all the time, we are requiring  $\chi$  to be an irreducible character.

*Proof.* Using the definition of  $a_{ij\ell} \in \mathbb{Z}_{\geq 0}$ , and the fact that  $\omega_\chi$  is an algebra homomorphism, we get

$$\omega_\chi(C_i)\omega_\chi(C_j) = \sum_{\ell=1}^k a_{ij\ell}\omega_\chi(C_\ell).$$

Thus the span of  $\{\omega(C_j) : 1 \leq j \leq k\}$  is a subring of  $\mathbb{C}$  and is finitely generated as a  $\mathbb{Z}$ -module (by definition). So we know this consists of algebraic integers.  $\square$

That's magic.

In fact, we can compute the structure constants  $a_{ij\ell}$  from the character table, namely for all  $i, j, \ell$ , we have

$$a_{ij\ell} = \frac{|G|}{|C_G(g_i)||C_G(g_j)|} \sum_{s=1}^k \frac{\chi_s(g_i)\chi_s(g_j)\chi_s(g_\ell^{-1})}{\chi_s(1)},$$

where we sum over the irreducible characters of  $G$ . We will neither prove it nor use it. But if you want to try, the key idea is to use column orthogonality.

Finally, we get to the main result:

**Theorem.** The degree of any irreducible character of  $G$  divides  $|G|$ , i.e.

$$\chi_j(1) \mid |G|$$

for each irreducible  $\chi_j$ .

It is highly non-obvious why this should be true.

*Proof.* Let  $\chi$  be an irreducible character. By orthogonality, we have

$$\begin{aligned} \frac{|G|}{\chi(1)} &= \frac{1}{\chi(1)} \sum_{g \in G} \chi(g)\chi(g^{-1}) \\ &= \frac{1}{\chi(1)} \sum_{i=1}^k |\mathcal{C}_i| \chi(g_i)\chi(g_i^{-1}) \\ &= \sum_{i=1}^k \frac{|\mathcal{C}_i| \chi(g_i)}{\chi(1)} \chi(g_i)^{-1}. \end{aligned}$$

Now we notice

$$\frac{|\mathcal{C}_i| \chi(g_i)}{\chi(1)}$$

is an algebraic integer, by the previous lemma. Also,  $\chi(g_i^{-1})$  is an algebraic integer. So the whole mess is an algebraic integer since algebraic integers are closed under addition and multiplication.

But we also know  $\frac{|G|}{\chi(1)}$  is rational. So it must be an integer!  $\square$

**Example.** Let  $G$  be a  $p$ -group. Then  $\chi(1)$  is a  $p$ -power for each irreducible  $\chi$ . If in particular  $|G| = p^2$ , then  $\chi(1) = 1, p$  or  $p^2$ . But the sum of squares of the degrees is  $|G| = p^2$ . So it cannot be that  $\chi(1) = p$  or  $p^2$ . So  $\chi(1) = 1$  for all irreducible characters. So  $G$  is abelian.

**Example.** No simple group has an irreducible character of degree 2. Proof is left as an exercise for the reader.

## 14 Burnside's theorem

Finally, we get to Burnside's theorem.

**Theorem** (Burnside's  $p^a q^b$  theorem). Let  $p, q$  be primes, and let  $|G| = p^a q^b$ , where  $a, b \in \mathbb{Z}_{\geq 0}$ , with  $a + b \geq 2$ . Then  $G$  is not simple.

Note that if  $a + b = 1$  or  $0$ , then the group is trivially simple.

In fact even more is true, namely that  $G$  is soluble, but this follows easily from above by induction.

This result is the best possible in the sense that  $|A_5| = 60 = 2^2 \cdot 3 \cdot 5$ , and  $A_5$  is simple. So we cannot allow for three different prime factors (in fact, there are exactly 8 simple groups with three prime factors). Also, if  $a = 0$  or  $b = 0$ , then  $G$  is a  $p$ -group, which have non-trivial center. So these cases trivially work.

Later, in 1963, Feit and Thompson proved that every group of odd order is soluble. The proof was 255 pages long. We will not prove this.

In 1972, H. Bender found the first proof of Burnside's theorem without the use of representation theory, but the proof is much more complicated.

This theorem follows from two lemmas, and one of them involves some Galois theory, and is hence non-examinable.

**Lemma.** Suppose

$$\alpha = \frac{1}{m} \sum_{j=1}^m \lambda_j,$$

is an algebraic integer, where  $\lambda_j^n = 1$  for all  $j$  and some  $n$ . Then either  $\alpha = 0$  or  $|\alpha| = 1$ .

*Proof (non-examinable).* Observe  $\alpha \in \mathbb{F} = \mathbb{Q}(\varepsilon)$ , where  $\varepsilon = e^{2\pi i/n}$  (since  $\lambda_j \in \mathbb{F}$  for all  $j$ ). We let  $\mathcal{G} = \text{Gal}(\mathbb{F}/\mathbb{Q})$ . Then

$$\{\beta \in \mathbb{F} : \sigma(\beta) = \beta \text{ for all } \sigma \in \mathcal{G}\} = \mathbb{Q}.$$

We define the "norm"

$$N(\alpha) = \prod_{\sigma \in \mathcal{G}} \sigma(\alpha).$$

Then  $N(\alpha)$  is fixed by every element  $\sigma \in \mathcal{G}$ . So  $N(\alpha)$  is rational.

Now  $N(\alpha)$  is an algebraic integer, since Galois conjugates  $\sigma(\alpha)$  of algebraic integers are algebraic integers. So in fact  $N(\alpha)$  is an integer. But for  $\alpha \in \mathcal{G}$ , we know

$$|\sigma(\alpha)| = \left| \frac{1}{m} \sum \sigma(\lambda_j) \right| \leq 1.$$

So if  $\alpha \neq 0$ , then  $N(\alpha) = \pm 1$ . So  $|\alpha| = 1$ . □

**Lemma.** Suppose  $\chi$  is an irreducible character of  $G$ , and  $\mathcal{C}$  is a conjugacy class in  $G$  such that  $\chi(1)$  and  $|\mathcal{C}|$  are coprime. Then for  $g \in \mathcal{C}$ , we have

$$|\chi(g)| = \chi(1) \text{ or } 0.$$

*Proof.* Of course, we want to consider the quantity

$$\alpha = \frac{\chi(g)}{\chi(1)}.$$

Since  $\chi(g)$  is the sum of  $\deg \chi = \chi(1)$  many roots of unity, it suffices to show that  $\alpha$  is an algebraic integer.

By Bézout's theorem, there exists  $a, b \in \mathbb{Z}$  such that

$$a\chi(1) + b|\mathcal{C}| = 1.$$

So we can write

$$\alpha = \frac{\chi(g)}{\chi(1)} = a\chi(g) + b\frac{\chi(g)}{\chi(1)}|\mathcal{C}|.$$

Since  $\chi(g)$  and  $\frac{\chi(g)}{\chi(1)}|\mathcal{C}|$  are both algebraic integers, we know  $\alpha$  is.  $\square$

**Proposition.** If in a finite group, the number of elements in a conjugacy class  $\mathcal{C}$  is of (non-trivial) prime power order, then  $G$  is not non-abelian simple.

*Proof.* Suppose  $G$  is a non-abelian simple group, and let  $1 \neq g \in G$  be living in the conjugacy class  $\mathcal{C}$  of order  $p^r$ . If  $\chi \neq 1_G$  is a non-trivial irreducible character of  $G$ , then either  $\chi(1)$  and  $|\mathcal{C}| = p^r$  are not coprime, in which case  $p \mid \chi(1)$ , or they are coprime, in which case  $|\chi(g)| = \chi(1)$  or  $\chi(g) = 0$ .

However, it cannot be that  $|\chi(g)| = \chi(1)$ . If so, then we must have  $\rho(g) = \lambda I$  for some  $\lambda$ . So it commutes with everything, i.e. for all  $h$ , we have

$$\rho(gh) = \rho(g)\rho(h) = \rho(h)\rho(g) = \rho(hg).$$

Moreover, since  $G$  is simple,  $\rho$  must be faithful. So we must have  $gh = hg$  for all  $h$ . So  $Z(G)$  is non-trivial. This is a contradiction. So either  $p \mid \chi(1)$  or  $\chi(g) = 0$ .

By column orthogonality applied to  $\mathcal{C}$  and  $1$ , we get

$$0 = 1 + \sum_{1 \neq \chi \text{ irreducible, } p \mid \chi(1)} \chi(1)\chi(g),$$

where we have deleted the 0 terms. So we get

$$-\frac{1}{p} = \sum_{\chi \neq 1} \frac{\chi(1)}{p} \chi(g).$$

But this is both an algebraic integer and a rational number, but not integer. This is a contradiction.  $\square$

We can now prove Burnside's theorem.

**Theorem** (Burnside's  $p^a q^b$  theorem). Let  $p, q$  be primes, and let  $|G| = p^a q^b$ , where  $a, b \in \mathbb{Z}_{\geq 0}$ , with  $a + b \geq 2$ . Then  $G$  is not simple.

*Proof.* Let  $|G| = p^a q^b$ . If  $a = 0$  or  $b = 0$ , then the result is trivial. Suppose  $a, b > 0$ . We let  $Q \in \text{Syl}_q(G)$ . Since  $Q$  is a  $p$ -group, we know  $Z(Q)$  is non-trivial. Hence there is some  $1 \neq g \in Z(Q)$ . By definition of center, we know  $Q \leq C_G(g)$ . Also,  $C_G(g)$  is not the whole of  $G$ , since the center of  $G$  is trivial. So

$$|C_G(g)| = |G : C_G(g)| = p^r$$

for some  $0 < r \leq a$ . So done.  $\square$

This is all we've got to say about finite groups.

## 15 Representations of compact groups

We now consider the case of *infinite groups*. It turns out infinite groups don't behave well if we don't impose additional structures. When talking about representations of infinite groups, things work well only if we impose a topological structure on the group, and require the representations to be continuous. Then we get nice results if we only concentrate on the groups that are compact. This is a generalization of our previous results, since we can give any finite group the discrete topology, and then all representations are continuous, and the group is compact due to finiteness.

We start with the definition of a topological group.

**Definition** (Topological group). A *topological group* is a group  $G$  which is also a topological space, and for which multiplication  $G \times G \rightarrow G ((h, g) \mapsto hg)$  and inverse  $G \rightarrow G (g \mapsto g^{-1})$  are continuous maps.

**Example.** Any finite group  $G$  with the discrete topology is a topological group.

**Example.** The matrix groups  $\mathrm{GL}_n(\mathbb{R})$  and  $\mathrm{GL}_n(\mathbb{C})$  are topological groups (inheriting the topology of  $\mathbb{R}^{n^2}$  and  $\mathbb{C}^{n^2}$ ).

However, topological groups are often too huge. We would like to concentrate on “small” topological groups.

**Definition** (Compact group). A topological group is a *compact group* if it is compact as a topological space.

There are some fairly nice examples of these.

**Example.** All finite groups with discrete topology are compact.

**Example.** The group  $S^1 = \{z \in \mathbb{C} : |z| = 1\}$  under multiplication is a compact group. This is known as the *circle group*, for mysterious reasons. Thus the torus  $S^1 \times S^1 \times \cdots \times S^1$  is also a compact group.

**Example.** The orthogonal group  $\mathrm{O}(n) \leq \mathrm{GL}_n(\mathbb{R})$  is compact, and so is  $\mathrm{SO}(n) = \{A \in \mathrm{O}(n) : \det A = 1\}$ . These are compact since they are closed and bounded as a subspace of  $\mathbb{R}^{n^2}$ , as the entries can be at most 1 in magnitude. Note that  $\mathrm{SO}(2) \cong S^1$ , mapping a rotation by  $\theta$  to  $e^{i\theta}$ .

**Example.** The unitary group  $\mathrm{U}(n) = \{A \in \mathrm{GL}_n(\mathbb{C}) : AA^\dagger = I\}$  is compact, and so is  $\mathrm{SU}(n) = \{A \in \mathrm{U}(n) : \det A = 1\}$ .

Note that we also have  $\mathrm{U}(1) \cong \mathrm{SO}(2) \cong S^1$ . These are not only isomorphisms as group, but these isomorphisms are also homeomorphisms of topological spaces.

The one we are going to spend most of our time on is

$$\mathrm{SU}(2) = \{(z_1, z_2) \in \mathbb{C}^2 : |z_1|^2 + |z_2|^2 = 1\} \leq \mathbb{R}^4 = \mathbb{C}^2.$$

We can see this is homeomorphic to  $S^3$ .

It turns out that the only spheres on which we can define group operations on are  $S^1$  and  $S^3$ , but we will not prove this, since this is a course on algebra, not topology.

We now want to develop a representation theory of these compact groups, similar to what we've done for finite groups.

**Definition** (Representation of topological group). A *representation* of a topological group on a finite-dimensional space  $V$  is a continuous group homomorphism  $G \rightarrow \mathrm{GL}(V)$ .

It is important that the group homomorphism is continuous. Note that for a general topological space  $X$ , a map  $\rho : X \rightarrow \mathrm{GL}(V) \cong \mathrm{GL}_n(\mathbb{C})$  is continuous if and only if the component maps  $x \mapsto \rho(x)_{ij}$  are continuous for all  $i, j$ .

The key that makes this work is the compactness of the group and the continuity of the representations. If we throw them away, then things will go very bad. To see this, we consider the simple example

$$S^1 = U(1) = \{g \in \mathbb{C}^\times : |g| = 1\} \cong \mathbb{R}/\mathbb{Z},$$

where the last isomorphism is an abelian group isomorphism via the map  $x \mapsto e^{2\pi i x} : \mathbb{R}/\mathbb{Z} \rightarrow S^1$ .

What happens if we just view  $S^1$  as an abstract group, and not a topological group? We can view  $\mathbb{R}$  as a vector space over  $\mathbb{Q}$ , and this has a basis (by Hamel's basis theorem), say,  $\mathcal{A} \subseteq \mathbb{R}$ . Moreover, we can assume the basis is ordered, and we can assume  $1 \in \mathcal{A}$ .

As abelian groups, we then have

$$\mathbb{R} \cong \mathbb{Q} \oplus \bigoplus_{\alpha \in \mathcal{A} \setminus \{1\}} \mathbb{Q}\alpha,$$

Then this induces an isomorphism

$$\mathbb{R}/\mathbb{Z} \cong \mathbb{Q}/\mathbb{Z} \oplus \bigoplus_{\alpha \in \mathcal{A} \setminus \{1\}} \mathbb{Q}\alpha.$$

Thus, as abstract groups,  $S^1$  has uncountably many irreducible representations, namely for each  $\lambda \in \mathcal{A} \setminus \{1\}$ , there exists a one-dimensional representation given by

$$\rho_\lambda(e^{2\pi i \mu}) = \begin{cases} 1 & \mu \notin \mathbb{Q}\lambda \\ e^{2\pi i \mu} & \mu \in \mathbb{Q}\lambda \end{cases}$$

We see  $\rho_\lambda = \rho_{\lambda'}$  if and only if  $\mathbb{Q}\lambda = \mathbb{Q}\lambda'$ . Hence there are indeed uncountably many of these. There are in fact even *more* irreducible representation we haven't listed. This is bad.

The idea is then to topologize  $S^1$  as a subset of  $\mathbb{C}$ , and study how it acts naturally on complex spaces in a continuous way. Then we can ensure that we have at most countably many representations. In fact, we can characterize all irreducible representations in a rather nice way:

**Theorem.** Every one-dimensional (continuous) representation  $S^1$  is of the form

$$\rho : z \mapsto z^n$$

for some  $n \in \mathbb{Z}$ .

This is a nice countable family of representations. To prove this, we need two lemmas from real analysis.



**Lemma.** If  $\psi : (\mathbb{R}, +) \rightarrow (\mathbb{R}, +)$  is a continuous group homomorphism, then there exists a  $c \in \mathbb{R}$  such that

$$\psi(x) = cx$$

for all  $x \in \mathbb{R}$ .

*Proof.* Given  $\psi : (\mathbb{R}, +) \rightarrow (\mathbb{R}, +)$  continuous, we let  $c = \psi(1)$ . We now claim that  $\psi(x) = cx$ .

Since  $\psi$  is a homomorphism, for every  $n \in \mathbb{Z}_{\geq 0}$  and  $x \in \mathbb{R}$ , we know

$$\psi(nx) = \psi(x + \dots + x) = \psi(x) + \dots + \psi(x) = n\psi(x).$$

In particular, when  $x = 1$ , we know  $\psi(n) = cn$ . Also, we have

$$\psi(-n) = -\psi(n) = -cn.$$

Thus  $\psi(n) = cn$  for all  $n \in \mathbb{Z}$ .

We now put  $x = \frac{m}{n} \in \mathbb{Q}$ . Then we have

$$m\psi(x) = \psi(nx) = \psi(m) = cm.$$

So we must have

$$\psi\left(\frac{m}{n}\right) = c\frac{m}{n}.$$

So we get  $\psi(q) = cq$  for all  $q \in \mathbb{Q}$ . But  $\mathbb{Q}$  is dense in  $\mathbb{R}$ , and  $\psi$  is continuous. So we must have  $\psi(x) = cx$  for all  $x \in \mathbb{R}$ . □

**Lemma.** Continuous homomorphisms  $\varphi : (\mathbb{R}, +) \rightarrow S^1$  are of the form

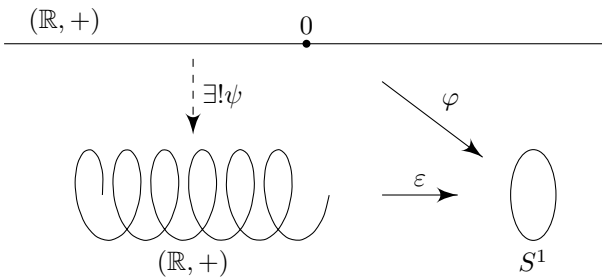
$$\varphi(x) = e^{icx}$$

for some  $c \in \mathbb{R}$ .

*Proof.* Let  $\varepsilon : (\mathbb{R}, +) \rightarrow S^1$  be defined by  $x \mapsto e^{ix}$ . This homomorphism wraps the real line around  $S^1$  with period  $2\pi$ .

We now claim that given any continuous function  $\varphi : \mathbb{R} \rightarrow S^1$  such that  $\varphi(0) = 1$ , there exists a unique continuous *lifting* homomorphism  $\psi : \mathbb{R} \rightarrow \mathbb{R}$  such that

$$\varepsilon \circ \psi = \varphi, \quad \psi(0) = 0.$$



The lifting is constructed by starting with  $\psi(0) = 0$ , and then extending a small interval at a time to get a continuous map  $\mathbb{R} \rightarrow \mathbb{R}$ . We will not go into the details. Alternatively, this follows from the lifting criterion from IID Algebraic Topology.

We now claim that if in addition  $\varphi$  is a homomorphism, then so is its continuous lifting  $\psi$ . If this is true, then we can conclude that  $\psi(x) = cx$  for some  $c \in \mathbb{R}$ . Hence

$$\varphi(x) = e^{icx}.$$

To show that  $\psi$  is indeed a homomorphism, we have to show that  $\psi(x + y) = \psi(x) + \psi(y)$ .

By definition, we know

$$\varphi(x + y) = \varphi(x)\varphi(y).$$

By definition of  $\psi$ , this means

$$\varepsilon(\psi(x + y) - \psi(x) - \psi(y)) = 1.$$

We now look at our definition of  $\varepsilon$  to get

$$\psi(x + y) - \psi(x) - \psi(y) = 2k\pi$$

for some integer  $k \in \mathbb{Z}$ , depending *continuously* on  $x$  and  $y$ . But  $k$  can only be an integer. So it must be constant. Now we pick our favorite  $x$  and  $y$ , namely  $x = y = 0$ . Then we find  $k = 0$ . So we get

$$\psi(x + y) = \psi(x) + \psi(y).$$

So  $\psi$  is a group homomorphism. □

With these two lemmas, we can prove our characterization of the (one-dimensional) representations of  $S^1$ .

**Theorem.** Every one-dimensional (continuous) representation  $S^1$  is of the form

$$\rho : z \mapsto z^n$$

for some  $n \in \mathbb{Z}$ .

*Proof.* Let  $\rho : S^1 \rightarrow \mathbb{C}^\times$  be a continuous representation. We now claim that  $\rho$  actually maps  $S^1$  to  $S^1$ . Since  $S^1$  is compact, we know  $\rho(S^1)$  has closed and bounded image. Also,

$$\rho(z^n) = (\rho(z))^n$$

for all  $n \in \mathbb{Z}$ . Thus for each  $z \in S^1$ , if  $|\rho(z)| > 1$ , then the image of  $\rho(z^n)$  is unbounded. Similarly, if it is less than 1, then  $\rho(z^{-n})$  is unbounded. So we must have  $\rho(S^1) \subseteq S^1$ . So we get a continuous homomorphism

$$\begin{aligned} \mathbb{R} &\rightarrow S^1 \\ x &\mapsto \rho(e^{ix}). \end{aligned}$$

So we know there is some  $c \in \mathbb{R}$  such that

$$\rho(e^{ix}) = e^{icx},$$

Now in particular,

$$1 = \rho(e^{2\pi i}) = e^{2\pi ic}.$$

This forces  $c \in \mathbb{Z}$ . Putting  $n = c$ , we get

$$\rho(z) = z^n. \quad \square$$

That has taken nearly an hour, and we've used two not-so-trivial facts from analysis. So we actually had to work quite hard to get this. But the result is good. We have a *complete* list of representations, and we don't have to fiddle with things like characters.

Our next objective is to repeat this for  $SU(2)$ , and this time we cannot just get away with doing some analysis. We have to do representation theory properly. So we study the general theory of representations of complex spaces.

In studying finite groups, we often took the “average” over the group via the operation  $\frac{1}{|G|} \sum_{g \in G}$  something. Of course, we can't do this now, since the group is infinite. As we all know, the continuous analogue of summing is called integration. Informally, we want to be able to write something like  $\int_G dg$ .

This is actually a measure, called the “Haar measure”, and always exists as long as you are compact and Hausdorff. However, we will not need or use this result, since we can construct it by hand for  $S^1$  and  $SU(2)$ .

**Definition** (Haar measure). Let  $G$  be a topological group, and let

$$\mathcal{C}(G) = \{f : G \rightarrow \mathbb{C} : f \text{ is continuous, } f(gxg^{-1}) = f(x) \text{ for all } g, x \in G\}.$$

A non-trivial linear functional  $\int_G : \mathcal{C}(G) \rightarrow \mathbb{C}$ , written as

$$\int_G f = \int_G f(g) dg$$

is called a *Haar measure* if

- (i) It satisfies the normalization condition

$$\int_G 1 dg = 1$$

so that the “total volume” is 1.

- (ii) It is left and right translation invariant, i.e.

$$\int_G f(xg) dg = \int_G f(g) dg = \int_G f(gx) dg$$

for all  $x \in G$ .

**Example.** For a finite group  $G$ , we can define

$$\int_G f(g) dg = \frac{1}{|G|} \sum_{g \in G} f(g).$$

The division by the group order is the thing we need to make the normalization hold.

**Example.** Let  $G = S^1$ . Then we can have

$$\int_G f(g) dg = \frac{1}{2\pi} \int_0^{2\pi} f(e^{i\theta}) d\theta.$$

Again, division by  $2\pi$  is the normalization required.

We have explicitly constructed them here, but there is a theorem that guarantees the existence of such a measure for sufficiently nice topological group.

**Theorem.** Let  $G$  be a compact Hausdorff topological group. Then there exists a unique Haar measure on  $G$ .

From now on, the word “compact” will mean “compact and Hausdorff”, so that the conditions of the above theorem holds.

We will not prove theorem, and just assume it to be true. If you don’t like this, whenever you see “compact group”, replace it with “compact group with Haar measure”. We will explicitly construct it for  $SU(2)$  later, which is all we really care about.

Once we know the existence of such a thing, most of the things we know for finite groups hold for compact groups, as long as we replace the sums with the appropriate integrals.

**Corollary** (Weyl’s unitary trick). Let  $G$  be a compact group. Then every representation  $(\rho, V)$  has a  $G$ -invariant Hermitian inner product.

*Proof.* As for the finite case, take any inner product  $(\cdot, \cdot)$  on  $V$ , then define a new inner product by

$$\langle \mathbf{v}, \mathbf{w} \rangle = \int_G (\rho(g)\mathbf{v}, \rho(g)\mathbf{w}) dg.$$

Then this is a  $G$ -invariant inner product. □

**Theorem** (Maschke’s theorem). Let  $G$  be compact group. Then every representation of  $G$  is completely reducible.

*Proof.* Given a representation  $(\rho, V)$ . Choose a  $G$ -invariant inner product. If  $V$  is not irreducible, let  $W \leq V$  be a subrepresentation. Then  $W^\perp$  is also  $G$ -invariant, and

$$V = W \oplus W^\perp.$$

Then the result follows by induction. □

We can use the Haar measure to endow  $\mathcal{C}(G)$  with an inner product given by

$$\langle f, f' \rangle = \int_G \overline{f(g)} f'(g) dg.$$

**Definition** (Character). If  $\rho : G \rightarrow GL(V)$  is a representation, then the *character*  $\chi_\rho = \text{tr } \rho$  is a continuous class function, since each component  $\rho(g)_{ij}$  is continuous.

So characters make sense, and we can ask if all the things about finite groups hold here. For example, we can ask about orthogonality.

Schur’s lemma also hold, with the same proof, since we didn’t really need finiteness there. Using that, we can prove the following:

**Theorem** (Orthogonality). Let  $G$  be a compact group, and  $V$  and  $W$  be irreducible representations of  $G$ . Then

$$\langle \chi_V, \chi_W \rangle = \begin{cases} 1 & V \cong W \\ 0 & V \not\cong W \end{cases}.$$

Now do irreducible characters form a basis for  $\mathcal{C}(G)$ ?

**Example.** We take the only (infinite) compact group we know about —  $G = S^1$ . We have found that the one-dimensional representations are

$$\rho_n : z \mapsto z^n$$

for  $n \in \mathbb{Z}$ . As  $S^1$  is abelian, these are all the (continuous) irreducible representations — given *any* representation  $\rho$ , we can find a simultaneous eigenvector for each  $\rho(g)$ .

The “character table of  $S^1$ ” has rows  $\chi_n$  indexed by  $\mathbb{Z}$ , with  $\chi_n(e^{i\theta}) = e^{in\theta}$ .

Now given any representation of  $S^1$ , say  $V$ , we can break  $V$  as a direct sum of 1-dimensional subrepresentations. So the character  $\chi_V$  of  $V$  is of the form

$$\chi_V(z) = \sum_{n \in \mathbb{Z}} a_n z^n,$$

where  $a_n \in \mathbb{Z}_{\geq 0}$ , and only finitely many  $a_n$  are non-zero (since we are assuming finite-dimensionality).

Actually,  $a_n$  is the number of copies of  $\rho_n$  in the decomposition of  $V$ . We can find out the value of  $a_n$  by computing

$$a_n = \langle \chi_n, \chi_V \rangle = \frac{1}{2\pi} \int_0^{2\pi} e^{-in\theta} \chi_V(e^{i\theta}) \, d\theta.$$

Hence we know

$$\chi_V(e^{i\theta}) = \sum_{n \in \mathbb{Z}} \left( \frac{1}{2\pi} \int_0^{2\pi} \chi_V(e^{i\theta'}) e^{-in\theta'} \, d\theta' \right) e^{in\theta}.$$

This is really just a Fourier decomposition of  $\chi_V$ . This gives a decomposition of  $\chi_V$  into irreducible characters, and the “Fourier mode”  $a_n$  is the number of each irreducible character occurring in this decomposition.

It is possible to show that  $\chi_n$  form a complete orthonormal set in the Hilbert space  $L^2(S^1)$ , i.e. square-integrable functions on  $S^1$ . This is the Peter-Weyl theorem, and is highly non-trivial.

## 15.1 Representations of $SU(2)$

In the rest of the time, we would like to talk about  $G = SU(2)$ .

Recall that

$$SU(2) = \{A \in GL_2(\mathbb{C}) : A^\dagger A = I, \det A = 1\}.$$

Note that if

$$A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SU(2),$$

then since  $\det A = 1$ , we have

$$A^{-1} = \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}.$$

So we need  $d = \bar{a}$  and  $c = -\bar{b}$ . Moreover, we have

$$a\bar{a} + b\bar{b} = 1.$$

Hence we can write  $SU(2)$  explicitly as

$$G = \left\{ \begin{pmatrix} a & b \\ -\bar{b} & \bar{a} \end{pmatrix} : a, b \in \mathbb{C}, |a|^2 + |b|^2 = 1 \right\}.$$

Topologically, we know  $G \cong S^3 \subseteq \mathbb{C}^2 \cong \mathbb{R}^4$ .

Instead of thinking about  $\mathbb{C}^2$  in the usual vector space way, we can think of it as a subgroup of  $M_2(\mathbb{C})$  via

$$\mathbb{H} = \left\{ \begin{pmatrix} z & w \\ -\bar{w} & \bar{z} \end{pmatrix} : w, z \in \mathbb{C} \right\} \leq M_2(\mathbb{C}).$$

This is known as *Hamilton's quaternion algebra*. Then  $\mathbb{H}$  is a 4-dimensional Euclidean space (two components from  $z$  and two components from  $w$ ), with a norm on  $H$  given by

$$\|A\|^2 = \det A.$$

We now see that  $SU(2) \leq \mathbb{H}$  is exactly the unit sphere  $\|A\|^2 = 1$  in  $\mathbb{H}$ . If  $A \in SU(2)$  and  $x \in \mathbb{H}$ , then  $\|Ax\| = \|x\|$  since  $\|A\| = 1$ . So elements of  $G$  acts as isometries on the space.

After normalization (by  $\frac{1}{2\pi^2}$ ), the usual integration of functions on  $S^3$  defines a Haar measure on  $G$ . It is an exercise on the last example sheet to write this out explicitly.

We now look at conjugacy in  $G$ . We let

$$T = \left\{ \begin{pmatrix} a & 0 \\ 0 & \bar{a} \end{pmatrix} : a \in \mathbb{C}, |a|^2 = 1 \right\} \cong S^1.$$

This is a *maximal torus* in  $G$ , and it plays a fundamental role, since we happen to know about  $S^1$ . We also have a favorite element

$$s = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \in SU(2).$$

We now prove some easy linear algebra results about  $SU(2)$ .

**Lemma** (SU(2)-conjugacy classes).

- (i) Let  $t \in T$ . Then  $sts^{-1} = t^{-1}$ .
- (ii)  $s^2 = -I \in Z(SU(2))$ .
- (iii) The normalizer

$$N_G(T) = T \cup sT = \left\{ \begin{pmatrix} a & 0 \\ 0 & \bar{a} \end{pmatrix}, \begin{pmatrix} 0 & a \\ -\bar{a} & 0 \end{pmatrix} : a \in \mathbb{C}, |a| = 1 \right\}.$$

- (iv) Every conjugacy class  $\mathcal{C}$  of  $SU(2)$  contains an element of  $T$ , i.e.  $\mathcal{C} \cap T \neq \emptyset$ .

- (v) In fact,

$$\mathcal{C} \cap T = \{t, t^{-1}\}$$

for some  $t \in T$ , and  $t = t^{-1}$  if and only if  $t = \pm I$ , in which case  $\mathcal{C} = \{t\}$ .

(vi) There is a bijection

$$\{\text{conjugacy classes in } \text{SU}(2)\} \leftrightarrow [-1, 1],$$

given by

$$A \mapsto \frac{1}{2} \text{tr } A.$$

We can see that if

$$A = \begin{pmatrix} \lambda & 0 \\ 0 & \bar{\lambda} \end{pmatrix},$$

then

$$\frac{1}{2} \text{tr } A = \frac{1}{2}(\lambda + \bar{\lambda}) = \text{Re}(\lambda).$$

Note that what (iv) and (v) really say is that matrices in  $\text{SU}(2)$  are diagonalizable (over  $\text{SU}(2)$ ).

*Proof.*

- (i) Write it out.
- (ii) Write it out.
- (iii) Direct verification.
- (iv) It is well-known from linear algebra that every unitary matrix  $X$  has an orthonormal basis of eigenvectors, and hence is conjugate in  $U(2)$  to one in  $T$ , say

$$QXQ^\dagger \in T.$$

We now want to force  $Q$  into  $\text{SU}(2)$ , i.e. make  $Q$  have determinant 1.

We put  $\delta = \det Q$ . Since  $Q$  is unitary, i.e.  $QQ^\dagger = I$ , we know  $|\delta| = 1$ . So we let  $\varepsilon$  be a square root of  $\delta$ , and define

$$Q_1 = \varepsilon^{-1}Q.$$

Then we have

$$Q_1XQ_1^\dagger \in T.$$

- (v) We let  $g \in G$ , and suppose  $g \in \mathcal{C}$ . If  $g = \pm I$ , then  $\mathcal{C} \cap T = \{g\}$ . Otherwise,  $g$  has two distinct eigenvalues  $\lambda, \lambda^{-1}$ . Note that the two eigenvalues must be inverses of each other, since it is in  $\text{SU}(2)$ . Then we know

$$\mathcal{C} = \left\{ h \begin{pmatrix} \lambda & 0 \\ 0 & \lambda^{-1} \end{pmatrix} h^{-1} : h \in G \right\}.$$

Thus we find

$$\mathcal{C} \cap T = \left\{ \begin{pmatrix} \lambda & 0 \\ 0 & \lambda^{-1} \end{pmatrix}, \begin{pmatrix} \lambda^{-1} & 0 \\ 0 & \lambda \end{pmatrix} \right\}.$$

This is true since eigenvalues are preserved by conjugation, so if any

$$\begin{pmatrix} \mu & 0 \\ 0 & \mu^{-1} \end{pmatrix},$$

then  $\{\mu, \mu^{-1}\} = \{\lambda, \lambda^{-1}\}$ . Also, we can get the second matrix from the first by conjugating with  $s$ .

(vi) Consider the map

$$\frac{1}{2} \operatorname{tr} : \{\text{conjugacy classes}\} \rightarrow [-1, 1].$$

By (v), matrices are conjugate in  $G$  iff they have the same set of eigenvalues. Now

$$\frac{1}{2} \operatorname{tr} \begin{pmatrix} \lambda & 0 \\ 0 & \lambda^{-1} \end{pmatrix} = \frac{1}{2}(\lambda + \bar{\lambda}) = \operatorname{Re}(\lambda) = \cos \theta,$$

where  $\lambda = e^{i\theta}$ . Hence the map is a surjection onto  $[-1, 1]$ .

Now we have to show it is injective. This is also easy. If  $g$  and  $g'$  have the same image, i.e.

$$\frac{1}{2} \operatorname{tr} g = \frac{1}{2} \operatorname{tr} g',$$

then  $g$  and  $g'$  have the same characteristic polynomial, namely

$$x^2 - (\operatorname{tr} g)x + 1.$$

Hence they have the same eigenvalues, and hence they are similar.  $\square$

We now write, for  $t \in (-1, 1)$ ,

$$\mathcal{C}_t = \left\{ g \in G : \frac{1}{2} \operatorname{tr} g = t \right\}.$$

In particular, we have

$$\mathcal{C}_1 = \{I\}, \quad \mathcal{C}_{-1} = \{-I\}.$$

**Proposition.** For  $t \in (-1, 1)$ , the class  $\mathcal{C}_t \cong S^2$  as topological spaces.

This is not really needed, but is a nice thing to know.

*Proof.* Exercise!  $\square$

We now move on to classify the irreducible representations of  $\operatorname{SU}(2)$ .

We let  $V_n$  be the complex space of all homogeneous polynomials of degree  $n$  in variables  $x, y$ , i.e.

$$V_n = \{r_0 x^n + r_1 x^{n-1} y + \cdots + r_n y^n : r_0, \dots, r_n \in \mathbb{C}\}.$$

This is an  $n+1$ -dimensional complex vector space with basis  $x^n, x^{n-1}y, \dots, y^n$ .

We want to get an action of  $\operatorname{SU}(2)$  on  $V_n$ . It is easier to think about the action of  $\operatorname{GL}_2(\mathbb{C})$  in general, and then restrict to  $\operatorname{SU}(2)$ . We define the action of  $\operatorname{GL}_2(\mathbb{C})$  on  $V_n$  by

$$\rho_n : \operatorname{GL}_2(\mathbb{C}) \rightarrow \operatorname{GL}(V_n)$$

given by the rule

$$\rho_n \left( \begin{pmatrix} a & b \\ c & d \end{pmatrix} \right) f(x, y) = f(ax + cy, bx + dy).$$

In other words, we have

$$\rho_n \left( \begin{pmatrix} a & b \\ c & d \end{pmatrix} \right) f \left( \begin{pmatrix} x & y \end{pmatrix} \right) = f \left( \begin{pmatrix} x & y \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} \right),$$

where the multiplication in  $f$  is matrix multiplication.



**Example.** When  $n = 0$ , then  $V_0 \cong \mathbb{C}$ , and  $\rho_0$  is the trivial representation.

When  $n = 1$ , then this is the natural two-dimensional representation, and

$$\rho_1 \left( \begin{pmatrix} a & b \\ c & d \end{pmatrix} \right)$$

has matrix

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

with respect to the standard basis  $\{x, y\}$  of  $V_1 = \mathbb{C}^2$ .

More interestingly, when  $n = 2$ , we know

$$\rho_2 \left( \begin{pmatrix} a & b \\ c & d \end{pmatrix} \right)$$

has matrix

$$\begin{pmatrix} a^2 & ab & b^2 \\ 2ac & ad + bc & 2bd \\ c^2 & cd & d^2 \end{pmatrix},$$

with respect to the basis  $x^2, xy, y^2$  of  $V_2 = \mathbb{C}^3$ . We obtain the matrix by computing, e.g.

$$\rho_2(g)(x^2) = (ax + cy)^2 = a^2x^2 + 2acxy + c^2y^2.$$

Now we know  $\mathrm{SU}(2) \leq \mathrm{GL}_2(\mathbb{C})$ . So we can view  $V_n$  as a representation of  $\mathrm{SU}(2)$  by restriction.

Now we've got some representations. The claim is that these are all the irreducibles. Before we prove that, we look at the character of these things.

**Lemma.** A continuous class function  $f : G \rightarrow \mathbb{C}$  is determined by its restriction to  $T$ , and  $F|_T$  is even, i.e.

$$f \left( \begin{pmatrix} \lambda & 0 \\ 0 & \lambda^{-1} \end{pmatrix} \right) = f \left( \begin{pmatrix} \lambda^{-1} & 0 \\ 0 & \lambda \end{pmatrix} \right).$$

*Proof.* Each conjugacy class in  $\mathrm{SU}(2)$  meets  $T$ . So a class function is determined by its restriction to  $T$ . Evenness follows from the fact that the two elements are conjugate.  $\square$

In particular, a character of a representation  $(\rho, V)$  is also an even function  $\chi_\rho : S^1 \rightarrow \mathbb{C}$ .

**Lemma.** If  $\chi$  is a character of a representation of  $\mathrm{SU}(2)$ , then its restriction  $\chi|_T$  is a Laurent polynomial, i.e. a finite  $\mathbb{N}$ -linear combination of functions

$$\begin{pmatrix} \lambda & 0 \\ 0 & \lambda^{-1} \end{pmatrix} \mapsto \lambda^n$$

for  $n \in \mathbb{Z}$ .

*Proof.* If  $V$  is a representation of  $\mathrm{SU}(2)$ , then  $\mathrm{Res}_T^{\mathrm{SU}(2)} V$  is a representation of  $T$ , and its character  $\mathrm{Res}_T^{\mathrm{SU}(2)} \chi$  is the restriction of  $\chi_V$  to  $T$ . But every representation of  $T$  has its character of the given form. So done.  $\square$

**Notation.** We write  $\mathbb{N}[z, z^{-1}]$  for the set of all Laurent polynomials, i.e.

$$\mathbb{N}[z, z^{-1}] = \left\{ \sum a_n z^n : a_n \in \mathbb{N} : \text{only finitely many } a_n \text{ non-zero} \right\}.$$

We further write

$$\mathbb{N}[z, z^{-1}]_{\text{ev}} = \{f \in \mathbb{N}[z, z^{-1}] : f(z) = f(z^{-1})\}.$$

Then by our lemma, for every continuous representation  $V$  of  $\text{SU}(2)$ , the character  $\chi_V \in \mathbb{N}[z, z^{-1}]_{\text{ev}}$  (by identifying it with its restriction to  $T$ ).

We now actually calculate the character  $\chi_n$  of  $(\rho_n, V_n)$  as a representation of  $\text{SU}(2)$ . We have

$$\chi_{V_n}(g) = \text{tr } \rho_n(g),$$

where

$$g \sim \begin{pmatrix} z & 0 \\ 0 & z^{-1} \end{pmatrix} \in T.$$

Then we have

$$\rho_n \left( \begin{pmatrix} z & 0 \\ 0 & z^{-1} \end{pmatrix} \right) (x^i y^j) = (z x^i)(z^{-1} y^j) = z^{i-j} x^i y^j.$$

So each  $x^i y^j$  is an eigenvector of  $\rho_n(g)$ , with eigenvalue  $z^{i-j}$ . So we know  $\rho_n(g)$  has a matrix

$$\begin{pmatrix} z^n & & & & \\ & z^{n-2} & & & \\ & & \ddots & & \\ & & & z^{2-n} & \\ & & & & z^{-n} \end{pmatrix},$$

with respect to the standard basis. Hence the character is just

$$\chi_n \left( \begin{pmatrix} z & 0 \\ 0 & z^{-1} \end{pmatrix} \right) = z^n + z^{n-2} + \dots + z^{-n} = \frac{z^{n+1} - z^{-(n+1)}}{z - z^{-1}},$$

where the last expression is valid unless  $z = \pm 1$ .

We can now state the result we are aiming for:

**Theorem.** The representations  $\rho_n : \text{SU}(2) \rightarrow \text{GL}(V_n)$  of dimension  $n + 1$  are irreducible for  $n \in \mathbb{Z}_{\geq 0}$ .

Again, we get a complete set (completeness proven later). A complete list of all irreducible representations, given in a really nice form. This is spectacular.

*Proof.* Let  $0 \neq W \leq V_n$  be a  $G$ -invariant subspace, i.e. a subrepresentation of  $V_n$ . We will show that  $W = V_n$ .

All we know about  $W$  is that it is non-zero. So we take some non-zero vector of  $W$ .

**Claim.** Let

$$0 \neq w = \sum_{j=0}^n r_j x^{n-j} y^j \in W.$$

Since this is non-zero, there is some  $i$  such that  $r_i \neq 0$ . The claim is that  $x^{n-i} y^i \in W$ .

We argue by induction on the number of non-zero coefficients  $r_j$ . If there is only one non-zero coefficient, then we are already done, as  $w$  is a non-zero scalar multiple of  $x^{n-i}y^i$ .

So assume there is more than one, and choose one  $i$  such that  $r_i \neq 0$ . We pick  $z \in S^1$  with  $z^n, z^{n-2}, \dots, z^{2-n}, z^{-n}$  all distinct in  $\mathbb{C}$ . Now

$$\rho_n \left( \begin{pmatrix} z & \\ & z^{-1} \end{pmatrix} \right) w = \sum r_j z^{n-2j} x^{n-j} y^j \in W.$$

Subtracting a copy of  $w$ , we find

$$\rho_n \left( \begin{pmatrix} z & \\ & z^{-1} \end{pmatrix} \right) w - z^{n-2i} w = \sum r_j (z^{n-2j} - z^{n-2i}) x^{n-j} y^j \in W.$$

We now look at the coefficient

$$r_j (z^{n-2j} - z^{n-2i}).$$

This is non-zero if and only if  $r_j$  is non-zero and  $j \neq i$ . So we can use this to remove any non-zero coefficient. Thus by induction, we get

$$x^{n-j} y^j \in W$$

for all  $j$  such that  $r_j \neq 0$ .

This gives us one basis vector inside  $W$ , and we need to get the rest.

**Claim.**  $W = V_n$ .

We now know that  $x^{n-i} y^i \in W$  for some  $i$ . We consider

$$\rho_n \left( \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix} \right) x^{n-i} y^i = \frac{1}{\sqrt{2}} (x+y)^{n-i} (-x+y)^i \in W.$$

It is clear that the coefficient of  $x^n$  is non-zero. So we can use the claim to deduce  $x^n \in W$ .

Finally, for general  $a, b \neq 0$ , we apply

$$\rho_n \left( \begin{pmatrix} a & -\bar{b} \\ b & \bar{a} \end{pmatrix} \right) x^n = (ax + by)^n \in W,$$

and the coefficient of everything is non-zero. So basis vectors are in  $W$ . So  $W = V_n$ .  $\square$

This proof is surprisingly elementary. It does not use any technology at all. Alternatively, to prove this, we can identify

$$\mathcal{C}_{\cos \theta} = \left\{ A \in G : \frac{1}{2} \operatorname{tr} A = \cos \theta \right\}$$

with the 2-sphere

$$\{| \operatorname{Im} a|^2 + |b|^2 = \sin^2 \theta \}$$

of radius  $\sin \theta$ . So if  $f$  is a class function on  $G$ ,  $f$  is constant on each class  $\mathcal{C}_{\cos \theta}$ . It turns out we get

$$\int_G f(g) \, dg = \frac{1}{2\pi^2} \int_0^{2\pi} \frac{1}{2} f \left( \begin{pmatrix} e^{i\theta} & \\ & e^{-i\theta} \end{pmatrix} \right) 4\pi \sin^2 \theta \, d\theta.$$

This is the *Weyl integration formula*, which is the Haar measure for  $SU(2)$ . Then if  $\chi_n$  is the character of  $V_n$ , we can use this to show that  $\langle \chi_n, \chi_n \rangle = 1$ . Hence  $\chi_n$  is irreducible. We will not go into the details of this construction.

**Theorem.** Every finite-dimensional continuous irreducible representation of  $G$  is one of the  $\rho_n : G \rightarrow GL(V_n)$  as defined above.

*Proof.* Assume  $\rho_V : G \rightarrow GL(V)$  is an irreducible representation affording a character  $\chi_V \in \mathbb{N}[z, z^{-1}]_{\text{ev}}$ . We will show that  $\chi_V = \chi_n$  for some  $n$ . Now we see

$$\begin{aligned}\chi_0 &= 1 \\ \chi_1 &= z + z^{-1} \\ \chi_2 &= z^2 + 1 + z^{-2} \\ &\vdots\end{aligned}$$

form a basis of  $\mathbb{Q}[z, z^{-1}]_{\text{ev}}$ , which is a non-finite dimensional vector space over  $\mathbb{Q}$ . Hence we can write

$$\chi_V = \sum_n a_n \chi_n,$$

a finite sum with finitely many  $a_n \neq 0$ . Note that it is possible that  $a_n \in \mathbb{Q}$ . So we clear denominators, and move the summands with negative coefficients to the left hand side. So we get

$$m\chi_V + \sum_{i \in I} m_i \chi_i = \sum_{j \in J} n_j \chi_j,$$

with  $I, J$  disjoint finite subsets of  $\mathbb{N}$ , and  $m, m_i, n_j \in \mathbb{N}$ .

We know the left and right-hand side are characters of representations of  $G$ . So we get

$$mV \oplus \bigoplus_I m_i V_i = \bigoplus_J n_j V_j.$$

Since  $V$  is irreducible and factorization is unique, we must have  $V \cong V_n$  for some  $n \in J$ .  $\square$

We've got a complete list of irreducible representations of  $SU(2)$ . So we can look at what happens when we take products.

We know that for  $V, W$  representations of  $SU(2)$ , if

$$\text{Res}_T^{\text{SU}(2)} V \cong \text{Res}_T^{\text{SU}(2)} W,$$

then in fact

$$V \cong W.$$

This gives us the following result:

**Proposition.** Let  $G = SU(2)$  or  $G = S^1$ , and  $V, W$  are representations of  $G$ . Then

$$\chi_{V \otimes W} = \chi_V \chi_W.$$

*Proof.* By the previous remark, it is enough to consider the case  $G = S^1$ . Suppose  $V$  and  $W$  have eigenbases  $\mathbf{e}_1, \dots, \mathbf{e}_n$  and  $\mathbf{f}_1, \dots, \mathbf{f}_m$  respectively such that

$$\rho(z)\mathbf{e}_i = z^{n_i}\mathbf{e}_i, \quad \rho(z)\mathbf{f}_j = z^{m_j}\mathbf{f}_j$$

for each  $i, j$ . Then

$$\rho(z)(\mathbf{e}_i \otimes \mathbf{f}_j) = z^{n_i+m_j}\mathbf{e}_i \otimes \mathbf{f}_j.$$

Thus the character is

$$\chi_{V \otimes W}(z) = \sum_{i,j} z^{n_i+m_j} = \left( \sum_i z^{n_i} \right) \left( \sum_j z^{m_j} \right) = \chi_V(z)\chi_W(z). \quad \square$$

**Example.** We have

$$\chi_{V_1 \otimes V_1}(z) = (z + z^{-1})^2 = z^2 + 2 + z^{-2} = \chi_{V_2} + \chi_{V_0}.$$

So we have

$$V_1 \otimes V_1 \cong V_2 \oplus V_0.$$

Similarly, we can compute

$$\chi_{V_1 \otimes V_2}(z) = (z^2 + 1 + z^{-2})(z + z^{-1}) = z^3 + 2z + 2z^{-1} + z^{-3} = \chi_{V_3} + \chi_{V_1}.$$

So we get

$$V_1 \otimes V_2 \cong V_3 \oplus V_1.$$

**Proposition** (Clebsch-Gordon rule). For  $n, m \in \mathbb{N}$ , we have

$$V_n \otimes V_m \cong V_{n+m} \oplus V_{n+m-2} \oplus \dots \oplus V_{|n-m|+2} \oplus V_{|n-m|}.$$

*Proof.* We just check this works for characters. Without loss of generality, we assume  $n \geq m$ . We can compute

$$\begin{aligned} (\chi_n \chi_m)(z) &= \frac{z^{n+1} - z^{-n-1}}{z - z^{-1}} (z^m + z^{m-2} + \dots + z^{-m}) \\ &= \sum_{j=0}^m \frac{z^{n+m+1-2j} - z^{2j-n-m-1}}{z - z^{-1}} \\ &= \sum_{j=0}^m \chi_{n+m-2j}(z). \end{aligned}$$

Note that the condition  $n \geq m$  ensures there are no cancellations in the sum.  $\square$

## 15.2 Representations of $SO(3)$ , $SU(2)$ and $U(2)$

We've now got a complete list of representations of  $S^1$  and  $SU(2)$ . We see if we can make some deductions about some related groups. We will not give too many details about these groups, and the proofs are just sketches. Moreover, we will rely on the following facts which we shall not prove:

**Proposition.** There are isomorphisms of topological groups:

- (i)  $\mathrm{SO}(3) \cong \mathrm{SU}(2)/\{\pm I\} = \mathrm{PSU}(2)$
- (ii)  $\mathrm{SO}(4) \cong \mathrm{SU}(2) \times \mathrm{SU}(2)/\{\pm(I, I)\}$
- (iii)  $\mathrm{U}(2) \cong \mathrm{U}(1) \times \mathrm{SU}(2)/\{\pm(I, I)\}$

All maps are group isomorphisms, but in fact also homeomorphisms. To show this, we can use the fact that a continuous bijection from a Hausdorff space to a compact space is automatically a homeomorphism.

Assuming this is true, we obtain the following corollary;

**Corollary.** Every irreducible representation of  $\mathrm{SO}(3)$  has the following form:

$$\rho_{2m} : \mathrm{SO}(3) \rightarrow \mathrm{GL}(V_{2m}),$$

for some  $m \geq 0$ , where  $V_n$  are the irreducible representations of  $\mathrm{SU}(2)$ .

*Proof.* Irreducible representations of  $\mathrm{SO}(3)$  correspond to irreducible representations of  $\mathrm{SU}(2)$  such that  $-I$  acts trivially by lifting. But  $-I$  acts on  $V_n$  as  $-1$  when  $n$  is odd, and as  $1$  when  $n$  is even, since

$$\rho(-I) = \begin{pmatrix} (-1)^n & & & \\ & (-1)^{n-2} & & \\ & & \ddots & \\ & & & (-1)^{-n} \end{pmatrix} = (-1)^n I. \quad \square$$

For the sake of completeness, we provide a (sketch) proof of the isomorphism  $\mathrm{SO}(3) \cong \mathrm{SU}(2)/\{\pm I\}$ .

**Proposition.**  $\mathrm{SO}(3) \cong \mathrm{SU}(2)/\{\pm I\}$ .

*Proof sketch.* Recall that  $\mathrm{SU}(2)$  can be viewed as the sphere of unit norm quaternions  $\mathbb{H} \cong \mathbb{R}^4$ .

Let

$$\mathbb{H}^0 = \{A \in \mathbb{H} : \mathrm{tr} A = 0\}.$$

These are the “pure” quaternions. This is a three-dimensional subspace of  $\mathbb{H}$ . It is not hard to see this is

$$\mathbb{H}^0 = \mathbb{R} \left\langle \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \right\rangle = \mathbb{R} \langle \mathbf{i}, \mathbf{j}, \mathbf{k} \rangle,$$

where  $\mathbb{R}\langle \cdot \cdot \cdot \rangle$  is the  $\mathbb{R}$ -span of the things.

This is equipped with the norm

$$\|A\|^2 = \det A.$$

This gives a nice 3-dimensional Euclidean space, and  $\mathrm{SU}(2)$  acts as isometries on  $\mathbb{H}_0$  by conjugation, i.e.

$$X \cdot A = XAX^{-1},$$

giving a group homomorphism

$$\varphi : \mathrm{SU}(2) \rightarrow \mathrm{O}(3),$$

and the kernel of this map is  $Z(\mathrm{SU}(2)) = \{\pm I\}$ . We also know that  $\mathrm{SU}(2)$  is compact, and  $\mathrm{O}(3)$  is Hausdorff. Hence the continuous group isomorphism

$$\bar{\varphi} : \mathrm{SU}(2)/\{\pm I\} \rightarrow \mathrm{im} \varphi$$

is a homeomorphism. It remains to show that  $\mathrm{im} \varphi = \mathrm{SO}(3)$ .

But we know  $\mathrm{SU}(2)$  is connected, and  $\det(\varphi(X))$  is a continuous function that can only take values 1 or  $-1$ . So  $\det(\varphi(X))$  is either always 1 or always  $-1$ . But  $\det(\varphi(I)) = 1$ . So we know  $\det(\varphi(X)) = 1$  for all  $X$ . Hence  $\mathrm{im} \varphi \leq \mathrm{SO}(3)$ .

To show that equality indeed holds, we have to show that all possible rotations in  $\mathbb{H}^0$  are possible. We first show all rotations in the  $\mathbf{i}, \mathbf{j}$ -plane are implemented by elements of the form  $a + b\mathbf{k}$ , and similarly for any permutation of  $\mathbf{i}, \mathbf{j}, \mathbf{k}$ . Since all such rotations generate  $\mathrm{SO}(3)$ , we are then done. Now consider

$$\begin{pmatrix} e^{i\theta} & 0 \\ 0 & e^{-i\theta} \end{pmatrix} \begin{pmatrix} ai & b \\ -\bar{b} & -ai \end{pmatrix} \begin{pmatrix} e^{i\theta} & 0 \\ 0 & e^{i\theta} \end{pmatrix} = \begin{pmatrix} ai & e^{2i\theta}b \\ -\bar{b}e^{-2i\theta} & -ai \end{pmatrix}.$$

So

$$\begin{pmatrix} e^{i\theta} & 0 \\ 0 & e^{-i\theta} \end{pmatrix}$$

acts on  $\mathbb{R}(\mathbf{i}, \mathbf{j}, \mathbf{k})$  by a rotation in the  $(\mathbf{j}, \mathbf{k})$ -plane through an angle  $2\theta$ . We can check that

$$\begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}, \quad \begin{pmatrix} \cos \theta & i \sin \theta \\ i \sin \theta & \cos \theta \end{pmatrix}$$

act by rotation of  $2\theta$  in the  $(\mathbf{i}, \mathbf{k})$ -plane and  $(\mathbf{i}, \mathbf{j})$ -plane respectively. So done.  $\square$

We can adapt this proof to prove the other isomorphisms. However, it is slightly more difficult to get the irreducible representations, since it involves taking some direct products. We need a result about products  $G \times H$  of two compact groups. Similar to the finite case, we get the complete list of irreducible representations by taking the tensor products  $V \otimes W$ , where  $V$  and  $W$  range over the irreducibles of  $G$  and  $H$  independently.

We will just assert the results.

**Proposition.** The complete list of irreducible representations of  $\mathrm{SO}(4)$  is  $\rho_m \times \rho_n$ , where  $m, n > 0$  and  $m \equiv n \pmod{2}$ .

**Proposition.** The complete list of irreducible representations of  $\mathrm{U}(2)$  is

$$\det^{\otimes m} \otimes \rho_n,$$

where  $m, n \in \mathbb{Z}$  and  $n \geq 0$ , and  $\det$  is the obvious one-dimensional representation.

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