

# Part III — Algebraic Topology

Based on lectures by O. Randal-Williams

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These notes are not endorsed by the lecturers, and I have modified them (often significantly) after lectures. They are nowhere near accurate representations of what was actually lectured, and in particular, all errors are almost surely mine.

Algebraic Topology assigns algebraic invariants to topological spaces; it permeates modern pure mathematics. This course will focus on (co)homology, with an emphasis on applications to the topology of manifolds. We will cover singular homology and cohomology, vector bundles and the Thom Isomorphism theorem, and the cohomology of manifolds up to Poincaré duality. Time permitting, there will also be some discussion of characteristic classes and cobordism, and conceivably some homotopy theory.

## Pre-requisites

Basic topology: topological spaces, compactness and connectedness, at the level of Sutherland's book. The course will not assume any knowledge of Algebraic Topology, but will go quite fast in order to reach more interesting material, so some previous exposure to simplicial homology or the fundamental group would be helpful. The Part III Differential Geometry course will also contain useful, relevant material.

Hatcher's book is especially recommended for the course, but there are many other suitable texts.

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# 1 Homotopy

In this course, the word “map” will always mean “continuous function”.

In topology, we study spaces up to “continuous deformation”. Famously, a coffee mug can be continuously deformed into a doughnut, and thus they are considered to be topologically the same. Now we also talk about maps between topological spaces. So a natural question is if it makes sense to talk about the continuous deformations of maps. It turns out it does, and the definition is sort-of the obvious one:

**Definition** (Homotopy). Let  $X, Y$  be topological spaces. A *homotopy* between  $f_0, f_1 : X \rightarrow Y$  is a map  $F : [0, 1] \times X \rightarrow Y$  such that  $F(0, x) = f_0(x)$  and  $F(1, x) = f_1(x)$ . If such an  $F$  exists, we say  $f_0$  is *homotopic* to  $f_1$ , and write  $f_0 \simeq f_1$ .

This  $\simeq$  defines an equivalence relation on the set of maps from  $X$  to  $Y$ .

Just as concepts in topology are invariant under homeomorphisms, it turns out the theories we develop in algebraic topology are invariant under homotopies, i.e. homotopic maps “do the same thing”. This will be made more precise later.

Under this premise, if we view homotopic functions as “the same”, then we have to enlarge our notion of isomorphism to take this into account. To do so, we just write down the usual definition of isomorphism, but with equality replaced with homotopy.

**Definition** (Homotopy equivalence). A map  $f : X \rightarrow Y$  is a *homotopy equivalence* if there is some  $g : Y \rightarrow X$  such that  $f \circ g \simeq \text{id}_Y$  and  $g \circ f \simeq \text{id}_X$ . We call  $g$  a *homotopy inverse* to  $f$ .

As always, homotopy equivalence is an equivalence relation. This relies on the following straightforward property of homotopy:

**Proposition.** If  $f_0 \simeq f_1 : X \rightarrow Y$  and  $g_0 \simeq g_1 : Y \rightarrow Z$ , then  $g_0 \circ f_0 \simeq g_1 \circ f_1 : X \rightarrow Z$ .

$$\begin{array}{ccc} X & \begin{array}{c} \xrightarrow{f_0} \\ \xrightarrow{f_1} \end{array} & Y & \begin{array}{c} \xrightarrow{g_0} \\ \xrightarrow{g_1} \end{array} & Z \end{array}$$

**Example** (Stupid example). If  $f : X \rightarrow Y$  is a homeomorphism, then it is a homotopy equivalence — we take the actual inverse for the homotopy inverse, since equal functions are homotopic.

**Example** (Interesting example). Let  $i : \{0\} \rightarrow \mathbb{R}^n$  be the inclusion map. To show this is a homotopy equivalence, we have to find a homotopy inverse. Fortunately, there is only one map  $\mathbb{R}^n \rightarrow \{0\}$ , namely the constant function 0. We call this  $r : \mathbb{R}^n \rightarrow \{0\}$ . The composition  $r \circ i : \{0\} \rightarrow \{0\}$  is exactly the identity. So this is good.

In the other direction, the map  $i \circ r : \mathbb{R}^n \rightarrow \mathbb{R}^n$  sends everything to 0. We need to produce a homotopy to the identity. We let  $F : [0, 1] \times \mathbb{R}^n \rightarrow \mathbb{R}^n$  be

$$F(t, \mathbf{v}) = t\mathbf{v}.$$

We have  $F(0, \mathbf{v}) = 0$  and  $F(1, \mathbf{v}) = \mathbf{v}$ . So this is indeed a homotopy from  $i \circ r$  to  $\text{id}_{\mathbb{R}^n}$ .

So from the point of view of homotopy, the one-point space  $\{0\}$  is the same as  $\mathbb{R}^n$ ! So dimension, or even cardinality, is now a meaningless concept.

**Example** (Also interesting example). Let  $S^n \subseteq \mathbb{R}^{n+1}$  be the unit sphere, and  $i : S^n \hookrightarrow \mathbb{R}^{n+1} \setminus \{0\}$ . We show that this is a homotopy equivalence. We define  $r : \mathbb{R}^{n+1} \setminus \{0\} \rightarrow S^n$  by

$$r(\mathbf{v}) = \frac{\mathbf{v}}{\|\mathbf{v}\|}.$$

Again, we have  $r \circ i = \text{id}_{S^n}$ . In the other direction, we need to construct a path from each  $\mathbf{v}$  to  $\frac{\mathbf{v}}{\|\mathbf{v}\|}$  in a continuous way. We could do so by

$$H : [0, 1] \times (\mathbb{R}^{n+1} \setminus \{0\}) \rightarrow \mathbb{R}^{n+1} \setminus \{0\}$$

$$(t, \mathbf{v}) \mapsto (1-t)\mathbf{v} + t \frac{\mathbf{v}}{\|\mathbf{v}\|}.$$

We can easily check that this is a homotopy from  $\text{id}_{\mathbb{R}^{n+1} \setminus \{0\}}$  to  $i \circ r$ .

Again, homotopy equivalence allowed us to squash down one dimension of  $\mathbb{R}^{n+1} \setminus \{0\}$  to get  $S^n$ . However, there is one thing we haven't gotten rid of — the hole. It turns out what *is* preserved by homotopy equivalence is exactly the holes.

Now we might ask ourselves — can we distinguish holes of “different dimension”? For  $n \neq m$ , is  $S^n$  homotopy equivalent to  $S^m$ ? If we try hard to construct homotopies, we will always fail, and then we would start to think that maybe they aren't homotopy equivalent. However, at this point, we do not have any tools we can use to prove this.

The solution is algebraic topology. The idea is that we assign algebraic objects to each topological space in a way that is homotopy-invariant. We then come up with tools to compute these algebraic invariants. Then if two spaces are assigned different algebraic objects, then we know they cannot be homotopy equivalent.

What is this good for? At this point, you might not be convinced that it is a good idea to consider spaces up to homotopy equivalence only. However, algebraic topology can also help us show that spaces are not homeomorphic. After all, homeomorphic spaces are also homotopy equivalent. For example, suppose we want to show that if  $\mathbb{R}^n \cong \mathbb{R}^m$ , then  $n = m$ . Algebraic topology doesn't help us directly, because both  $\mathbb{R}^n$  and  $\mathbb{R}^m$  are homotopy equivalent to a point. Instead, we do the slightly sneaky thing of removing a point. If  $\mathbb{R}^n \cong \mathbb{R}^m$ , then it must also be the case that  $\mathbb{R}^n \setminus \{0\} \cong \mathbb{R}^m \setminus \{0\}$ . Since these are homotopy equivalent to  $S^{n-1}$  and  $S^{m-1}$ , this implies that  $S^{n-1} \simeq S^{m-1}$  are homotopy equivalent. By algebraic topology, we will show that that this can only happen if  $m = n$ . So indeed we can recover the notion of dimension using algebraic topology!

## 2 Singular (co)homology

### 2.1 Chain complexes

This course is called algebraic topology. We've already talked about some topology, so let's do some algebra. We will just write down a bunch of definitions, which we will get to use in the next chapter to define something useful.

**Definition** (Chain complex). A *chain complex* is a sequence of abelian groups and homomorphisms

$$\cdots \longrightarrow C_3 \xrightarrow{d_3} C_2 \xrightarrow{d_2} C_1 \xrightarrow{d_1} C_0 \xrightarrow{d_0} 0$$

such that

$$d_i \circ d_{i+1} = 0$$

for all  $i$ .

Very related to the notion of a chain complex is a *cochain complex*, which is the same thing with the maps the other way.

**Definition** (Cochain complex). A *cochain complex* is a sequence of abelian groups and homomorphisms

$$0 \longrightarrow C^0 \xrightarrow{d^0} C^1 \xrightarrow{d^1} C^2 \xrightarrow{d^2} C^3 \longrightarrow \cdots$$

such that

$$d^{i+1} \circ d^i = 0$$

for all  $i$ .

Note that each of the maps  $d$  is indexed by the number of its domain.

**Definition** (Differentials). The maps  $d^i$  and  $d_i$  are known as *differentials*.

Eventually, we will get lazy and just write all the differentials as  $d$ .

Given a chain complex, the only thing we know is that the composition of any two maps is zero. In other words, we have  $\text{im } d_{i+1} \subseteq \ker d_i$ . We can then ask how good this containment is. Is it that  $\text{im } d_{i+1} = \ker d_i$ , or perhaps that  $\ker d_i$  is huge but  $\text{im } d_{i+1}$  is trivial? The homology or cohomology measures what happens.

**Definition** (Homology). The *homology* of a chain complex  $C$  is

$$H_i(C) = \frac{\ker(d_i : C_i \rightarrow C_{i-1})}{\text{im}(d_{i+1} : C_{i+1} \rightarrow C_i)}.$$

An element of  $H_i(C)$  is known as a *homology class*.

Dually, we have

**Definition** (Cohomology). The *cohomology* of a cochain complex  $C^*$  is

$$H^i(C^*) = \frac{\ker(d^i : C^i \rightarrow C^{i+1})}{\text{im}(d^{i-1} : C^{i-1} \rightarrow C^i)}.$$

An element of  $H^i(C^*)$  is known as a *cohomology class*.

More names:

**Definition** (Cycles and cocycles). The elements of  $\ker d_i$  are the *cycles*, and the elements of  $\ker d^i$  are the *cocycles*.

**Definition** (Boundaries and coboundaries). The elements of  $\operatorname{im} d_i$  are the *boundaries*, and the elements of  $\operatorname{im} d^i$  are the *coboundaries*.

As always, we want to talk about maps between chain complexes. These are known as chain maps.

**Definition** (Chain map). If  $(C., d_n^C)$  and  $(D., d_n^D)$  are chain complexes, then a *chain map*  $C. \rightarrow D.$  is a collection of homomorphisms  $f_n : C_n \rightarrow D_n$  such that  $d_n^D \circ f_n = f_{n-1} \circ d_n^C$ . In other words, the following diagram has to commute for all  $n$ :

$$\begin{array}{ccc} C_n & \xrightarrow{f_n} & D_n \\ \downarrow d_n^C & & \downarrow d_n^D \\ C_{n-1} & \xrightarrow{f_{n-1}} & D_{n-1} \end{array}$$

There is an obvious analogous definition for *cochain maps* between cochain complexes.

**Lemma.** If  $f. : C. \rightarrow D.$  is a chain map, then  $f_* : H_n(C.) \rightarrow H_n(D.)$  given by  $[x] \mapsto [f_n(x)]$  is a well-defined homomorphism, where  $x \in C_n$  is any element representing the homology class  $[x] \in H_n(C.)$ .

*Proof.* Before we check if it is well-defined, we first need to check if it is defined at all! In other words, we need to check if  $f_n(x)$  is a cycle. Suppose  $x \in C_n$  is a cycle, i.e.  $d_n^C(x) = 0$ . Then we have

$$d_n^D(f_n(x)) = f_{n-1}(d_n^C(x)) = f_{n-1}(0) = 0.$$

So  $f_n(x)$  is a cycle, and it does represent a homology class.

To check this is well-defined, if  $[x] = [y] \in H_n(C.)$ , then  $x - y = d_{n+1}^C(z)$  for some  $z \in C_{n+1}$ . So  $f_n(x) - f_n(y) = f_n(d_{n+1}^C(z)) = d_{n+1}^D(f_{n+1}(z))$  is a boundary. So we have  $[f_n(x)] = [f_n(y)] \in H_n(D.)$ .  $\square$

## 2.2 Singular (co)homology

The idea now is that given any space  $X$ , we construct a chain complex  $C.(X)$ , and for any map  $f : X \rightarrow Y$ , we construct a chain map  $f. : C.(X) \rightarrow C.(Y)$ . We then take the homology of these, so that we get some homology groups  $H_*(X)$  for each space  $X$ , and a map  $f_* : H_*(X) \rightarrow H_*(Y)$  for each map  $f : X \rightarrow Y$ .

There are many ways we can construct a chain complex  $C.(X)$  from a space  $X$ . The way we are going to define the chain complex is via *singular homology*. The advantage of this definition is that it is obviously a property just of the space  $X$  itself, whereas in other definitions, we need to pick, say, a triangulation of the space, and then work hard to show that the homology does not depend on the choice of the triangulation.

The disadvantage of singular homology is that the chain complexes  $C.(X)$  will be *huge* (and also a bit scary). Except for the case of a point (or perhaps a few points), it is impossible to actually write down what  $C.(X)$  looks like

and use that to compute the homology groups. Instead, we are going to play around with the definition and prove some useful results that help us compute the homology groups indirectly.

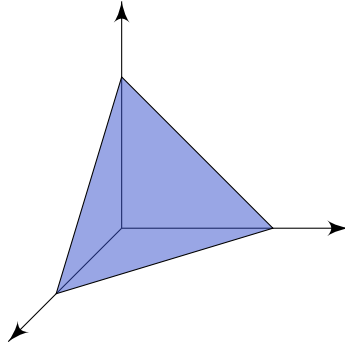
Later, for a particular type of spaces known as *CW complexes*, we will come up with a different homology theory where the  $C_*(X)$  are actually nice and small, so that we can use it to compute the homology groups directly. We will prove that this is equivalent to singular homology, so that this also provides an alternative method of computing homology groups.

Everything we do can be dualized to talk about cohomology instead. Most of the time, we will just write down the result for singular homology, but analogous results hold for singular cohomology as well. However, later on, we will see that there are operations we can perform on cohomology groups only, which makes them better, and the interaction between homology and cohomology will become interesting when we talk about manifolds at the end of the course.

We start with definitions.

**Definition** (Standard  $n$ -simplex). The standard  $n$ -simplex is

$$\Delta^n = \left\{ (t_0, \dots, t_n) \in \mathbb{R}^{n+1} : t_i \geq 0, \sum t_i = 1 \right\}.$$

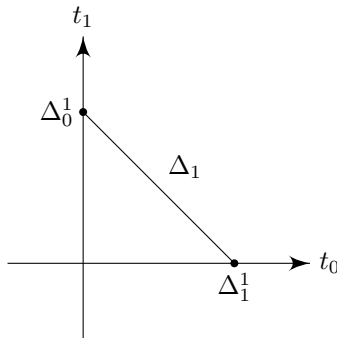


We notice that  $\Delta^n$  has  $n + 1$  “faces”.

**Definition** (Face of standard simplex). The  $i$ th face of  $\Delta^n$  is

$$\Delta_i^n = \{ (t_0, \dots, t_n) \in \Delta^n : t_i = 0 \}.$$

**Example.** The faces of  $\Delta^1$  are labelled as follows:



We see that the  $i$ th face of  $\Delta^n$  looks like the standard  $(n-1)$ -simplex. Of course, it is just homeomorphic to it, with the map given by

$$\begin{aligned} \delta_i : \Delta^{n-1} &\rightarrow \Delta^n \\ (t_0, \dots, t_{n-1}) &\mapsto (t_0, \dots, t_{i-1}, 0, t_i, \dots, t_{n-1}) \end{aligned}$$

This is a homeomorphism onto  $\Delta_i^n$ . We will make use of these maps to define our chain complex.

The idea is that we will look at subspaces of  $X$  that “look like” these standard  $n$ -simplices.

**Definition** (Singular  $n$ -simplex). Let  $X$  be a space. Then a *singular  $n$ -simplex* in  $X$  is a map  $\sigma : \Delta^n \rightarrow X$ .

**Example.** The inclusion of the standard  $n$ -simplex into  $\mathbb{R}^{n+1}$  is a singular simplex, but so is the constant map to any point in  $X$ . So the singular  $n$ -simplices can be stupid.

Given a space  $X$ , we obtain a *set* of singular  $n$ -simplices. To talk about homology, we need to have an abelian group. We do the least imaginative thing ever:

**Definition** (Singular chain complex). We let  $C_n(X)$  be the free abelian group on the set of singular  $n$ -simplices in  $X$ . More explicitly, we have

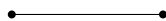
$$C_n(X) = \left\{ \sum n_\sigma \sigma : \sigma : \Delta^n \rightarrow X, n_\sigma \in \mathbb{Z}, \text{ only finitely many } n_\sigma \text{ non-zero} \right\}.$$

We define  $d_n : C_n(X) \rightarrow C_{n-1}(X)$  by

$$\sigma \mapsto \sum_{i=0}^n (-1)^i \sigma \circ \delta_i,$$

and then extending linearly.

Note that it is essential that we insert those funny negative signs. Indeed, if the signs weren't there, then all terms in  $d(d(\sigma))$  would have positive coefficients, and there is no hope that they all cancel. Intuitively, we can think of these signs as specifying the “orientation” of the faces. For example, if we have a line



then after taking  $d$ , one vertex would have a positive sign, and the other would have a negative sign.

Now we actually check that this indeed gives us a chain complex. The key tool is the following unexciting result:

**Lemma.** If  $i < j$ , then  $\delta_j \circ \delta_i = \delta_i \circ \delta_{j-1} : \Delta^{n-2} \rightarrow \Delta^n$ .

*Proof.* Both send  $(t_0, \dots, t_{n-2})$  to

$$(t_0, \dots, t_{i-1}, 0, t_i, \dots, t_{j-2}, 0, t_{j-1}, \dots, t_{n-2}). \quad \square$$

**Corollary.** The homomorphism  $d_{n-1} \circ d_n : C_n(X) \rightarrow C_{n-2}(X)$  vanishes.



*Proof.* It suffices to check this on each basis element  $\sigma : \Delta^n \rightarrow X$ . We have

$$d_{n-1} \circ d_n(\sigma) = \sum_{i=0}^{n-1} (-1)^i \sum_{j=0}^n (-1)^j \sigma \circ \delta_j \circ \delta_i.$$

We use the previous lemma to split the sum up into  $i < j$  and  $i \geq j$ :

$$\begin{aligned} &= \sum_{i < j} (-1)^{i+j} \sigma \circ \delta_j \circ \delta_i + \sum_{i \geq j} (-1)^{i+j} \sigma \circ \delta_j \circ \delta_i \\ &= \sum_{i < j} (-1)^{i+j} \sigma \circ \delta_i \circ \delta_{j-1} + \sum_{i \geq j} (-1)^{i+j} \sigma \circ \delta_j \circ \delta_i \\ &= \sum_{i \leq j} (-1)^{i+j+1} \sigma \circ \delta_i \circ \delta_j + \sum_{i \geq j} (-1)^{i+j} \sigma \circ \delta_j \circ \delta_i \\ &= 0. \end{aligned} \quad \square$$

So the data  $d_n : C_n(X) \rightarrow C_{n-1}(X)$  is indeed a chain complex. The only thing we can do to a chain complex is to take its homology!

**Definition** (Singular homology). The *singular homology* of a space  $X$  is the homology of the chain complex  $C_*(X)$ :

$$H_i(X) = H_i(C_*(X), d_*) = \frac{\ker(d_i : C_i(X) \rightarrow C_{i-1}(X))}{\operatorname{im}(d_{i+1} : C_{i+1}(X) \rightarrow C_i(X))}.$$

We will also talk about the “dual” version of this:

**Definition** (Singular cohomology). We define the dual cochain complex by

$$C^n(X) = \operatorname{Hom}(C_n(X), \mathbb{Z}).$$

We let

$$d^n : C^n(X) \rightarrow C^{n+1}(X)$$

be the adjoint to  $d_{n+1}$ , i.e.

$$(\varphi : C_n(X) \rightarrow \mathbb{Z}) \mapsto (\varphi \circ d_{n+1} : C_{n+1}(X) \rightarrow \mathbb{Z}).$$

We observe that

$$0 \longrightarrow C^0(X) \xrightarrow{d^0} C^1(X) \longrightarrow \dots$$

is indeed a cochain complex, since

$$d^{n+1}(d^n(\varphi)) = \varphi \circ d_{n+1} \circ d_{n+2} = \varphi \circ 0 = 0.$$

The *singular cohomology* of  $X$  is the cohomology of this cochain complex, i.e.

$$H^i(X) = H^i(C^*(X), d^*) = \frac{\ker(d^i : C^i(X) \rightarrow C^{i+1}(X))}{\operatorname{im}(d^{i-1} : C^{i-1}(X) \rightarrow C^i(X))}.$$

Note that in general, it is *not* true that  $H^n(X) = \operatorname{Hom}(H_n(X), \mathbb{Z})$ . Thus, dualizing and taking homology do not commute with each other. However, we will later come up with a relation between the two objects.

The next thing to show is that maps of spaces induce chain maps, hence maps between homology groups.

**Proposition.** If  $f : X \rightarrow Y$  is a continuous map of topological spaces, then the maps

$$\begin{aligned} f_n : C_n(X) &\rightarrow C_n(Y) \\ (\sigma : \Delta^n \rightarrow X) &\mapsto (f \circ \sigma : \Delta^n \rightarrow Y) \end{aligned}$$

give a chain map. This induces a map on the homology (and cohomology).

*Proof.* To see that the  $f_n$  and  $d_n$  commute, we just notice that  $f_n$  acts by composing on the left, and  $d_n$  acts by composing on the right, and these two operations commute by the associativity of functional composition.  $\square$

Now if in addition we have a map  $g : Y \rightarrow Z$ , then we obtain two maps  $H_n(X) \rightarrow H_n(Z)$  by

$$\begin{array}{ccc} H_n(X) & \xrightarrow{(g \circ f)_*} & H_n(Z) \\ & \searrow f_* & \nearrow g_* \\ & & H_n(Y) \end{array} .$$

By direct inspection of the formula, we see that this diagram commutes. In equation form, we have

$$(g \circ f)_* = g_* \circ f_* .$$

Moreover, we trivially have

$$(\text{id}_X)_* = \text{id}_{H_n(X)} : H_n(X) \rightarrow H_n(X) .$$

Thus we deduce that

**Proposition.** If  $f : X \rightarrow Y$  is a homeomorphism, then  $f_* : H_n(X) \rightarrow H_n(Y)$  is an isomorphism of abelian groups.

*Proof.* If  $g : Y \rightarrow X$  is an inverse to  $f$ , then  $g_*$  is an inverse to  $f_*$ , as  $f_* \circ g_* = (f \circ g)_* = (\text{id}_Y)_* = \text{id}$ , and similarly the other way round.  $\square$

If one is taking category theory, what we have shown is that  $H_*$  is a functor, and the above proposition is just the usual proof that functors preserve isomorphisms.

This is not too exciting. We will later show that *homotopy equivalences* induce isomorphisms of homology groups, which is much harder.

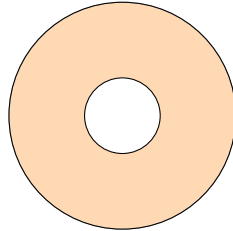
Again, we can dualize this to talk about cohomology. Applying  $\text{Hom}(\cdot, \mathbb{Z})$  to  $f : C_n(X) \rightarrow C_n(Y)$  gives homomorphisms  $f^n : C^n(Y) \rightarrow C^n(X)$  by mapping

$$(\varphi : C_n(Y) \rightarrow \mathbb{Z}) \mapsto (\varphi \circ f_n : C_n(X) \rightarrow \mathbb{Z}) .$$

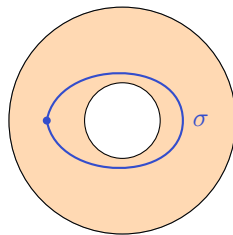
Note that this map goes the other way! Again, this is a cochain map, and induces maps  $f^* : H^n(Y) \rightarrow H^n(X)$ .

How should we think about singular homology? There are two objects involved — cycles and boundaries. We will see that cycles in some sense “detect holes”, and quotienting out by boundaries will help us identify cycles that detect the same hole.

**Example.** We work with the space that looks like this:

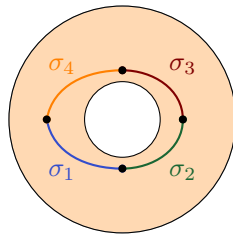


Suppose we have a single chain complex  $\sigma : \Delta^1 \rightarrow X$ . Then its boundary  $d_1(\sigma) = \sigma(1) - \sigma(0)$ . This is in general non-zero, unless we have  $\sigma(0) = \sigma(1)$ . In other words, this has to be a loop!



So the 1-cycles represented by just one element are exactly the loops.

How about more complicated cycles? We could have, say, four 1-simplices  $\sigma_1, \sigma_2, \sigma_3, \sigma_4$ .



In this case we have

$$\sigma_1(1) = \sigma_2(0)$$

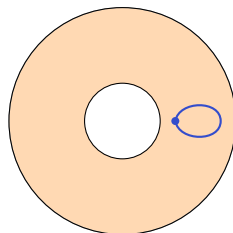
$$\sigma_2(1) = \sigma_3(0)$$

$$\sigma_3(1) = \sigma_4(0)$$

$$\sigma_4(1) = \sigma_1(0)$$

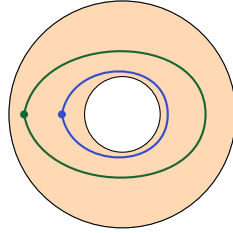
Thus, we have  $\sigma_1 + \sigma_2 + \sigma_3 + \sigma_4 \in C_1(X)$ . We can think of these cycles as detecting the holes by surrounding them.

However, there are some stupid cycles:



These cycles don't really surround anything. The solution is that these cycles are actually boundaries, as it is the boundary of the 2-simplex that fills the region bounded by the loop (details omitted).

Similarly, the boundaries also allow us to identify two cycles that surround the same hole, so that we don't double count:



This time, the *difference* between the two cycles is the boundary of the 2-simplex given by the region in between the two loops.

Of course, some work has to be done to actually find a 2-simplex whose boundary is the difference of the two loops above, and in fact we will have to write the region as the sum of multiple 2-simplices for this to work. However, this is just to provide intuition, not a formal proof.

We now do some actual computations. If we want to compute the homology groups directly, we need to know what the  $C_*(X)$  look like. In general, this is intractable, unless we have the very simple case of a point:

**Example.** Consider the one-point space  $\text{pt} = \{*\}$ . We claim that

$$H^n(\text{pt}) = H_n(\text{pt}) = \begin{cases} \mathbb{Z} & n = 0 \\ 0 & n > 0 \end{cases}.$$

To see this, we note that there is always a single singular  $n$ -simplex  $\sigma_n : \Delta^n \rightarrow \text{pt}$ . So  $C_n(\text{pt}) = \mathbb{Z}$ , and is generated by  $\sigma_n$ . Now note that

$$d_n(\sigma_n) = \sum_{i=0}^n (-1)^i \sigma_n \delta_i = \begin{cases} \sigma_{n-1} & n \text{ even} \\ 0 & n \text{ odd} \end{cases}.$$

So the singular chain complex looks like

$$\mathbb{Z} \xrightarrow{1} \mathbb{Z} \xrightarrow{0} \mathbb{Z} \xrightarrow{1} \mathbb{Z} \xrightarrow{0} \mathbb{Z} \longrightarrow 0.$$

The homology groups then follow from direct computation. The cohomology groups are similar.

This result is absolutely unexciting, and it is also almost the only space whose homology groups we can find at this point. However, we are still capable of proving the following general result:

**Example.** If  $X = \coprod_{\alpha \in I} X_\alpha$  is a disjoint union of path components, then each singular simplex must lie in some  $X_\alpha$ . This implies that

$$H_n(X) \cong \bigoplus_{\alpha \in I} H_n(X_\alpha).$$

Now we know how to compute, say, the homology of three points. How exciting.

**Lemma.** If  $X$  is path-connected and non-empty, then  $H_0(X) \cong \mathbb{Z}$ .

*Proof.* Define a homomorphism  $\varepsilon : C_0(X) \rightarrow \mathbb{Z}$  given by

$$\sum n_\sigma \sigma \mapsto \sum n_\sigma.$$

Then this is surjective. We claim that the composition

$$C_1(X) \xrightarrow{d} C_0(X) \xrightarrow{\varepsilon} \mathbb{Z}$$

is zero. Indeed, each simplex has two ends, and a  $\sigma : \Delta^1 \rightarrow X$  is mapped to  $\sigma \circ \delta_0 - \sigma \circ \delta_1$ , which is mapped by  $\varepsilon$  to  $1 - 1 = 0$ .

Thus, we know that  $\varepsilon(\sigma) = \varepsilon(\sigma + d\tau)$  for any  $\sigma \in C_0(X)$  and  $\tau \in C_1(X)$ . So we obtain a well-defined map  $\varepsilon : H_0(X) \rightarrow \mathbb{Z}$  mapping  $[x] \mapsto \varepsilon(x)$ , and this is surjective as  $X$  is non-empty.

So far, this is true for a general space. Now we use the path-connectedness condition to show that this map is indeed injective. Suppose  $\sum n_\sigma \sigma \in C_0(X)$  lies in  $\ker \varepsilon$ . We choose an  $x_0 \in X$ . As  $X$  is path-connected, for each of  $\Delta^0 \rightarrow X$  we can choose a path  $\tau_\sigma : \Delta^1 \rightarrow X$  with  $\tau_\sigma \circ \delta_0 = \sigma$  and  $\tau_\sigma \circ \delta_1 = x_0$ .

Given these 1-simplices, we can form a 1-chain  $\sum n_\sigma \tau_\sigma \in C_1(X)$ , and

$$d_1 \left( \sum n_\sigma \tau_\sigma \right) = \sum n_\sigma (\sigma + x_0) = \sum n_\sigma \cdot \sigma - \left( \sum n_\sigma \right) x_0.$$

Now we use the fact that  $\sum n_\sigma = 0$ . So  $\sum n_\sigma \cdot \sigma$  is a boundary. So it is zero in  $H_0(X)$ .  $\square$

Combining with the coproduct formula, we have

**Proposition.** For any space  $X$ , we have  $H_0(X)$  is a free abelian group generated by the path components of  $X$ .

These are pretty much the things we can do by hand. To do more things, we need to use some tools.

### 3 Four major tools of (co)homology

We now state four major properties of cohomology. At the end, we will use these properties to compute a lot of homology groups. The proofs are long and boring, so we postpone them until we have gone through the properties and enjoyed some applications of them.

#### 3.1 Homotopy invariance

The first result is pretty easy to state, but it is a really powerful result that really drives what we are doing:

**Theorem** (Homotopy invariance theorem). Let  $f \simeq g : X \rightarrow Y$  be homotopic maps. Then they induce the same maps on (co)homology, i.e.

$$f_* = g_* : H_*(X) \rightarrow H_*(Y)$$

and

$$f^* = g^* : H^*(Y) \rightarrow H^*(X).$$

**Corollary.** If  $f : X \rightarrow Y$  is a homotopy equivalence, then  $f_* : H_*(X) \rightarrow H_*(Y)$  and  $f^* : H^*(Y) \rightarrow H^*(X)$  are isomorphisms.

*Proof.* If  $g : Y \rightarrow X$  is a homotopy inverse, then

$$g_* \circ f_* = (g \circ f)_* = (\text{id}_X)_* = \text{id}_{H_*(X)}.$$

Similarly, we have  $f_* \circ g_* = (\text{id}_Y)_* = \text{id}_{H_*(Y)}$ . So  $f_*$  is an isomorphism with an inverse  $g_*$ .

The case for cohomology is similar.  $\square$

Since we know that  $\mathbb{R}^n$  is homotopy equivalent to a point, it immediately follows that:

**Example.** We have

$$H^n(\mathbb{R}^n) = H_n(\mathbb{R}^n) = \begin{cases} \mathbb{Z} & n = 0 \\ 0 & n > 0 \end{cases}.$$

#### 3.2 Mayer-Vietoris

The next tool is something that allows us to compute (co)homology by computing the (co)homology of smaller subspaces. Unfortunately, the result doesn't just say we can directly add the cohomologies, or anything like that. Instead, the information is encoded in an *exact sequence*.

**Definition** (Exact sequence). We say a pair of homomorphisms

$$A \xrightarrow{f} B \xrightarrow{g} C$$

is *exact at B* if  $\text{im}(f) = \ker(g)$ .

We say a sequence

$$\cdots \longrightarrow X_0 \longrightarrow X_1 \longrightarrow X_2 \longrightarrow \cdots$$

is *exact* if it is exact at each  $X_n$ .

**Example.** If

$$0 \longrightarrow A \xrightarrow{f} B \longrightarrow 0 .$$

is exact, then  $f$  is an isomorphism.

**Definition** (Short exact sequence). A *short exact sequence* is a sequence of the form

$$0 \longrightarrow A \longrightarrow B \longrightarrow C \longrightarrow 0 .$$

It is an easy consequence of the first isomorphism theorem that

**Lemma.** In a short exact sequence

$$0 \longrightarrow A \xrightarrow{f} B \xrightarrow{g} C \longrightarrow 0 ,$$

the map  $f$  is injective;  $g$  is surjective, and  $C \cong B/A$ .

**Example.** Consider the sequence

$$0 \longrightarrow \mathbb{Z}/n\mathbb{Z} \longrightarrow A \longrightarrow \mathbb{Z}/m\mathbb{Z} \longrightarrow 0$$

There are many possible values of  $A$ . A few obvious examples are  $A = \mathbb{Z}/nm\mathbb{Z}$  and  $A = \mathbb{Z}/n\mathbb{Z} \oplus \mathbb{Z}/m\mathbb{Z}$ . If  $n$  and  $m$  are not coprime, then these are different groups.

Many of the important theorems for computing homology groups tell us that the homology groups of certain spaces fall into some exact sequence, and we have to try figure out what the groups are.

**Theorem** (Mayer-Vietoris theorem). Let  $X = A \cup B$  be the union of two open subsets. We have inclusions

$$\begin{array}{ccc} A \cap B & \xrightarrow{i_A} & A \\ \downarrow i_B & & \downarrow j_A \\ B & \xrightarrow{j_B} & X \end{array}$$

Then there are homomorphisms  $\partial_{MV} : H_n(X) \rightarrow H_{n-1}(A \cap B)$  such that the following sequence is exact:

$$\begin{array}{ccccccc} \xrightarrow{\partial_{MV}} H_n(A \cap B) & \xrightarrow{i_{A*} \oplus i_{B*}} & H_n(A) \oplus H_n(B) & \xrightarrow{j_{A*} - j_{B*}} & H_n(X) & \longrightarrow & \cdots \\ & & \partial_{MV} & & & & \\ \longleftarrow & & & & & & \\ \longleftarrow & & H_{n-1}(A \cap B) & \xrightarrow{i_{A*} \oplus i_{B*}} & H_{n-1}(A) \oplus H_{n-1}(B) & \xrightarrow{j_{A*} - j_{B*}} & H_{n-1}(X) \longrightarrow \cdots \\ & & & & & & \\ \cdots & \longrightarrow & H_0(A) \oplus H_0(B) & \xrightarrow{j_{A*} - j_{B*}} & H_0(X) & \longrightarrow & 0 \end{array}$$

Furthermore, the Mayer-Vietoris sequence is *natural*, i.e. if  $f : X = A \cup B \rightarrow Y = U \cup V$  satisfies  $f(A) \subseteq U$  and  $f(B) \subseteq V$ , then the diagram

$$\begin{array}{ccccccc} H_{n+1}(X) & \xrightarrow{\partial_{MV}} & H_n(A \cap B) & \xrightarrow{i_{A*} \oplus i_{B*}} & H_n(A) \oplus H_n(B) & \xrightarrow{j_{A*} - j_{B*}} & H_n(X) \\ \downarrow f_* & & \downarrow f|_{A \cap B} & & \downarrow f|_{A*} \oplus f|_{B*} & & \downarrow f_* \\ H_{n+1}(Y) & \xrightarrow{\partial_{MV}} & H_n(U \cap V) & \xrightarrow{i_{U*} \oplus i_{V*}} & H_n(U) \oplus H_n(V) & \xrightarrow{j_{U*} - j_{V*}} & H_n(Y) \end{array}$$

commutes.





**Definition** (Map of pairs). Let  $(X, A)$  and  $(Y, B)$  be topological spaces with  $A \subseteq X$  and  $B \subseteq Y$ . A *map of pairs* is a map  $f : X \rightarrow Y$  such that  $f(A) \subseteq B$ .

Such a map induces a map  $f_* : H_n(X, A) \rightarrow H_n(Y, B)$ , and the exact sequence for relative homology is natural for such maps.

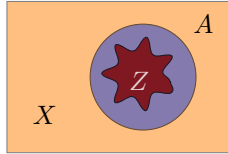
### 3.4 Excision theorem

Now the previous result is absolutely useless, because we just introduced a new quantity  $H_n(X, A)$  we have no idea how to compute again. The main point of relative homology is that we want to think of  $H_n(X, A)$  as the homology of  $X$  when we ignore  $A$ . Thus, one might expect that the relative homology does not depend on the things “inside  $A$ ”. However, it is not true in general that, say,  $H_n(X, A) = H_n(X \setminus A)$ . Instead, what we are allowed to do is to remove subspaces of  $A$  that are “not too big”. This is given by excision:

**Theorem** (Excision theorem). Let  $(X, A)$  be a pair of spaces, and  $Z \subseteq A$  be such that  $\bar{Z} \subseteq \mathring{A}$  (the closure is taken in  $X$ ). Then the map

$$H_n(X \setminus Z, A \setminus Z) \rightarrow H_n(X, A)$$

is an isomorphism.



While we’ve only been talking about homology, everything so far holds analogously for cohomology too. It is again homotopy invariant, and there is a Mayer-Vietoris sequence (with maps  $\partial_{MV} : H^n(A \cap B) \rightarrow H^{n+1}(X)$ ). The relative cohomology is defined by  $C^*(X, A) = \text{Hom}(C_*(X, A), \mathbb{Z})$  and so  $H^*(X, A)$  is the cohomology of that. Similarly, excision holds.

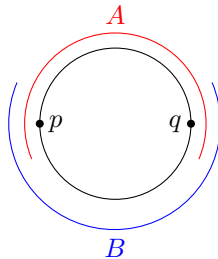
### 3.5 Applications

We now use all these tools to do lots of computations. The first thing we compute will be the homology of spheres.

**Theorem.** We have

$$H_i(S^1) = \begin{cases} \mathbb{Z} & i = 0, 1 \\ 0 & \text{otherwise} \end{cases}.$$

*Proof.* We can split  $S^1$  up as





is an isomorphism.

All that remains is to look at  $i = 0, 1$ . The  $i = 0$  case is trivial. For  $i = 1$ , we look at

$$\begin{array}{ccccccc}
 & & & 0 & & & \\
 & & & \parallel & & & \\
 \cdots & \longrightarrow & H_1(*) \oplus H_1(*) & \longrightarrow & H_1(S^n) & \longrightarrow & \\
 & & & \partial & & & \\
 \longleftarrow & H_0(S^{n-1}) & \xrightarrow{f} & H_0(*) \oplus H_0(*) & \longrightarrow & H_0(S^n) & \longrightarrow 0 \\
 & \parallel & & \parallel & & \parallel & \\
 & \mathbb{Z} & & \mathbb{Z} \oplus \mathbb{Z} & & \mathbb{Z} & 
 \end{array}$$

To conclude that  $H_1(S^n)$  is trivial, it suffices to show that the map  $f$  is injective. By picking the canonical generators, it is given by  $1 \mapsto (1, 1)$ . So we are done.  $\square$

**Corollary.** If  $n \neq m$ , then  $S^{n-1} \not\cong S^{m-1}$ , since they have different homology groups.

**Corollary.** If  $n \neq m$ , then  $\mathbb{R}^n \not\cong \mathbb{R}^m$ .

Now suppose we have a map  $f : S^n \rightarrow S^n$ . As always, it induces a map  $f_* : H_n(S^n) \rightarrow H_n(S^n)$ . Since  $H_n(S^n) \cong \mathbb{Z}$ , we know the map  $f_*$  is given by multiplication by some integer. We call this the *degree* of the map  $f$ .

**Definition** (Degree of a map). Let  $f : S^n \rightarrow S^n$  be a map. The *degree*  $\deg(f)$  is the unique integer such that under the identification  $H_n(S^n) \cong \mathbb{Z}$ , the map  $f_*$  is given by multiplication by  $\deg(f)$ .

In particular, for  $n = 1$ , the degree is the winding number.

Note that there are two ways we can identify  $H_n(S^n)$  and  $\mathbb{Z}$ , which differ by a sign. For the degree to be well-defined, and not just defined up to a sign, we must ensure that we identify both  $H_n(S^n)$  in the same way.

Using the degree, we can show that certain maps are *not* homotopic to each other. We first note the following elementary properties:

**Proposition.**

- (i)  $\deg(\text{id}_{S^n}) = 1$ .
- (ii) If  $f$  is not surjective, then  $\deg(f) = 0$ .
- (iii) We have  $\deg(f \circ g) = (\deg f)(\deg g)$ .
- (iv) Homotopic maps have equal degrees.

*Proof.*

- (i) Obvious.
- (ii) If  $f$  is not surjective, then  $f$  can be factored as

$$S^n \xrightarrow{f} S^n \setminus \{p\} \hookrightarrow S^n ,$$

where  $p$  is some point not in the image of  $f$ . But  $S^n \setminus \{p\}$  is contractible. So  $f_*$  factors as

$$f_* : H_n(S^n) \longrightarrow H_n(*) = 0 \longrightarrow H_n(S^n) .$$

So  $f_*$  is the zero homomorphism, and is thus multiplication by 0.

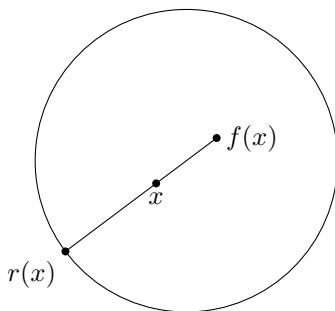
(iii) This follows from the functoriality of  $H_n$ .

(iv) Obvious as well.  $\square$

As a corollary, we obtain the renowned Brouwer's fixed point theorem, a highly non-constructive fixed point existence theorem proved by a constructivist.

**Corollary** (Brouwer's fixed point theorem). Any map  $f : D^n \rightarrow D^n$  has a fixed point.

*Proof.* Suppose  $f$  has no fixed point. Define  $r : D^n \rightarrow S^{n-1} = \partial D^n$  by taking the intersection of the ray from  $f(x)$  through  $x$  with  $\partial D^n$ . This is continuous.



Now if  $x \in \partial D^n$ , then  $r(x) = x$ . So we have a map

$$S^{n-1} = \partial D^n \xrightarrow{i} D^n \xrightarrow{r} \partial D^n = S^{n-1} ,$$

and the composition is the identity. This is a contradiction — contracting  $D^n$  to a point, this gives a homotopy from the identity map  $S^{n-1} \rightarrow S^{n-1}$  to the constant map at a point. This is impossible, as the two maps have different degrees.  $\square$

A more manual argument to show this would be to apply  $H_{n-1}$  to the chain of maps above to obtain a contradiction.

We know there is a map of degree 1, namely the identity, and a map of degree 0, namely the constant map. How about other degrees?

**Proposition.** A reflection  $r : S^n \rightarrow S^n$  about a hyperplane has degree  $-1$ . As before, we cover  $S^n$  by

$$\begin{aligned} A &= S^n \setminus \{N\} \cong \mathbb{R}^n \simeq *, \\ B &= S^n \setminus \{S\} \cong \mathbb{R}^n \simeq *, \end{aligned}$$

where we suppose the north and south poles lie in the hyperplane of reflection. Then both  $A$  and  $B$  are invariant under the reflection. Consider the diagram

$$\begin{array}{ccccc} H_n(S^n) & \xrightarrow[\sim]{\partial_{MV}} & H_{n-1}(A \cap B) & \xleftarrow[\sim]{} & H_{n-1}(S^{n-1}) \\ \downarrow r_* & & \downarrow r_* & & \downarrow r_* \\ H_n(S^n) & \xrightarrow[\sim]{\partial_{MV}} & H_{n-1}(A \cap B) & \xleftarrow[\sim]{} & H_{n-1}(S^{n-1}) \end{array}$$

where the  $S^{n-1}$  on the right most column is given by contracting  $A \cap B$  to the equator. Note that  $r$  restricts to a reflection on the equator. By tracing through the isomorphisms, we see that  $\deg(r) = \deg(r|_{\text{equator}})$ . So by induction, we only have to consider the case when  $n = 1$ . Then we have maps

$$\begin{array}{ccccccc} 0 & \longrightarrow & H_1(S^1) & \xrightarrow[\sim]{\partial_{MV}} & H_0(A \cap B) & \longrightarrow & H_0(A) \oplus H_0(B) \\ & & \downarrow r_* & & \downarrow r_* & & \downarrow r_* \oplus r_* \\ 0 & \longrightarrow & H_1(S^1) & \xrightarrow[\sim]{\partial_{MV}} & H_0(A \cap B) & \longrightarrow & H_0(A) \oplus H_0(B) \end{array}$$

Now the middle vertical map sends  $p \mapsto q$  and  $q \mapsto p$ . Since  $H_1(S^1)$  is given by the kernel of  $H_0(A \cap B) \rightarrow H_0(A) \oplus H_0(B)$ , and is generated by  $p - q$ , we see that this sends the generator to its negation. So this is given by multiplication by  $-1$ . So the degree is  $-1$ .

**Corollary.** The antipodal map  $a : S^n \rightarrow S^n$  given by

$$a(x_1, \dots, x_{n+1}) = (-x_1, \dots, -x_{n+1})$$

has degree  $(-1)^{n+1}$  because it is a composition of  $(n+1)$  reflections.

**Corollary** (Hairy ball theorem).  $S^n$  has a nowhere 0 vector field iff  $n$  is odd. More precisely, viewing  $S^n \subseteq \mathbb{R}^{n+1}$ , a vector field on  $S^n$  is a map  $v : S^n \rightarrow \mathbb{R}^{n+1}$  such that  $\langle v(x), x \rangle = 0$ , i.e.  $v(x)$  is perpendicular to  $x$ .

*Proof.* If  $n$  is odd, say  $n = 2k - 1$ , then

$$v(x_1, y_1, x_2, y_2, \dots, x_k, y_k) = (y_1, -x_1, y_2, -x_2, \dots, y_k, -x_k)$$

works.

Conversely, if  $v : S^n \rightarrow \mathbb{R}^{n+1} \setminus \{0\}$  is a vector field, we let  $w = \frac{v}{|v|} : S^n \rightarrow S^n$ . We can construct a homotopy from  $w$  to the antipodal map by “linear interpolation”, but in a way so that it stays on the sphere. We let

$$\begin{aligned} H : [0, \pi] \times S^n &\rightarrow S^n \\ (t, x) &\mapsto \cos(t)x + \sin(t)w(x) \end{aligned}$$

Since  $w(x)$  and  $x$  are perpendicular, it follows that this always has norm 1, so indeed it stays on the sphere.

Now we have

$$H(0, x) = x, \quad H(\pi, x) = -x.$$

So this gives a homotopy from  $\text{id}$  to  $a$ , which is a contradiction since they have different degrees.  $\square$



This has determinant 2, so it is injective and has trivial kernel. So we must have  $H_2(K) = 0$ . We also have

$$\frac{H_1(A) \oplus H_1(B)}{\text{im}(i_A, i_B)} = \frac{\langle a, b \rangle}{\langle -a + b, a + b \rangle} = \frac{\langle a \rangle}{\langle 2a \rangle} \cong \mathbb{Z}/2\mathbb{Z}.$$

Now the second  $(i_A, i_B)$  is given by

$$\begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix},$$

whose kernel is isomorphic to  $\mathbb{Z}$ . So we have

$$0 \longrightarrow \mathbb{Z}/2\mathbb{Z} \longrightarrow H_1(K) \longrightarrow \mathbb{Z} \longrightarrow 0.$$

So we have  $H_1(K) \cong \mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$ .

Finally, we look at some applications of relative homology.

**Lemma.** Let  $M$  be a  $d$ -dimensional manifold (i.e. a Hausdorff, second-countable space locally homeomorphic to  $\mathbb{R}^d$ ). Then

$$H_n(M, M \setminus \{x\}) \cong \begin{cases} \mathbb{Z} & n = d \\ 0 & \text{otherwise} \end{cases}.$$

This is known as the *local homology*.

This gives us a homological way to define the dimension of a space.

*Proof.* Let  $U$  be an open neighbourhood isomorphic to  $\mathbb{R}^d$  that maps  $x \mapsto 0$ . We let  $Z = M \setminus U$ . Then

$$\bar{Z} = Z \subseteq M \setminus \{x\} = (M \setminus \{x\})^\circ.$$

So we can apply excision, and get

$$H_n(U, U \setminus \{x\}) = H_n(M \setminus Z, (M \setminus \{x\}) \setminus Z) \cong H_n(M, M \setminus \{x\}).$$

So it suffices to do this in the case  $M \cong \mathbb{R}^d$  and  $x = 0$ . The long exact sequence for relative homology gives

$$H_n(\mathbb{R}^d) \longrightarrow H_n(\mathbb{R}^d, \mathbb{R}^d \setminus \{0\}) \longrightarrow H_{n-1}(\mathbb{R}^d \setminus \{0\}) \longrightarrow H_{n-1}(\mathbb{R}^d).$$

Since  $H_n(\mathbb{R}^d) = H_{n-1}(\mathbb{R}^d) = 0$  for  $n \geq 2$  large enough, it follows that

$$H_n(\mathbb{R}^d, \mathbb{R}^d \setminus \{0\}) \cong H_{n-1}(\mathbb{R}^d \setminus \{0\}) \cong H_{n-1}(S^{d-1}),$$

and the result follows from our previous computation of the homology of  $S^{d-1}$ . We will have to check the lower-degree terms manually, but we shall not.  $\square$

These relative homology groups are exactly the homology group of spheres. So we might be able to define a degree-like object for maps between manifolds. However, there is the subtlety that the identification with  $\mathbb{Z}$  is not necessarily canonical, and this involves the problem of orientation. For now, we will just work with  $S^n$ .

**Definition** (Local degree). Let  $f : S^d \rightarrow S^d$  be a map, and  $x \in S^d$ . Then  $f$  induces a map

$$f_* : H_d(S^d, S^d \setminus \{x\}) \rightarrow H_d(S^d, S^d \setminus \{f(x)\}).$$

We identify  $H_d(S^d, S^d \setminus \{x\}) \cong H_d(S^d) \cong \mathbb{Z}$  for any  $x$  via the inclusion  $H_d(S^d) \rightarrow H_d(S^d, S^d \setminus \{x\})$ , which is an isomorphism by the long exact sequence. Then  $f_*$  is given by multiplication by a constant  $\deg(f)_x$ , called the *local degree* of  $f$  at  $x$ .

What makes the local degree useful is that we can in fact compute the degree of a map via local degrees.

**Theorem.** Let  $f : S^d \rightarrow S^d$  be a map. Suppose there is a  $y \in S^d$  such that

$$f^{-1}(y) = \{x_1, \dots, x_k\}$$

is finite. Then

$$\deg(f) = \sum_{i=1}^k \deg(f)_{x_i}.$$

*Proof.* Note that by excision, instead of computing the local degree at  $x_i$  via  $H_d(S^d, S^d \setminus \{x_i\})$ , we can pick a neighbourhood  $U_i$  of  $x_i$  and a neighbourhood  $V$  of  $x_i$  such that  $f(U_i) \subseteq V$ , and then look at the map

$$f_* : H_d(U_i, U_i \setminus \{x_i\}) \rightarrow H_d(V, V \setminus \{y\})$$

instead. Moreover, since  $S^d$  is Hausdorff, we can pick the  $U_i$  such that they are disjoint. Consider the huge commutative diagram:

$$\begin{array}{ccc} H_d(S^d) & \xrightarrow{f_*} & H_d(S^d) \\ \downarrow & & \downarrow \sim \\ H_d(S^d, S^d \setminus \{x_1, \dots, x_k\}) & \xrightarrow{f_*} & H_d(S^d, S^d \setminus \{y\}) \\ \uparrow \text{excision} & & \uparrow \sim \\ H_d(\coprod U_i, \coprod (U_i \setminus x_i)) & & \\ \uparrow \sim & & \\ \bigoplus_{i=1}^k H_d(U_i, U_i \setminus x_i) & \xrightarrow{\bigoplus f_*} & H_d(V, V \setminus \{y\}) \end{array}$$

Consider the generator 1 of  $H_d(S^d)$ . By definition, its image in  $H_d(S^d)$  is  $\deg(f)$ . Also, its image in  $\bigoplus H_d(U_i, U_i \setminus \{x_i\})$  is  $(1, \dots, 1)$ . The bottom horizontal map sends this to  $\sum \deg(f)_{x_i}$ . So by the isomorphisms, it follows that

$$\deg(f) = \sum_{i=1}^k \deg(f)_{x_i}. \quad \square$$

### 3.6 Repaying the technical debt

Finally, we prove all those theorems we stated without proof.





using the fact that  $x$  is a cycle. So  $d_n(y) \in \ker q_{n-1} = \text{im } i_{n-1}$ . Moreover, by exactness again,  $i_{n-1}$  is injective. So there is a unique  $z \in A_{n-1}$  such that  $i_{n-1}(z) = d_n(y)$ . We have now produced our  $z$ .

We are not done. We have  $\partial_*[x] = [z]$  as our candidate definition, but we need to check many things:

- (i) We need to make sure  $\partial_*$  is indeed a homomorphism.
- (ii) We need  $d_{n-1}(z) = 0$  so that  $[z] \in H_{n-1}(A)$ ;
- (iii) We need to check  $[z]$  is well-defined, i.e. it does not depend on our choice of  $y$  and  $x$  for the homology class  $[x]$ .
- (iv) We need to check the exactness of the resulting sequence.

We now check them one by one:

- (i) Since everything involved in defining  $\partial_*$  are homomorphisms, it follows that  $\partial_*$  is also a homomorphism.
- (ii) We check  $d_{n-1}(z) = 0$ . To do so, we need to add an additional layer.

$$\begin{array}{ccccccccc}
 0 & \longrightarrow & A_n & \xrightarrow{i_n} & B_n & \xrightarrow{q_n} & C_n & \longrightarrow & 0 \\
 & & \downarrow d_n & & \downarrow d_n & & \downarrow d_n & & \\
 0 & \longrightarrow & A_{n-1} & \xrightarrow{i_{n-1}} & B_{n-1} & \xrightarrow{q_{n-1}} & C_{n-1} & \longrightarrow & 0 \\
 & & \downarrow d_{n-1} & & \downarrow d_{n-1} & & \downarrow d_{n-1} & & \\
 0 & \longrightarrow & A_{n-2} & \xrightarrow{i_{n-2}} & B_{n-2} & \xrightarrow{q_{n-2}} & C_{n-2} & \longrightarrow & 0
 \end{array}$$

We want to check that  $d_{n-1}(z) = 0$ . We will use the commutativity of the diagram. In particular, we know

$$i_{n-2} \circ d_{n-1}(z) = d_{n-1} \circ i_{n-1}(z) = d_{n-1} \circ d_n(y) = 0.$$

By exactness at  $A_{n-2}$ , we know  $i_{n-2}$  is injective. So we must have  $d_{n-1}(z) = 0$ .

- (iii) (a) First, in the proof, suppose we picked a different  $y'$  such that  $q_n(y') = q_n(y) = x$ . Then  $q_n(y' - y) = 0$ . So  $y' - y \in \ker q_n = \text{im } i_n$ . Let  $a \in A_n$  be such that  $i_n(a) = y' - y$ . Then

$$\begin{aligned}
 d_n(y') &= d_n(y' - y) + d_n(y) \\
 &= d_n \circ i_n(a) + d_n(y) \\
 &= i_{n-1} \circ d_n(a) + d_n(y).
 \end{aligned}$$

Hence when we pull back  $d_n(y')$  and  $d_n(y)$  to  $A_{n-1}$ , the results differ by the boundary  $d_n(a)$ , and hence produce the same homology class.

- (b) Suppose  $[x'] = [x]$ . We want to show that  $\partial_*[x] = \partial_*[x']$ . This time, we add a layer above.

$$\begin{array}{ccccccccc}
0 & \longrightarrow & A_{n+1} & \xrightarrow{i_{n+1}} & B_{n+1} & \xrightarrow{q_{n+1}} & C_{n+1} & \longrightarrow & 0 \\
& & \downarrow d_{n+1} & & \downarrow d_{n+1} & & \downarrow d_{n+1} & & \\
0 & \longrightarrow & A_n & \xrightarrow{i_n} & B_n & \xrightarrow{q_n} & C_n & \longrightarrow & 0 \\
& & \downarrow d_n & & \downarrow d_n & & \downarrow d_n & & \\
0 & \longrightarrow & A_{n-1} & \xrightarrow{i_{n-1}} & B_{n-1} & \xrightarrow{q_{n-1}} & C_{n-1} & \longrightarrow & 0
\end{array}$$

By definition, since  $[x'] = [x]$ , there is some  $c \in C_{n+1}$  such that

$$x' = x + d_{n+1}(c).$$

By surjectivity of  $q_{n+1}$ , we can write  $c = q_{n+1}(b)$  for some  $b \in B_{n+1}$ . By commutativity of the squares, we know

$$x' = x + q_n \circ d_{n+1}(b).$$

The next step of the proof is to find some  $y$  such that  $q_n(y) = x$ . Then

$$q_n(y + d_{n+1}(b)) = x'.$$

So the corresponding  $y'$  is  $y' = y + d_{n+1}(b)$ . So  $d_n(y) = d_n(y')$ , and hence  $\partial_*[x] = \partial_*[x']$ .

- (iv) This is yet another standard diagram chasing argument. When reading this, it is helpful to look at a diagram and see how the elements are chased along. It is even more beneficial to attempt to prove this yourself.

- (a)  $\text{im } i_* \subseteq \ker q_*$ : This follows from the assumption that  $i_n \circ q_n = 0$ .  
(b)  $\ker q_* \subseteq \text{im } i_*$ : Let  $[b] \in H_n(B)$ . Suppose  $q_*([b]) = 0$ . Then there is some  $c \in C_{n+1}$  such that  $q_n(b) = d_{n+1}(c)$ . By surjectivity of  $q_{n+1}$ , there is some  $b' \in B_{n+1}$  such that  $q_{n+1}(b') = c$ . By commutativity, we know  $q_n(b) = q_n \circ d_{n+1}(b')$ , i.e.

$$q_n(b - d_{n+1}(b')) = 0.$$

By exactness of the sequence, we know there is some  $a \in A_n$  such that

$$i_n(a) = b - d_{n+1}(b').$$

Moreover,

$$i_{n-1} \circ d_n(a) = d_n \circ i_n(a) = d_n(b - d_{n+1}(b')) = 0,$$

using the fact that  $b$  is a cycle. Since  $i_{n-1}$  is injective, it follows that  $d_n(a) = 0$ . So  $[a] \in H_n(A)$ . Then

$$i_*([a]) = [b] - [d_{n+1}(b')] = [b].$$

So  $[b] \in \text{im } i_*$ .

- (c)  $\text{im } q_* \subseteq \ker \partial_*$ : Let  $[b] \in H_n(B)$ . To compute  $\partial_*(q_*([b]))$ , we first pull back  $q_n(b)$  to  $b \in B_n$ . Then we compute  $d_n(b)$  and then pull it back to  $A_{n+1}$ . However, we know  $d_n(b) = 0$  since  $b$  is a cycle. So  $\partial_*(q_*([b])) = 0$ , i.e.  $\partial_* \circ q_* = 0$ .
- (d)  $\ker \partial_* \subseteq \text{im } q_*$ : Let  $[c] \in H_n(C)$  and suppose  $\partial_*([c]) = 0$ . Let  $b \in B_n$  be such that  $q_n(b) = c$ , and  $a \in A_{n-1}$  such that  $i_{n-1}(a) = d_n(b)$ . By assumption,  $\partial_*([c]) = [a] = 0$ . So we know  $a$  is a boundary, say  $a = d_n(a')$  for some  $a' \in A_n$ . Then by commutativity we know  $d_n(b) = d_n \circ i_n(a')$ . In other words,

$$d_n(b - i_n(a')) = 0.$$

So  $[b - i_n(a')] \in H_n(B)$ . Moreover,

$$q_*([b - i_n(a')]) = [q_n(b) - q_n \circ i_n(a')] = [c].$$

So  $[c] \in \text{im } q_*$ .

- (e)  $\text{im } \partial_* \subseteq \ker i_*$ : Let  $[c] \in H_n(C)$ . Let  $b \in B_n$  be such that  $q_n(b) = c$ , and  $a \in A_{n-1}$  be such that  $i_n(a) = d_n(b)$ . Then  $\partial_*([c]) = [a]$ . Then

$$i_*([a]) = [i_n(a)] = [d_n(b)] = 0.$$

So  $i_* \circ \partial_* = 0$ .

- (f)  $\ker i_* \subseteq \text{im } \partial_*$ : Let  $[a] \in H_n(A)$  and suppose  $i_*([a]) = 0$ . So we can find some  $b \in B_{n+1}$  such that  $i_n(a) = d_{n+1}(b)$ . Let  $c = q_{n+1}(b)$ . Then

$$d_{n+1}(c) = d_{n+1} \circ q_{n+1}(b) = q_n \circ d_{n+1}(b) = q_n \circ i_n(a) = 0.$$

So  $[c] \in H_n(C)$ . Then  $[a] = \partial_*([c])$  by definition of  $\partial_*$ . So  $[a] \in \text{im } \partial_*$ .  $\square$

Another piece of useful algebra is known as the 5-lemma:

**Lemma** (Five lemma). Consider the following commutative diagram:

$$\begin{array}{ccccccccc} A & \xrightarrow{f} & B & \xrightarrow{g} & C & \xrightarrow{h} & D & \xrightarrow{j} & E \\ \downarrow \ell & & \downarrow m & & \downarrow n & & \downarrow p & & \downarrow q \\ A' & \xrightarrow{r} & B' & \xrightarrow{s} & C' & \xrightarrow{t} & D' & \xrightarrow{u} & E' \end{array}$$

If the two rows are exact,  $m$  and  $p$  are isomorphisms,  $q$  is injective and  $\ell$  is surjective, then  $n$  is also an isomorphism.

*Proof.* The philosophy is exactly the same as last time.

We first show that  $n$  is surjective. Let  $c' \in C'$ . Then we obtain  $d' = t(c') \in D'$ . Since  $p$  is an isomorphism, we can find  $d \in D$  such that  $p(d) = d'$ . Then we have

$$q(j(d)) = u(p(d)) = u(f(c')) = 0.$$

Since  $q$  is injective, we know  $j(d) = 0$ . Since the sequence is exact, there is some  $c \in C$  such that  $h(c) = d$ .

We are not yet done. We do not know that  $n(c) = c'$ . All we know is that  $d(n(c)) = d(c')$ . So  $d(c' - n(c)) = 0$ . By exactness at  $C'$ , we can find some  $b'$

such that  $s(b') = n(c) - c'$ . Since  $m$  was surjective, we can find  $b \in B$  such that  $m(b) = b'$ . Then we have

$$n(g(b)) = n(c) - c'.$$

So we have

$$n(c - g(b)) = c'.$$

So  $n$  is surjective.

Showing that  $n$  is injective is similar. □

**Corollary.** Let  $f : (X, A) \rightarrow (Y, B)$  be a map of pairs, and that any two of  $f_* : H_*(X, A) \rightarrow H_*(Y, B)$ ,  $H_*(X) \rightarrow H_*(Y)$  and  $H_*(A) \rightarrow H_*(B)$  are isomorphisms. Then the third is also an isomorphism.

*Proof.* Follows from the long exact sequence and the five lemma. □

That wasn't too bad, as it is just pure algebra.

**Proof of homotopy invariance**

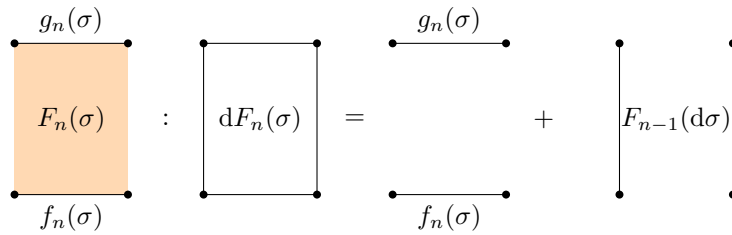
The next goal is want to show that homotopy of continuous maps does not affect the induced map on the homology groups. We will do this by showing that homotopies of maps induce homotopies of chain complexes, and chain homotopic maps induce the same map on homology groups. To make sense of this, we need to know what it means to be a homotopy of chain complexes.

**Definition** (Chain homotopy). A *chain homotopy* between chain maps  $f, g : C. \rightarrow D.$  is a collection of homomorphisms  $F_n : C_n \rightarrow D_{n+1}$  such that

$$g_n - f_n = d_{n+1}^D \circ F_n + F_{n-1} \circ d_n^C : C_n \rightarrow D_n$$

for all  $n$ .

The idea of the chain homotopy is that  $F_n(\sigma)$  gives us an  $n + 1$  simplex whose boundary is  $g_n - f_n$ , plus some terms arising from the boundary of  $c$  itself:



We will not attempt to justify the signs appearing in the definition; they are what are needed for it to work.

The relevance of this definition is the following result:

**Lemma.** If  $f.$  and  $g.$  are chain homotopic, then  $f_* = g_* : H_*(C.) \rightarrow H_*(D.)$ .

*Proof.* Let  $[c] \in H_n(C.)$ . Then we have

$$g_n(c) - f_n(c) = d_{n+1}^D F_n(c) + F_{n-1}(d_n^C(c)) = d_{n+1}^D F_n(c),$$

where the second term dies because  $c$  is a cycle. So we have  $[g_n(c)] = [f_n(c)]$ . □

That was the easy part. What we need to do now is to show that homotopy of maps between spaces gives a chain homotopy between the corresponding chain maps.

We will change notation a bit.

**Notation.** From now on, we will just write  $d$  for  $d_n^C$ .

For  $f : X \rightarrow Y$ , we will write  $f_{\#} : C_n(X) \rightarrow C_n(Y)$  for the map  $\sigma \mapsto f \circ \sigma$ , i.e. what we used to call  $f_n$ .

Now if  $H : [0, 1] \times X \rightarrow Y$  is a homotopy from  $f$  to  $g$ , and  $\sigma : \Delta^n \rightarrow X$  is an  $n$ -chain, then we get a homotopy

$$[0, 1] \times \Delta^n \xrightarrow{[0,1] \times \sigma} [0, 1] \times X \xrightarrow{H} Y$$

from  $f_{\#}(\sigma)$  to  $g_{\#}(\sigma)$ . Note that we write  $[0, 1]$  for the identity map  $[0, 1] \rightarrow [0, 1]$ .

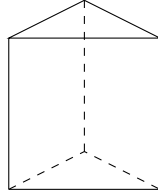
The idea is that we are going to cut up  $[0, 1] \times \Delta^n$  into  $n + 1$ -simplices. Suppose we can find a collection of chains  $P_n \in C_{n+1}([0, 1] \times \Delta^n)$  for  $n \geq 0$  such that

$$d(P_n) = i_1 - i_0 - \sum_{j=0}^n (-1)^j ([0, 1] \times \delta_j)_{\#}(P_{n-1}),$$

where

$$\begin{aligned} i_0 : \delta^n &= \{0\} \times \Delta^n \hookrightarrow [0, 1] \times \Delta^n \\ i_1 : \delta^n &= \{1\} \times \Delta^n \hookrightarrow [0, 1] \times \Delta^n \end{aligned}$$

and  $\delta_j : \Delta^{n-1} \rightarrow \Delta^n$  is the inclusion of the  $j$ th face. These are “prisms” connecting the top and bottom face. Intuitively, the prism  $P_2$  looks like this:



and the formula tells us its boundary is the top and bottom triangles, plus the side faces given by the prisms of the edges.

Suppose we managed to find such prisms. We can then define

$$F_n : C_n(X) \rightarrow C_{n+1}(Y)$$

by sending

$$(\sigma : \Delta^n \rightarrow X) \mapsto (H \circ ([0, 1] \times \sigma))_{\#}(P_n).$$

We now calculate.

$$\begin{aligned}
dF_n(\sigma) &= d((H \circ (1 \times \Delta^n))_{\#}(P_n)) \\
&= (H \circ ([0, 1] \times \sigma))_{\#}(d(P_n)) \\
&= (H \circ ([0, 1] \times \sigma))_{\#} \left( i_1 - i_0 - \sum_{j=0}^n (-1)^j ([0, 1] \times \delta_j)_{\#}(P_{n-1}) \right) \\
&= H \circ ([0, 1] \times \sigma) \circ i_1 - H \circ ([0, 1] \times \sigma) \circ i_0 \\
&\quad - \sum_{j=0}^n (-1)^j H_{\#} \circ (([0, 1] \times \sigma) \circ \delta_j)_{\#}(P_{n-1}) \\
&= g \circ \sigma - f \circ \sigma - \sum_{j=0}^n (-1)^j H_{\#} \circ (([0, 1] \times \sigma) \circ \delta_j)_{\#}(P_{n-1}) \\
&= g \circ \sigma - f \circ \sigma - F_{n-1}(d\sigma) \\
&= g_{\#}(\sigma) - f_{\#}(\sigma) - F_{n-1}(d\sigma).
\end{aligned}$$

So we just have to show that  $P_n$  exists. We already had a picture of what it looks like, so we just need to find a formula that represents it. We view  $[0, 1] \times \Delta^n \in \mathbb{R} \times \mathbb{R}^{n+1}$ . Write  $\{v_0, v_1, \dots, v_n\}$  for the vertices of  $\{0\} \times \Delta^n \subseteq [1, 0] \times \Delta^n$ , and  $\{w_0, \dots, w_n\}$  for the corresponding vertices of  $\{1\} \times \Delta^n$ .

Now if  $\{x_0, x_1, \dots, x_{n+1}\} \subseteq \{v_0, \dots, v_n\} \cup \{w_0, \dots, w_n\}$ , we let

$$[x_0, \dots, x_n] : \Delta^n \rightarrow [0, 1] \times \Delta^n$$

by

$$(t_0, \dots, t_{n+1}) = \sum t_i x_i.$$

This is still in the space by convexity. We let

$$P_n = \sum_{i=0}^n (-1)^i [v_0, v_1, \dots, v_i, w_i, w_{i+1}, \dots, w_n] \in C_{n+1}([0, 1] \times \Delta^n).$$

It is a boring check that this actually works, and we shall not bore the reader with the details.

### Proof of excision and Mayer-Vietoris

Finally, we prove excision and Mayer-Vietoris together. It turns out both follow easily from what we call the “small simplices theorem”.

**Definition** ( $C_n^{\mathcal{U}}(X)$  and  $H_n^{\mathcal{U}}(X)$ ). We let  $\mathcal{U} = \{U_{\alpha}\}_{\alpha \in I}$  be a collection of subspaces of  $X$  such that their interiors cover  $X$ , i.e.

$$\bigcup_{\alpha \in I} \overset{\circ}{U}_{\alpha} = X.$$

Let  $C_n^{\mathcal{U}}(X) \subseteq C_n(X)$  be the subgroup generated by those singular  $n$ -simplices  $\sigma : \Delta^n \rightarrow X$  such that  $\sigma(\Delta^n) \subseteq U_{\alpha}$  for some  $\alpha$ . It is clear that if  $\sigma$  lies in  $U_{\alpha}$ , then so do its faces. So  $C_n^{\mathcal{U}}(X)$  is a sub-chain complex of  $C_{\bullet}(X)$ .

We write  $H_n^{\mathcal{U}}(X) = H_n(C_{\bullet}^{\mathcal{U}}(X))$ .

It would be annoying if each choice of open cover gives a different homology theory, because this would be too many homology theories to think about. The *small simplices theorem* says that the natural map  $H_*^{\mathcal{U}}(X) \rightarrow H_*(X)$  is an isomorphism.

**Theorem** (Small simplices theorem). The natural map  $H_*^{\mathcal{U}}(X) \rightarrow H_*(X)$  is an isomorphism.

The idea is that we can cut up each simplex into smaller parts by barycentric subdivision, and if we do it enough, it will eventually lie in one of the open covers. We then go on to prove that cutting it up does not change homology.

Proving it is not hard, but technically annoying. So we first use this to deduce our theorems.

*Proof of Mayer-Vietoris.* Let  $X = A \cup B$ , with  $A, B$  open in  $X$ . We let  $\mathcal{U} = \{A, B\}$ , and write  $C_*(A + B) = C_*^{\mathcal{U}}(X)$ . Then we have a natural chain map

$$C_*(A) \oplus C_*(B) \xrightarrow{j_A - j_B} C_*(A + B)$$

that is surjective. The kernel consists of  $(x, y)$  such that  $j_A(x) - j_B(y) = 0$ , i.e.  $j_A(x) = j_B(y)$ . But  $j$  doesn't really do anything. It just forgets that the simplices lie in  $A$  or  $B$ . So this means  $y = x$  is a chain in  $A \cap B$ . We thus deduce that we have a short exact sequence of chain complexes

$$C_*(A \cap B) \xrightarrow{(i_A, i_B)} C_*(A) \oplus C_*(B) \xrightarrow{j_A - j_B} C_*(A + B).$$

Then the snake lemma shows that we obtain a long exact sequence of homology groups. So we get a long exact sequence of homology groups

$$\cdots \longrightarrow H_n(A \cap B) \xrightarrow{(i_A, i_B)} H_n(A) \oplus H_n(B) \xrightarrow{j_A - j_B} H_n^{\mathcal{U}}(X) \longrightarrow \cdots$$

By the small simplices theorem, we can replace  $H_n^{\mathcal{U}}(X)$  with  $H_n(X)$ . So we obtain Mayer-Vietoris.  $\square$

Now what does the boundary map  $\partial : H_n(X) \rightarrow H_{n-1}(A \cap B)$  do? Suppose we have  $c \in H_n(X)$  represented by a cycle  $a + b \in C_n^{\mathcal{U}}(X)$ , with  $a$  supported in  $A$  and  $b$  supported in  $B$ . By the small simplices theorem, such a representative always exists. Then the proof of the snake lemma says that  $\partial([a + b])$  is given by tracing through

$$\begin{array}{ccc} C_n(A) \oplus C_n(B) & \xrightarrow{j_A - j_B} & C_n(A + B) \\ \downarrow d & & \\ C_{n-1}(A \cap B) & \xrightarrow{(i_A, i_B)} & C_{n-1}(A) \oplus C_{n-1}(B) \end{array}$$

We now pull back  $a + b$  along  $j_A - j_B$  to obtain  $(a, -b)$ , then apply  $d$  to obtain  $(da, -db)$ . Then the required object is  $[da] = [-db]$ .

We now move on to prove excision.



*Proof of excision.* Let  $X \supseteq A \supseteq Z$  be such that  $\bar{Z} \supseteq \mathring{A}$ . Let  $B = X \setminus Z$ . Then again take

$$\mathcal{U} = \{A, B\}.$$

By assumption, their interiors cover  $X$ . We consider the short exact sequences

$$\begin{array}{ccccccc} 0 & \longrightarrow & C_*(A) & \longrightarrow & C_*(A+B) & \longrightarrow & C_*(A+B)/C_*(A) \longrightarrow 0 \\ & & \parallel & & \downarrow & & \downarrow \\ 0 & \longrightarrow & C_*(A) & \longrightarrow & C_*(X) & \longrightarrow & C_*(X,A) \longrightarrow 0 \end{array}$$

Looking at the induced map between long exact sequences on homology, the middle and left terms induce isomorphisms, so the right term does too by the 5-lemma.

On the other hand, the map

$$C_*(B)/C_*(A \cap B) \longrightarrow C_*(A+B)/C_*(A)$$

is an isomorphism of chain complexes. Since their homologies are  $H_*(B, A \cap B)$  and  $H_*(X, A)$ , we infer they the two are isomorphic. Recalling that  $B = X \setminus \bar{Z}$ , we have shown that

$$H_*(X \setminus Z, A \setminus Z) \cong H_*(X, A). \quad \square$$

We now provide a sketch proof of the small simplices theorem. As mentioned, the idea is to cut our simplices up, and one method to do so is barycentric subdivision.

Given a 0-simplex  $\{v_0\}$ , its *barycentric subdivision* is itself.

If  $x = \{x_0, \dots, x_n\} \subseteq \mathbb{R}^n$  spans an  $n$ -simplex  $\sigma$ , we let

$$b_x = \frac{1}{n+1} \sum_{i=0}^n x_i$$

be its *barycenter*.

If we have a 1-simplex

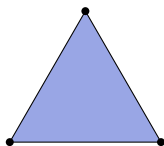


Then the barycentric subdivision is obtained as

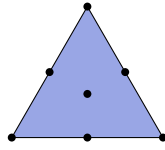


We can degenerately describe this as first barycentrically subdividing the boundary (which does nothing in this case), and then add the barycenter.

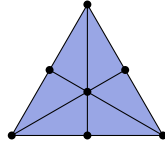
In the case of a 2-simplex:



we first barycentrically subdivide the boundary:



Then add the barycenter  $b_x$ , and for each standard simplex in the boundary, we “cone it off” towards  $b_x$ :



More formally, in the standard  $n$ -simplex  $\Delta^n \subseteq \mathbb{R}^{n+1}$ , we let  $B_n$  be its barycenter. For each singular  $i$ -simplex  $\sigma : \Delta^i \rightarrow \Delta^n$ , we define

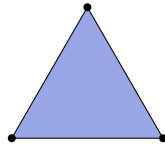
$$\text{Cone}_i^{\Delta^n}(\sigma) : \Delta^{i+1} \rightarrow \Delta^n$$

by

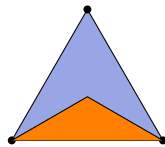
$$(t_0, t_1, \dots, t_{i+1}) \mapsto t_0 b_n + (1 - t_0) \cdot \sigma \left( \frac{(t_1, \dots, t_{i+1})}{1 - t_0} \right).$$

We can then extend linearly to get a map  $\text{Cone}_i^{\Delta^n} : C_i(\Delta^n) \rightarrow C_{i+1}(\Delta^n)$ .

**Example.** In the 2-simplex



the cone of the bottom edge is the simplex in orange:



Since this increases the dimension, we might think this is a chain map. Then for  $i > 0$ , we have

$$\begin{aligned} d\text{Cone}_i^{\Delta^n}(\sigma) &= \sum_{j=0}^{i+1} \text{Cone}_i^{\Delta^n}(\sigma) \circ \delta_j \\ &= \sigma + \sum_{j=1}^{i+1} (-1)^j \text{Cone}_{i-1}^{\Delta^n}(\sigma \circ \delta_{j-1}) \\ &= \sigma - \text{Cone}_{i-1}^{\Delta^n}(d\sigma). \end{aligned}$$

For  $i = 0$ , we get

$$d\text{Cone}_i^{\Delta^n}(\sigma) = \sigma - \varepsilon(\sigma) \cdot b_n,$$

In total, we have

$$d\text{Cone}_i^{\Delta^n} + \text{Cone}_{i-1}^{\Delta^n}d = \text{id} - c.,$$

where  $c_i = 0$  for  $i > 0$ , and  $c_0(\sigma) = \varepsilon(\sigma)b_n$  is a map  $C.(\Delta^n) \rightarrow C.(\Delta^n)$ .

We now use this cone map to construct a barycentric subdivision map  $\rho_n^X : C_n(X) \rightarrow C_n(X)$ , and insist that it is natural that if  $f : X \rightarrow Y$  is a map, then  $f_{\#} \circ \rho_n^X = \rho_n^Y \circ f_{\#}$ , i.e. the diagram

$$\begin{array}{ccc} C_n(X) & \xrightarrow{\rho_n^X} & C_n(X) \\ \downarrow f_{\#} & & \downarrow f_{\#} \\ C_n(Y) & \xrightarrow{\rho_n^Y} & C_n(Y) \end{array} .$$

So if  $\sigma : \Delta^n \rightarrow X$ , we let  $\iota_n : \Delta^n \rightarrow \Delta^n \in C_n(\Delta^n)$  be the identity map. Then we must have

$$\rho_n^X(\sigma) = \rho_n^X(\sigma_{\#}\iota_n) = \sigma_{\#}\rho_n^{\Delta^n}(\iota_n).$$

So if know how to do barycentric subdivision for  $\iota_n$  itself, then by naturality, we have defined it for all spaces! Naturality makes life easier for us, not harder!

So we define  $\rho_n^X$  recursively on  $n$ , for all spaces  $X$  at once, by

(i)  $\rho_0^X = \text{id}_{C_0(X)}$

(ii) For  $n > 0$ , we define the barycentric subdivision of  $\iota_n$  by

$$\rho_n^{\Delta^n}(\iota_n) = \text{Cone}_{n-1}^{\Delta^n}(\rho_{n-1}^{\Delta^n}(d\iota_n)),$$

and then extend by naturality.

This has all the expected properties:

**Lemma.**  $\rho_n^X$  is a natural chain map.

**Lemma.**  $\rho_n^X$  is chain homotopic to the identity.

*Proof.* No one cares. □

**Lemma.** The diameter of each subdivided simplex in  $(\rho_n^{\Delta^n})^k(\iota_n)$  is bounded by  $\left(\frac{n}{n+1}\right)^k \text{diam}(\Delta^n)$ .

*Proof.* Basic geometry. □

**Proposition.** If  $c \in C_n^{\mathcal{U}}(X)$ , then  $p^X(c) \in C_n^{\mathcal{U}}(X)$ .

Moreover, if  $c \in C_n(X)$ , then there is some  $k$  such that  $(\rho_n^X)^k(c) \in C_n^{\mathcal{U}}(X)$ .

*Proof.* The first part is clear. For the second part, note that every chain is a finite sum of simplices. So we only have to check it for single simplices. We let  $\sigma$  be a simplex, and let

$$\mathcal{V} = \{\sigma^{-1}\overset{\circ}{U}_{\alpha}\}$$

be an open cover of  $\Delta^n$ . By the Lebesgue number lemma, there is some  $\varepsilon > 0$  such that any set of diameter  $< \varepsilon$  is contained in some  $\sigma^{-1}\overset{\circ}{U}_{\alpha}$ . So we can choose

$k > 0$  such that  $(\rho_n^{\Delta^n})(\iota_n)$  is a sum of simplices which each has diameter  $< \varepsilon$ . So each lies in some  $\sigma^{-1}U_\alpha$ . So

$$(\rho_n^{\Delta^n})^k(\iota_n) = C_n^{\mathcal{V}}(\Delta^n).$$

So applying  $\sigma$  tells us

$$(\rho_n^{\Delta^n})^k(\sigma) \in C_n^{\mathcal{U}}(X). \quad \square$$

Finally, we get to the theorem.

**Theorem** (Small simplices theorem). The natural map  $U : H_*^{\mathcal{U}}(X) \rightarrow H_*(X)$  is an isomorphism.

*Proof.* Let  $[c] \in H_n(X)$ . By the proposition, there is some  $k > 0$  such that  $(\rho_n^X)^k(c) \in C_n^{\mathcal{U}}(x)$ . We know that  $\rho_n^X$  is chain homotopic to the identity. Thus so is  $(\rho_n^X)^k$ . So  $[(\rho_n^X)^k(c)] = [c]$ . So the map  $H_n^{\mathcal{U}}(X) \rightarrow H_n(X)$  is surjective.

To show that it is injective, we suppose  $U([c]) = 0$ . Then we can find some  $z \in H_{n+1}(X)$  such that  $dz = c$ . We can then similarly subdivide  $z$  enough such that it lies in  $C_{n+1}^{\mathcal{U}}(X)$ . So this shows that  $[c] = 0 \in H_n^{\mathcal{U}}(X)$ .  $\square$

That's it. We move on to (slightly) more interesting stuff. The next few sections will all be slightly short, as we touch on various different ideas.

## 4 Reduced homology

**Definition** (Reduced homology). Let  $X$  be a space, and  $x_0 \in X$  a basepoint. We define the *reduced homology* to be  $\tilde{H}_*(X) = H_*(X, \{x_0\})$ .

Note that by the long exact sequence of relative homology, we know that  $\tilde{H}_n(X) \cong H_n(X)$  for  $n \geq 1$ . So what is the point of defining a new homology theory that only differs when  $n = 0$ , which we often don't care about?

It turns out there is an isomorphism between  $H_*(X, A)$  and  $\tilde{H}_*(X/A)$  for suitably "good" pairs  $(X, A)$ .

**Definition** (Good pair). We say a pair  $(X, A)$  is *good* if there is an open set  $U$  containing  $\bar{A}$  such that the inclusion  $A \hookrightarrow U$  is a deformation retract, i.e. there exists a homotopy  $H : [0, 1] \times U \rightarrow U$  such that

$$\begin{aligned} H(0, x) &= x \\ H(1, x) &\in A \\ H(t, a) &= a \text{ for all } a \in A, t \in [0, 1]. \end{aligned}$$

**Theorem.** If  $(X, A)$  is good, then the natural map

$$H_*(X, A) \longrightarrow H_*(X/A, A/A) = \tilde{H}_*(X/A)$$

is an isomorphism.

*Proof.* As  $i : A \hookrightarrow U$  is in particular a homotopy equivalence, the map

$$H_*(A) \longrightarrow H_*(U)$$

is an isomorphism. So by the five lemma, the map on relative homology

$$H_*(X, A) \longrightarrow H_*(X, U)$$

is an isomorphism as well.

As  $i : A \hookrightarrow U$  is a deformation retraction with homotopy  $H$ , the inclusion

$$\{*\} = A/A \hookrightarrow U/A$$

is also a deformation retraction. So again by the five lemma, the map

$$H_*(X/A, A/A) \longrightarrow H_*(X/A, U/A)$$

is also an isomorphism. Now we have

$$\begin{array}{ccccc} H_n(X, A) & \xrightarrow{\sim} & H_n(X, U) & \xrightarrow{\text{excise } A} & H_n(X \setminus A, U \setminus A) \\ \downarrow & & \downarrow & & \downarrow \\ H_n(X/A, A/A) & \xrightarrow{\sim} & H_n(X/A, U/A) & \xrightarrow{\text{excise } A/A} & H_n\left(\frac{X}{A} \setminus \frac{A}{A}, \frac{U}{A} \setminus \frac{A}{A}\right) \end{array}$$

We now notice that  $X \setminus A = \frac{X}{A} \setminus \frac{A}{A}$  and  $U \setminus A = \frac{U}{A} \setminus \frac{A}{A}$ . So the right-hand vertical map is actually an isomorphism. So the result follows.  $\square$

## 5 Cell complexes

So far, everything we've said is true for *arbitrary* spaces. This includes, for example, the topological space with three points  $a, b, c$ , whose topology is  $\{\emptyset, \{a\}, \{a, b, c\}\}$ . However, these spaces are horrible. We want to restrict our attention to nice spaces. Spaces that do feel like actual, genuine spaces.

The best kinds of space we can imagine would be manifolds, but that is a bit too strong a condition. For example, the union of the two axes in  $\mathbb{R}^2$  is not a manifold, but it is still a sensible space to talk about. Perhaps we can just impose conditions like Hausdorffness and maybe second countability, but we can still produce nasty spaces that satisfy these properties.

So the idea is to provide a method to build spaces, and then say we only consider spaces built this way. These are known as *cell complexes*, or *CW complexes*

**Definition** (Cell complex). A *cell complex* is any space built out of the following procedure:

- (i) Start with a discrete space  $X^0$ . The set of points in  $X^0$  are called  $I_0$ .
- (ii) If  $X^{n-1}$  has been constructed, then we may choose a family of maps  $\{\varphi_\alpha : S^{n-1} \rightarrow X^{n-1}\}_{\alpha \in I_n}$ , and set

$$X^n = \left( X^{n-1} \amalg \left( \coprod_{\alpha \in I_n} D_\alpha^n \right) \right) / \{x \in \partial D_\alpha^n \sim \varphi_\alpha(x) \in X^{n-1}\}.$$

We call  $X^n$  the *n-skeleton* of  $X$ . We call the image of  $D_\alpha^n \setminus \partial D_\alpha^n$  in  $X^n$  the *open cell*  $e_\alpha$ .

- (iii) Finally, we define

$$X = \bigcup_{n \geq 0} X^n$$

with the *weak topology*, namely that  $A \subseteq X$  is open if  $A \cap X^n$  is open in  $X^n$  for all  $n$ .

We write  $\Phi_\alpha : D_\alpha^n \rightarrow X^n$  for the obvious inclusion map. This is called the *characteristic map* for the cell  $e_\alpha$ .

**Definition** (Finite-dimensional cell complex). If  $X = X^n$  for some  $n$ , we say  $X$  is *finite-dimensional*.

**Definition** (Finite cell complex). If  $X$  is finite-dimensional and  $I_n$  are all finite, then we say  $X$  is *finite*.

**Definition** (Subcomplex). A subcomplex  $A$  of  $X$  is a simplex obtained by using a subset  $I'_n \subseteq I_n$ .

Note that we cannot simply throw away some cells to get a subcomplex, as the higher cells might want to map into the cells you have thrown away, and you need to remove them as well.

We note the following technical result without proof:

**Lemma.** If  $A \subseteq X$  is a subcomplex, then the pair  $(X, A)$  is *good*.

*Proof.* See Hatcher 0.16. □

**Corollary.** If  $A \subseteq X$  is a subcomplex, then

$$H_n(X, A) \xrightarrow{\sim} \tilde{H}_n(X/A)$$

is an isomorphism.

We are next going to show that we can directly compute the cohomology of a cell complex by looking at the cell structures, instead of going through all the previous rather ad-hoc mess we've been through. We start with the following lemma:

**Lemma.** Let  $X$  be a cell complex. Then

(i)

$$H_i(X^n, X^{n-1}) = \begin{cases} 0 & i \neq n \\ \bigoplus_{i \in I_n} \mathbb{Z} & i = n \end{cases}.$$

(ii)  $H_i(X^n) = 0$  for all  $i > n$ .

(iii)  $H_i(X^n) \rightarrow H_i(X)$  is an isomorphism for  $i < n$ .

*Proof.*

(i) As  $(X^n, X^{n-1})$  is good, we have an isomorphism

$$H_i(X^n, X^{n-1}) \xrightarrow{\sim} \tilde{H}_i(X^n/X^{n-1}).$$

But we have

$$X^n/X^{n-1} \cong \bigvee_{\alpha \in I_n} S_\alpha^n,$$

the space obtained from  $Y = \coprod_{\alpha \in I_n} S_\alpha^n$  by collapsing down the subspace  $Z = \{x_\alpha : \alpha \in I_n\}$ , where each  $x_\alpha$  is the south pole of the sphere. To compute the homology of the wedge  $X^n/X^{n-1}$ , we then note that  $(Y, Z)$  is good, and so we have a long exact sequence

$$H_i(Z) \longrightarrow H_i(Y) \longrightarrow \tilde{H}_i(Y/Z) \longrightarrow H_{i-1}(Z) \longrightarrow H_{i-1}(Y).$$

Since  $H_i(Z)$  vanishes for  $i \geq 1$ , the result then follows from the homology of the spheres plus the fact that  $H_i(\coprod X_\alpha) = \bigoplus H_i(X_\alpha)$ .

(ii) This follows by induction on  $n$ . We have (part of) a long exact sequence

$$H_i(X^{n-1}) \longrightarrow H_i(X^n) \longrightarrow H_i(X^n, X^{n-1})$$

We know the first term vanishes by induction, and the third term vanishes for  $i > n$ . So it follows that  $H_i(X^n)$  vanishes.

(iii) To avoid doing too much point-set topology, we suppose  $X$  is finite-dimensional, so  $X = X^m$  for some  $m$ . Then we have a long exact sequence

$$H_{i+1}(X^{n+1}, X^n) \rightarrow H_i(X^n) \rightarrow H_i(X^{n+1}) \rightarrow H_i(X^{n+1}, X^n)$$

Now if  $i < n$ , we know the first and last groups vanish. So we have  $H_i(X^n) \cong H_i(X^{n+1})$ . By continuing, we know that

$$H_i(X^n) \cong H_i(X^{n+1}) \cong H_i(X^{n+2}) \cong \dots \cong H_i(X^m) = H_i(X).$$

To prove this for the general case, we need to use the fact that any map from a compact space to a cell complex hits only finitely many cells, and then the result would follow from this special case.  $\square$

For a cell complex  $X$ , let

$$C_n^{\text{cell}}(X) = H_n(X^n, X^{n-1}) \cong \bigoplus_{\alpha \in I_n} \mathbb{Z}.$$

We define  $d_n^{\text{cell}} : C_n^{\text{cell}}(X) \rightarrow C_{n-1}^{\text{cell}}(X)$  by the composition

$$H_n(X^n, X^{n-1}) \xrightarrow{\partial} H_{n-1}(X^{n-1}) \xrightarrow{q} H_{n-1}(X^{n-1}, X^{n-2}).$$

We consider

$$\begin{array}{ccccccc}
 & & & & & & 0 \\
 & & & & & & \nearrow \\
 & & & & & & H_n(X^{n+1}) \\
 & & & & & & \nearrow \\
 & & & & & & H_n(X^n) \\
 & & & & & & \nearrow \\
 & & & & & & \partial \\
 & & & & & & \nearrow \\
 & & & & & & H_{n+1}(X^{n+1}, X^n) \\
 & & & & & & \xrightarrow{d_{n+1}^{\text{cell}}} \\
 & & & & & & H_n(X^n, X^{n-1}) \\
 & & & & & & \xrightarrow{d_n^{\text{cell}}} \\
 & & & & & & H_{n-1}(X^{n-1}, X^{n-2}) \\
 & & & & & & \nearrow \\
 & & & & & & \partial \\
 & & & & & & \nearrow \\
 & & & & & & H_{n-1}(X^{n-1}) \\
 & & & & & & \nearrow \\
 & & & & & & 0 \\
 & & & & & & \nearrow \\
 & & & & & & H_{n-1}(X^n)
 \end{array}$$

Referring to the above diagram, we see that

$$d_n^{\text{cell}} \circ d_{n+1}^{\text{cell}} = q_{n-1} \circ \partial \circ q_n \circ \partial = 0,$$

since the middle  $\partial \circ q_n$  is part of an exact sequence. So  $(C_n^{\text{cell}}(X), d_n^{\text{cell}})$  is a chain complex, and the corresponding homology groups are known as the *cellular homology* of  $X$ , written  $H_n^{\text{cell}}(X)$ .



**Theorem.**

$$H_n^{\text{cell}}(X) \cong H_n(X).$$

*Proof.* We have

$$\begin{aligned} H_n(X) &\cong H_n(X^{n+1}) \\ &= H_n(X^n) / \text{im}(\partial : H_{n+1}(X^{n+1}, X^n) \rightarrow H_n(X^n)) \end{aligned}$$

Since  $q_n$  is injective, we apply it to and bottom to get

$$= q_n(H_n(X^n)) / \text{im}(d_{n+1}^{\text{cell}} : H_{n+1}(X^{n+1}, X^n) \rightarrow H_n(X^n, X^{n-1}))$$

By exactness, the image of  $q_n$  is the kernel of  $\partial$ . So we have

$$\begin{aligned} &= \ker(\partial : H_n(X^n, X^{n-1}) \rightarrow H_{n-1}(X^{n-1})) / \text{im}(d_{n+1}^{\text{cell}}) \\ &= \ker(d_n^{\text{cell}}) / \text{im}(d_{n+1}^{\text{cell}}) \\ &= H_n^{\text{cell}}(X). \quad \square \end{aligned}$$

**Corollary.** If  $X$  is a finite cell complex, then  $H_n(X)$  is a finitely-generated abelian group for all  $n$ , generated by at most  $|I_n|$  elements. In particular, if there are no  $n$ -cells, then  $H_n(X)$  vanishes.

If  $X$  has a cell-structure with cells in even-dimensional cells only, then  $H_*(X)$  are all free.

We can similarly define cellular cohomology.

**Definition** (Cellular cohomology). We define *cellular cohomology* by

$$C_{\text{cell}}^n(X) = H^n(X^n, X^{n-1})$$

and let  $d_{\text{cell}}^n$  be the composition

$$H^n(X^n, X^{n-1}) \xrightarrow{q^*} H^n(X^n) \xrightarrow{\partial} H^{n+1}(X^{n+1}, X^n).$$

This defines a cochain complex  $C_{\text{cell}}^*(X)$  with cohomology  $H_{\text{cell}}^*(X)$ , and we have

$$H_{\text{cell}}^*(X) \cong H^*(X).$$

One can directly check that

$$C_{\text{cell}}^*(X) \cong \text{Hom}(C_{\text{cell}}^{\text{cell}}(X), \mathbb{Z}).$$

This is all very good, because cellular homology is very simple and concrete. However, to actually use it, we need to understand what the map

$$d_n^{\text{cell}} : C_n^{\text{cell}}(X) = \bigoplus_{\alpha \in I_n} \mathbb{Z}\{e_\alpha\} \rightarrow C_{n-1}^{\text{cell}}(X) = \bigoplus_{\beta \in I_{n-1}} \mathbb{Z}\{e_\beta\}$$

is. In particular, we want to find the coefficients  $d_{\alpha\beta}$  such that

$$d_n^{\text{cell}}(e_\alpha) = \sum d_{\alpha\beta} e_\beta.$$

It turns out this is pretty easy

**Lemma.** The coefficients  $d_{\alpha\beta}$  are given by the degree of the map

$$S_\alpha^{n-1} = \partial D_\alpha^n \xrightarrow{\varphi_\alpha} X^{n-1} \longrightarrow X^{n-1}/X^{n-2} = \bigvee_{\gamma \in I_{n-1}} S_\gamma^{n-1} \longrightarrow S_\beta^{n-1},$$

$\xrightarrow{\quad f_{\alpha\beta} \quad}$

where the final map is obtained by collapsing the other spheres in the wedge.

In the case of cohomology, the maps are given by the transposes of these.

This is easier in practice than it sounds. In practice, the map is given by “the obvious one”.

*Proof.* Consider the diagram

$$\begin{array}{ccccc}
 H_n(D_\alpha^n, \partial D_\alpha^n) & \xrightarrow{\sim} & H_{n-1}(\partial D_\alpha^n) & \dashrightarrow & \tilde{H}_{n-1}(S_\beta^{n-1}) \\
 \downarrow (\Phi_\alpha)_* & & \downarrow (\varphi_\alpha)_* & & \uparrow \text{collapse} \\
 H_n(X^n, X^{n-1}) & \xrightarrow{\partial} & H_{n-1}(X^{n-1}) & & \tilde{H}_{n-1}(\bigvee S_\gamma^{n-1}) \\
 \searrow d_n^{\text{cell}} & & \downarrow q & & \parallel \\
 & & H_{n-1}(X^{n-1}, X^{n-2}) & \xrightarrow{\sim} & \tilde{H}_{n-1}(X^{n-1}/X^{n-2})
 \end{array}$$

$\xrightarrow{\text{excision}}$

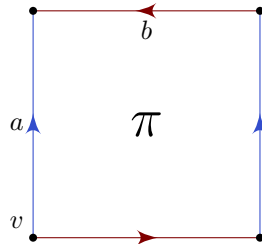
By the long exact sequence, the top left horizontal map is an isomorphism.

Now let’s try to trace through the diagram. We can find

$$\begin{array}{ccc}
 1 & \xrightarrow{\text{isomorphism}} & 1 & \xrightarrow{f_{\alpha\beta}} & d_{\alpha\beta} \\
 \downarrow e_\alpha & & & & \uparrow \\
 & & \sum d_{\alpha\gamma} e_\gamma & \xrightarrow{\quad} & \sum d_{\alpha\gamma} e_\gamma
 \end{array}$$

So the degree of  $f_{\alpha\beta}$  is indeed  $d_{\alpha\beta}$ . □

**Example.** Let  $K$  be the Klein bottle.

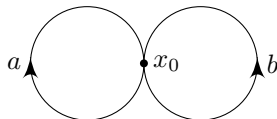


We give it a cell complex structure by

- $K^0 = \{v\}$ . Note that all four vertices in the diagram are identified.

$v$   
•

–  $K^1 = \{a, b\}$ .



–  $K^2$  is the unique 2-cell  $\pi$  we see in the picture, where  $\varphi_\pi : S^1 \rightarrow K^1$  given by  $aba^{-1}b$ .

The cellular chain complex is given by

$$\begin{array}{ccccccc} 0 & \longrightarrow & C_2^{\text{cell}}(K) & \xrightarrow{d_2^{\text{cell}}} & C_1^{\text{cell}}(K) & \xrightarrow{d_1^{\text{cell}}} & C_0^{\text{cell}}(K) \\ & & \parallel & & \parallel & & \parallel \\ & & \mathbb{Z}\pi & & \mathbb{Z}a \oplus \mathbb{Z}b & & \mathbb{Z}_v \end{array}$$

We can now compute the maps  $d_i^{\text{cell}}$ . The  $d_1$  map is easy. We have

$$d_1(a) = d_1(b) = v - v = 0.$$

In the  $d_2$  map, we can figure it out by using local degrees. Locally, the attaching map is just like the identity map, up to an orientation flip, so the local degrees are  $\pm 1$ . Moreover, the inverse image of each point has two elements. If we think hard enough, we realize that for the attaching map of  $a$ , the two elements have opposite degree and cancel each other out; while in the case of  $b$  they have the same sign and give a degree of 2. So we have

$$d_2(\pi) = 0a + 2b.$$

So we have

$$\begin{aligned} H_0(K) &= \mathbb{Z} \\ H_1(K) &= \frac{\mathbb{Z} \oplus \mathbb{Z}}{\langle 2b \rangle} = \mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z} \\ H_2(K) &= 0 \end{aligned}$$

We can similarly compute the cohomology. By dualizing, we have

$$\begin{array}{ccccc} C_{\text{cell}}^2(K)(K) & \xleftarrow{(0 \ 2)} & C_{\text{cell}}^1(K) & \xleftarrow{(0)} & C_{\text{cell}}^0(K) \\ \parallel & & \parallel & & \parallel \\ \mathbb{Z} & & \mathbb{Z} \oplus \mathbb{Z} & & \mathbb{Z} \end{array}$$

So we have

$$\begin{aligned} H_0(K) &= \mathbb{Z} \\ H_1(K) &= \mathbb{Z} \\ H_2(K) &= \mathbb{Z}/2\mathbb{Z}. \end{aligned}$$

Note that the second cohomology is *not* the dual of the second homology!

However, if we forget where each factor is, and just add all the homology groups together, we get  $\mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$ . Also, if we forget all the torsion components  $\mathbb{Z}/2\mathbb{Z}$ , then they are the same!

This is a general phenomenon. For a cell complex, if we know what all the homology groups are, we can find the cohomologies by keeping the  $\mathbb{Z}$ 's unchanged and moving the torsion components up. The general statement will be given by the *universal coefficient theorem*.

**Example.** Consider  $\mathbb{R}\mathbb{P}^n = S^n/(x \sim -x)$ . We notice that for any point in  $\mathbb{R}\mathbb{P}^n$ , if it is not in the equator, then it is represented by a unique element in the northern hemisphere. Otherwise, it is represented by two points. So we have  $\mathbb{R}\mathbb{P}^n \cong D^n/(x \sim -x \text{ for } x \in \partial D^n)$ . This is a nice description, since if we throw out the interior of the disk, then we are left with an  $S^{n-1}$  with antipodal points identified, i.e. an  $\mathbb{R}\mathbb{P}^{n-1}$ ! So we can immediately see that

$$\mathbb{R}\mathbb{P}^n = \mathbb{R}\mathbb{P}^{n-1} \cup_f D^n,$$

for  $f : S^{n-1} \rightarrow \mathbb{R}\mathbb{P}^{n-1}$  given by

$$f(x) = [x].$$

So  $\mathbb{R}\mathbb{P}^n$  has a cell structure with one cell in every degree up to  $n$ . What are the boundary maps?

We write  $e_i$  for the  $i$ -th degree cell. We know that  $e_i$  is attached along the map  $f$  described above. More concretely, we have

$$f : S_s^{i-1} \xrightarrow{\varphi^i} \mathbb{R}\mathbb{P}^{i-1} \longrightarrow \mathbb{R}\mathbb{P}^{i-1}/\mathbb{R}\mathbb{P}^{i-2} = S_t^{i-1}.$$

The open upper hemisphere and lower hemisphere of  $S_s^{i-1}$  are mapped homeomorphically to  $S_t^{i-1} \setminus \{*\}$ . Furthermore,

$$f|_{\text{upper}} = f|_{\text{lower}} \circ a,$$

where  $a$  is the antipodal map. But we know that  $\deg(a) = (-1)^i$ . So we have a zero map if  $i$  is odd, and a 2 map if  $i$  is even. Then we have

$$\cdots \xrightarrow{2} \mathbb{Z}e_3 \xrightarrow{0} \mathbb{Z}e_2 \xrightarrow{2} \mathbb{Z}e_1 \xrightarrow{0} \mathbb{Z}e_0.$$

What happens on the left end depends on whether  $n$  is even or odd. So we have

$$H_i(\mathbb{R}\mathbb{P}^n) = \begin{cases} \mathbb{Z} & i = 0 \\ \mathbb{Z}/2\mathbb{Z} & i \text{ odd, } i < n \\ 0 & i \text{ even, } 0 < i < n \\ \mathbb{Z} & i = n \text{ is odd} \\ 0 & \text{otherwise} \end{cases}$$

We can immediately work out the cohomology too. We will just write out the answer:

$$H^i(\mathbb{R}\mathbb{P}^n) = \begin{cases} \mathbb{Z} & i = 0 \\ 0 & i \text{ odd, } i < n \\ \mathbb{Z}/2\mathbb{Z} & i \text{ even, } 0 < i \leq n \\ \mathbb{Z} & i = n \text{ is odd} \\ 0 & \text{otherwise} \end{cases}$$

## 6 (Co)homology with coefficients

Recall that when we defined (co)homology, we constructed these free  $\mathbb{Z}$ -modules from our spaces. However, we did not actually use the fact that it was  $\mathbb{Z}$ , we might as well replace it with any abelian group  $A$ .

**Definition** ((Co)homology with coefficients). Let  $A$  be an abelian group, and  $X$  be a topological space. We let

$$C.(X; A) = C.(X) \otimes A$$

with differentials  $d \otimes \text{id}_A$ . In other words  $C.(X; A)$  is the abelian group obtained by taking the direct sum of many copies of  $A$ , one for each singular simplex.

We let

$$H_n(X; A) = H_n(C.(X; A), d \otimes \text{id}_A).$$

We can also define

$$H_n^{\text{cell}}(X; A) = H_n(C.^{\text{cell}}(X) \otimes A),$$

and the same proof shows that  $H_n^{\text{cell}}(X; A) = H_n(X; A)$ .

Similarly, we let

$$C^*(X; A) = \text{Hom}(C.(X), A),$$

with the usual (dual) differential. We again set

$$H^n(X; A) = H^n(C^*(X; A)).$$

We similarly define cellular cohomology.

If  $A$  is in fact a commutative ring, then these are in fact  $R$ -modules.

We call  $A$  the “coefficients”, since a general member of  $C.(X; A)$  looks like

$$\sum n_\sigma s, \quad \text{where } n_\sigma \in A, \quad \sigma : \Delta^n \rightarrow X.$$

We will usually take  $A = \mathbb{Z}, \mathbb{Z}/n\mathbb{Z}$  or  $\mathbb{Q}$ . Everything we’ve proved for homology holds for these with exactly the same proof.

**Example.** In the case of  $C.^{\text{cell}}(\mathbb{R}P^n)$ , the differentials are all 0 or 2. So in  $C.^{\text{cell}}(\mathbb{R}P^n, \mathbb{Z}/2)$ , all the differentials are 0. So we have

$$H_i(\mathbb{R}P^n, \mathbb{Z}/2) = \begin{cases} \mathbb{Z}/2 & 0 \leq i \leq n \\ 0 & i > n \end{cases}$$

Similarly, the cohomology groups are the same.

On the other hand, if we take the coefficients to be  $\mathbb{Q}$ , then multiplication by 2 is now an isomorphism. Then we get

$$C.^{\text{cell}}(\mathbb{R}P^n, \mathbb{Q}) \begin{cases} \mathbb{Q} & n \text{ odd} \\ 0 & n \text{ even} \end{cases}$$

for  $n$  not too large.

## 7 Euler characteristic

There are many ways to define the Euler characteristic, and they are all equivalent. So to define it, we pick a definition that makes it obvious it is a number.

**Definition** (Euler characteristic). Let  $X$  be a cell complex. We let

$$\chi(X) = \sum_n (-1)^n \text{number of } n\text{-cells of } X \in \mathbb{Z}.$$

From this definition, it is not clear that this is a property of  $X$  itself, rather than something about its cell decomposition.

We similarly define

$$\chi_{\mathbb{Z}}(X) = \sum_n (-1)^n \text{rank } H_n(X; \mathbb{Z}).$$

For any field  $\mathbb{F}$ , we define

$$\chi_{\mathbb{F}}(X) = \sum_n (-1)^n \dim_{\mathbb{F}} H_n(X; \mathbb{F}).$$

**Theorem.** We have

$$\chi = \chi_{\mathbb{Z}} = \chi_{\mathbb{F}}.$$

*Proof.* First note that the number of  $n$  cells of  $X$  is the rank of  $C_n^{\text{cell}}(X)$ , which we will just write as  $C_n$ . Let

$$\begin{aligned} Z_n &= \ker(d_n : C_n \rightarrow C_{n-1}) \\ B_n &= \text{im}(d_{n+1} : C_{n+1} \rightarrow C_n). \end{aligned}$$

We are now going to write down two short exact sequences. By definition of homology, we have

$$0 \longrightarrow B_n \longrightarrow Z_n \longrightarrow H_n(X; \mathbb{Z}) \longrightarrow 0.$$

Also, the definition of  $Z_n$  and  $B_n$  give us

$$0 \longrightarrow Z_n \longrightarrow C_n \longrightarrow B_{n-1} \longrightarrow 0.$$

We will now use the first isomorphism theorem to know that the rank of the middle term is the sum of ranks of the outer terms. So we have

$$\chi_{\mathbb{Z}}(X) = \sum_n (-1)^n \text{rank } H_n(X) = \sum_n (-1)^n (\text{rank } Z_n - \text{rank } B_n).$$

We also have

$$\text{rank } B_n = \text{rank } C_{n+1} - \text{rank } Z_{n+1}.$$

So we have

$$\begin{aligned} \chi_{\mathbb{Z}}(X) &= \sum_n (-1)^n (\text{rank } Z_n - \text{rank } C_{n+1} + \text{rank } Z_{n+1}) \\ &= \sum_n (-1)^{n+1} \text{rank } C_{n+1} \\ &= \chi(X). \end{aligned}$$

For  $\chi_{\mathbb{F}}$ , we use the fact that

$$\text{rank } C_n = \dim_{\mathbb{F}} C_n \otimes \mathbb{F}. \quad \square$$

## 8 Cup product

So far, homology and cohomology are somewhat similar. We computed them, saw they are not the same, but they seem to contain the same information nevertheless. However, cohomology is 10 times better, because we can define a ring structure on them, and rings are better than groups.

Just like the case of homology and cohomology, we will be able to write down the definition easily, but will struggle to compute it.

**Definition** (Cup product). Let  $R$  be a commutative ring, and  $\phi \in C^k(X; R)$ ,  $\psi \in C^\ell(X; R)$ . Then  $\phi \smile \psi \in C^{k+\ell}(X; R)$  is given by

$$(\phi \smile \psi)(\sigma : \Delta^{k+\ell} \rightarrow X) = \phi(\sigma|_{[v_0, \dots, v_k]}) \cdot \psi(\sigma|_{[v_k, \dots, v_{k+\ell}]}).$$

Here the multiplication is multiplication in  $R$ , and  $v_0, \dots, v_\ell$  are the vertices of  $\Delta^{k+\ell} \subseteq \mathbb{R}^{k+\ell+1}$ , and the restriction is given by

$$\sigma|_{[x_0, \dots, x_i]}(t_0, \dots, t_i) = \sigma\left(\sum t_j x_j\right).$$

This is a bilinear map.

**Notation.** We write

$$H^*(X; R) = \bigoplus_{n \geq 0} H^n(X; R).$$

This is the definition. We can try to establish some of its basic properties. We want to know how this interacts with the differential  $d$  with the cochains. The obvious answer  $d(\phi \smile \psi) = (d\phi) \smile (d\psi)$  doesn't work, because the degrees are wrong. What we have is:

**Lemma.** If  $\phi \in C^k(X; R)$  and  $\psi \in C^\ell(X; R)$ , then

$$d(\phi \smile \psi) = (d\phi) \smile \psi + (-1)^k \phi \smile (d\psi).$$

This is like the product rule with a sign.

*Proof.* This is a straightforward computation.

Let  $\sigma : \Delta^{k+\ell+1} \rightarrow X$  be a simplex. Then we have

$$\begin{aligned} ((d\phi) \smile \psi)(\sigma) &= (d\phi)(\sigma|_{[v_0, \dots, v_{k+1}]}) \cdot \psi(\sigma|_{[v_{k+1}, \dots, v_{k+\ell+1}]}) \\ &= \phi\left(\sum_{i=0}^{k+1} (-1)^i \sigma|_{[v_0, \dots, \hat{v}_i, \dots, v_{k+1}]}\right) \cdot \psi(\sigma|_{[v_{k+1}, \dots, v_{k+\ell+1}]}) \\ (\phi \smile (d\psi))(\sigma) &= \phi(\sigma|_{[v_0, \dots, v_k]}) \cdot (d\psi)(\sigma|_{[v_k, \dots, v_{k+\ell+1}]}) \\ &= \phi(\sigma|_{[v_0, \dots, v_k]}) \cdot \psi\left(\sum_{i=k}^{k+\ell+1} (-1)^{i-k} \sigma|_{[v_k, \dots, \hat{v}_i, \dots, v_{k+\ell+1}]}\right) \\ &= (-1)^k \phi(\sigma|_{[v_0, \dots, v_k]}) \cdot \psi\left(\sum_{i=k}^{k+\ell+1} (-1)^i \sigma|_{[v_k, \dots, \hat{v}_i, \dots, v_{k+\ell+1}]}\right). \end{aligned}$$

We notice that the last term of the first expression, and the first term of the second expression are exactly the same, except the signs differ by  $-1$ . Then the remaining terms overlap in exactly 1 vertex, so we have

$$((d\phi) \smile \psi)(\sigma) + (-1)^k \phi \smile (d\psi)(\sigma) = (\phi \smile \psi)(d\sigma) = (d(\phi \smile \psi))(\sigma)$$

as required.  $\square$

This is the most interesting thing about these things, because it tells us this gives a well-defined map on cohomology.

**Corollary.** The cup product induces a well-defined map

$$\begin{aligned} \smile: H^k(X; R) \times H^\ell(X; R) &\longrightarrow H^{k+\ell}(X; R) \\ ([\phi], [\psi]) &\longmapsto [\phi \smile \psi] \end{aligned}$$

*Proof.* To see this is defined at all, as  $d\phi = 0 = d\psi$ , we have

$$d(\phi \smile \psi) = (d\phi) \smile \psi \pm \phi \smile (d\psi) = 0.$$

So  $\phi \smile \psi$  is a cocycle, and represents the cohomology class. To see this is well-defined, if  $\phi' = \phi + d\tau$ , then

$$\phi' \smile \psi = \phi \smile \psi + d\tau \smile \psi = \phi \smile \psi + d(\tau \smile \psi) \pm \tau \smile (d\psi).$$

Using the fact that  $d\psi = 0$ , we know that  $\phi' \smile \psi$  and  $\phi \smile \psi$  differ by a boundary, so  $[\phi' \smile \psi] = [\phi \smile \psi]$ . The case where we change  $\psi$  is similar.  $\square$

Note that the operation  $\smile$  is associative on cochains, so associative on  $H^*$  too.

Also, there is a map  $1 : C_0(X) \rightarrow R$  sending  $\sigma \mapsto 1$  for all  $\sigma$ . Then we have

$$[1] \smile [\phi] = [\phi].$$

So we have

**Proposition.**  $(H^*(X; R), \smile, [1])$  is a unital ring.

Note that this is not necessarily commutative! Instead, we have the following *graded commutative* condition.

**Proposition.** Let  $R$  be a commutative ring. If  $\alpha \in H^k(X; R)$  and  $\beta \in H^\ell(X; R)$ , then we have

$$\alpha \smile \beta = (-1)^{k\ell} \beta \smile \alpha$$

Note that this is only true for the cohomology classes. It is not true in general for the cochains. So we would expect that this is rather annoying to prove.

The proof relies on the following observation:

**Proposition.** The cup product is natural, i.e. if  $f : X \rightarrow Y$  is a map, and  $\alpha, \beta \in H^*(Y; R)$ , then

$$f^*(\alpha \smile \beta) = f^*(\alpha) \smile f^*(\beta).$$

So  $f^*$  is a homomorphism of unital rings.

*Proof of previous proposition.* Let  $\rho_n : C_n(X) \rightarrow C_n(x)$  be given by

$$\sigma \mapsto (-1)^{n(n+1)/2} \sigma|_{[v_n, v_{n-1}, \dots, v_0]}$$

The  $\sigma|_{[v_n, v_{n-1}, \dots, v_0]}$  tells us that we reverse the order of the vertices, and the factor of  $(-1)^{n(n+1)/2}$  is the sign of the permutation that reverses  $0, \dots, n$ . For convenience, we write

$$\varepsilon_n = (-1)^{n(n+1)/2}.$$



**Claim.** We claim that  $\rho_*$  is a chain map, and is chain homotopic to the identity.

We will prove this later.

Suppose the claim holds. We let  $\phi \in C^k(X; R)$  represent  $\alpha$  and  $\psi \in C^\ell(X; R)$  represent  $\beta$ . Then we have

$$\begin{aligned} (\rho^* \phi \smile \rho^* \psi)(\sigma) &= (\rho^* \phi)(\sigma|_{[v_0, \dots, v_k]})(\rho^* \psi)(\sigma|_{[v_k, \dots, v_{k+\ell}]}) \\ &= \phi(\varepsilon_k \cdot \sigma|_{[v_k, \dots, v_0]})\psi(\varepsilon_\ell \sigma|_{[v_{k+\ell}, \dots, v_k]}). \end{aligned}$$

Thus, we can compute

$$\begin{aligned} \rho^*(\psi \smile \phi)(\sigma) &= (\psi \smile \phi)(\varepsilon_{k+\ell} \sigma|_{[v_{k+\ell}, \dots, v_0]}) \\ &= \varepsilon_{k+\ell} \psi(\sigma|_{[v_{k+\ell}, \dots, v_k]})\phi(\sigma|_{[v_k, \dots, v_0]}) \\ &= \varepsilon_{k+\ell} \varepsilon_k \varepsilon_\ell (\rho^* \phi \smile \rho^* \psi)(\sigma). \end{aligned}$$

By checking it directly, we can see that  $\varepsilon_{n+\ell} \varepsilon_k \varepsilon_\ell = (-1)^{k\ell}$ . So we have

$$\begin{aligned} \alpha \smile \beta &= [\phi \smile \psi] \\ &= [\rho^* \phi \smile \rho^* \psi] \\ &= (-1)^{k\ell} [\rho^*(\psi \smile \phi)] \\ &= (-1)^{k\ell} [\psi \smile \phi] \\ &= (-1)^{k\ell} \beta \smile \alpha. \end{aligned}$$

Now it remains to prove the claim. We have

$$\begin{aligned} d\rho(\sigma) &= \varepsilon_n \sum_{i=0}^n (-1)^i \sigma|_{[v_n, \dots, \hat{v}_{n-i}, \dots, v_0]} \\ \rho(d\sigma) &= \rho \left( \sum_{i=0}^n (-1)^i \sigma|_{[v_0, \dots, \hat{v}_i, \dots, v_n]} \right) \\ &= \varepsilon_{n-1} \sum_{j=0}^n (-1)^j \sigma|_{[v_n, \dots, \hat{v}_j, v_0]}. \end{aligned}$$

We now notice that  $\varepsilon_{n-1}(-1)^{n-i} = \varepsilon_n(-1)^i$ . So this is a chain map!

We now define a chain homotopy. This time, we need a “twisted prism”. We let

$$P_n = \sum_i (-1)^i \varepsilon_{n-i} [v_0, \dots, v_i, w_n, \dots, w_i] \in C_{n+1}([0, 1] \times \Delta^n),$$

where  $v_0, \dots, v_n$  are the vertices of  $\{0\} \times \Delta^n$  and  $w_0, \dots, w_n$  are the vertices of  $\{1\} \times \Delta^n$ .

We let  $\pi : [0, 1] \times \Delta^n \rightarrow \Delta^n$  be the projection, and let  $F_n^X : C_n(X) \rightarrow C_{n+1}(X)$  be given by

$$\sigma \mapsto (\sigma \circ \pi)_\#(P_n).$$

We calculate

$$\begin{aligned} dF_n^X(\sigma) &= (\sigma \circ \pi)_\#(dP_n) \\ &= (\sigma \circ \pi)_\# \left( \sum_i \left( \sum_{j \leq i} (-1)^j (-1)^i \varepsilon_{n-i}[v_0, \dots, \hat{v}_j, \dots, v_i, w_0, \dots, w_i] \right) \right. \\ &\quad \left. + \left( \sum_{j \geq i} (-1)^{n+i+1-j} (-1)^i \varepsilon_{n-i}[v_0, \dots, v_i, w_n, \dots, \hat{w}_j, \dots, v_i] \right) \right). \end{aligned}$$

The terms with  $j = i$  give

$$\begin{aligned} &(\sigma \circ \pi)_\# \left( \sum_i \varepsilon_{n-i}[v_0, \dots, v_{i-1}, w_n, \dots, w_i] \right. \\ &\quad \left. + \sum_i (-1)^{n+1} (-1)^i \varepsilon_{n-i}[v_0, \dots, v_i, w_n, \dots, w_{i+1}] \right) \\ &= (\sigma \circ \pi)_\#(\varepsilon_n[w_n, \dots, w_0] - [v_0, \dots, v_n]) \\ &= \rho(\sigma) - \sigma \end{aligned}$$

The terms with  $j \neq i$  are precisely  $-F_{n-1}^X(d\sigma)$  as required. It is easy to see that the terms are indeed the right terms, and we just have to check that the signs are right. I'm not doing that.  $\square$

There are some other products we can define. One example is the cross product:

**Definition** (Cross product). Let  $\pi_X : X \times Y \rightarrow X$ ,  $\pi_Y : X \times Y \rightarrow Y$  be the projection maps. Then we have a *cross product*

$$\begin{aligned} \times : H^k(X; R) \otimes_R H^\ell(Y; R) &\longrightarrow H^{k+\ell}(X \times Y; R) \\ a \otimes b &\longmapsto (\pi_X^* a) \smile (\pi_Y^* b) \end{aligned}$$

Note that the diagonal map  $\Delta : X \rightarrow X \times X$  given by  $\Delta(x) = (x, x)$  satisfies

$$\Delta^*(a \times b) = a \smile b$$

for all  $a, b \in H^*(X; R)$ . So these two products determine each other.

There is also a *relative cup* product

$$\smile : H^k(X, A; R) \otimes H^\ell(X; R) \rightarrow H^{k+\ell}(X, A; R)$$

given by the same formula. Indeed, to see this is properly defined, note that if  $\phi \in C^k(X, A; R)$ , then  $\phi$  is a map

$$\phi : C_k(X, A) = \frac{C_k(X)}{C_k(A)} \rightarrow R.$$

In other words, it is a map  $C_k(X) \rightarrow R$  that vanishes on  $C_k(A)$ . Then if  $\sigma \in C_{k+\ell}(A)$  and  $\psi \in C^\ell(X; R)$ , then

$$(\phi \smile \psi)(\sigma) = \phi(\sigma|_{[v_0, \dots, v_k]}) \cdot \psi(\sigma|_{[v_k, \dots, v_{k+\ell}]}).$$

We now notice that  $[v_0, \dots, v_k] \in C_k(A)$ . So  $\phi$  kills it, and this vanishes. So this is a term in  $H^{k+\ell}(X, A; R)$ .

You might find it weird that the two factors of the cup product are in different things, but note that a relative cohomology class is in particular a cohomology class. So this restricts to a map

$$\smile: H^k(X, A; R) \otimes H^\ell(X, A; R) \rightarrow H^{k+\ell}(X, A; R),$$

but the result we gave is more general.

**Example.** Suppose  $X$  is a space such that the cohomology classes are given by

$$\begin{array}{cccccccc} & k & & 0 & 1 & 2 & & 3 & & 4 & 5 & & 6 \\ H^k(X, \mathbb{Z}) & \mathbb{Z} & & 0 & 0 & 0 & & \mathbb{Z} = \langle x \rangle & & 0 & 0 & & \mathbb{Z} = \langle y \rangle \end{array}$$

What can  $x \smile x$  be? By the graded commutativity property, we have

$$x \smile x = -x \smile x.$$

So we know  $2(x \smile x) = 0 \in H^6(X, \mathbb{Z}) \cong \mathbb{Z}$ . So we must have  $x \smile x = 0$ .

## 9 Künneth theorem and universal coefficients theorem

We are going to prove two theorems of similar flavour — Künneth’s theorem and the universal coefficients theorem. They are both fairly algebraic results that relate different homology and cohomology groups. They will be very useful when we prove things about (co)homologies in general.

In both cases, we will not prove the “full” theorem, as they require knowledge of certain objects known as Tor and Ext. Instead, we will focus on a particular case where the Tor and Ext vanish, so that we can avoid mentioning them at all.

We start with Künneth’s theorem.

**Theorem** (Künneth’s theorem). Let  $R$  be a commutative ring, and suppose that  $H^n(Y; R)$  is a free  $R$ -module for each  $n$ . Then the cross product map

$$\bigoplus_{k+\ell=n} H^k(X; R) \otimes H^\ell(Y; R) \xrightarrow{\times} H^n(X \times Y; R)$$

is an isomorphism for every  $n$ , for every finite cell complex  $X$ .

It follows from the five lemma that the same holds if we have a relative complex  $(Y, A)$  instead of just  $Y$ .

For convenience, we will write  $H^*(X; R) \otimes H^*(Y; R)$  for the graded  $R$ -module which in grade  $n$  is given by

$$\bigoplus_{k+\ell=n} H^k(X; R) \otimes H^\ell(Y; R).$$

Then Künneth says the map given by

$$H^*(X; R) \otimes H^*(Y; R) \xrightarrow{\times} H^*(X \times Y; R)$$

is an isomorphism of graded rings.

*Proof.* Let

$$F^n(-) = \bigoplus_{k+\ell=n} H^k(-; R) \otimes H^\ell(Y; R).$$

We similarly define

$$G^n(-) = H^n(- \times Y; R).$$

We observe that for each  $X$ , the cross product gives a map  $\times : F^n(X) \rightarrow G^n(X)$ , and, crucially, we know  $\times_* : F^n(*) \rightarrow G^n(*)$  is an isomorphism, since  $F^n(*) \cong G^n(*) \cong H^n(Y; R)$ .

The strategy is to show that  $F^n(-)$  and  $G^n(-)$  have the same formal structure as cohomology and agree on a point, and so must agree on all finite cell complexes.

It is clear that both  $F^n$  and  $G^n$  are homotopy invariant, because they are built out of homotopy invariant things.

We now want to define the cohomology of pairs. This is easy. We define

$$F^n(X, A) = \bigoplus_{i+j=n} H^i(X, A; R) \otimes H^j(Y; R)$$

$$G^n(X, A) = H^n(X \times Y, A \times Y; R).$$

Again, the relative cup product gives us a relative cross product, which gives us a map  $F^n(X, A) \rightarrow G^n(X, A)$ .

It is immediate  $G^n$  has a long exact sequence associated to  $(X, A)$  given by the usual long exact sequence of  $(X \times Y, A \times Y)$ . We would like to say  $F$  has a long exact sequence as well, and this is where our hypothesis comes in.

If  $H^*(Y; R)$  is a free  $R$ -module, then we can take the long exact sequence of  $(X, A)$

$$\cdots \rightarrow H^n(A; R) \xrightarrow{\partial} H^n(X, A; R) \rightarrow H^n(X; R) \rightarrow H^n(A; R) \rightarrow \cdots,$$

and then tensor with  $H^j(Y; R)$ . This preserves exactness, since  $H^j(Y; R) \cong R^k$  for some  $k$ , so tensoring with  $H^j(Y; R)$  just takes  $k$  copies of this long exact sequence. By adding the different long exact sequences for different  $j$  (with appropriate translations), we get a long exact sequence for  $F$ .

We now want to prove Künneth by induction on the number of cells and the dimension at the same time. We are going to prove that if  $X = X' \cup_f D^n$  for some  $S^{n-1} \rightarrow X'$ , and  $\times : F(X') \rightarrow G(X')$  is an isomorphism, then  $\times : F(X) \rightarrow G(X)$  is also an isomorphism. In doing so, we will assume that the result is true for attaching *any* cells of dimension less than  $n$ .

Suppose  $X = X' \cup_f D^n$  for some  $f : S^{n-1} \rightarrow X'$ . We get long exact sequences

$$\begin{array}{ccccccccc} F^{*-1}(X') & \longrightarrow & F^*(X, X') & \longrightarrow & F^*(X) & \longrightarrow & F^*(X') & \longrightarrow & F^{*+1}(X, X') \\ \sim \downarrow \times & & \downarrow \times & & \downarrow \times & & \sim \downarrow \times & & \downarrow \times \\ G^{*-1}(X') & \longrightarrow & G^*(X, X') & \longrightarrow & G^*(X) & \longrightarrow & G^*(X') & \longrightarrow & G^{*+1}(X, X') \end{array}$$

Note that we need to manually check that the boundary maps  $\partial$  commute with the cross product, since this is not induced by maps of spaces, but we will not do it here.

Now by the five lemma, it suffices to show that the maps on the relative cohomology  $\times : F^n(X, X') \rightarrow G^n(X, X')$  is an isomorphism.

We now notice that  $F^*(-)$  and  $G^*(-)$  have excision. Since  $(X, X')$  is a good pair, we have a commutative square

$$\begin{array}{ccc} F^*(D^n, \partial D^n) & \xleftarrow{\sim} & F^*(X, X') \\ \downarrow \times & & \downarrow \times \\ G^*(D^n, \partial D^n) & \xleftarrow{\sim} & G^*(X, X') \end{array}$$

So we now only need the left-hand map to be an isomorphism. We look at the long exact sequence for  $(D^n, \partial D^n)$ !

$$\begin{array}{ccccccccc} F^{*-1}(\partial D^n) & \rightarrow & F^*(D^n, \partial D^n) & \rightarrow & F^*(D^n) & \rightarrow & F^*(\partial D^n) & \rightarrow & F^{*+1}(D^n, \partial D^n) \\ \sim \downarrow \times & & \sim \downarrow \times & & \downarrow \times & & \sim \downarrow \times & & \times \downarrow \times \\ G^{*-1}(\partial D^n) & \rightarrow & G^*(D^n, \partial D^n) & \rightarrow & G^*(D^n) & \rightarrow & G^*(\partial D^n) & \rightarrow & G^{*+1}(D^n, \partial D^n) \end{array}$$

But now we know the vertical maps for  $D^n$  and  $\partial D^n$  are isomorphisms — the ones for  $D^n$  are because they are contractible, and we have seen the result of  $*$  already; whereas the result for  $\partial D^n$  follows by induction.

So we are done. □

The conditions of the theorem require that  $H^n(Y; R)$  is free. When will this hold? One important example is when  $R$  is actually a field, in which case *all* modules are free.

**Example.** Consider  $H^*(S^1, \mathbb{Z})$ . We know it is  $\mathbb{Z}$  in  $*$  = 0, 1, and 0 elsewhere. Let's call the generator of  $H^0(S^1, \mathbb{Z})$  “1”, and the generator of  $H^1(S^1, \mathbb{Z})$  as  $x$ . Then we know that  $x \smile x = 0$  since there isn't anything in degree 2. So we know

$$H^*(S^1, \mathbb{Z}) = \mathbb{Z}[x]/(x^2).$$

Then Künneth's theorem tells us that

$$H^*(T^n, \mathbb{Z}) \cong H^*(S^1; \mathbb{Z})^{\otimes n},$$

where  $T^n = (S^1)^n$  is the  $n$ -torus, and this is an isomorphism of rings. So this is

$$H^*(T^n, \mathbb{Z}) \cong \mathbb{Z}[x_1, \dots, x_n]/(x_i^2, x_i x_j + x_j x_i),$$

using the fact that  $x_i, x_j$  have degree 1 so anti-commute. Note that this has an interesting cup product! This ring is known as the *exterior algebra in  $n$  generators*.

**Example.** Let  $f : S^n \rightarrow T^n$  be a map for  $n > 1$ . We claim that this induces the zero map on the  $n$ th cohomology.

We look at the induced map on cohomology:

$$f^* : H^n(T^n; \mathbb{Z}) \longrightarrow H^n(S^n, \mathbb{Z}) .$$

Looking at the presentation above, we know that  $H^n(T^n, \mathbb{Z})$  is generated by  $x_1 \smile \dots \smile x_n$ , and  $f^*$  sends it to  $(f^*x_1) \smile \dots \smile (f^*x_n)$ . But  $f^*x_i \in H^1(S^n, \mathbb{Z}) = 0$  for all  $n > 1$ . So  $f^*(x_1 \dots x_n) = 0$ .

Note that the statement does not involve cup products at all, but it would be much more difficult to prove this without using cup products!

We are now going to prove another useful result.

**Theorem** (Universal coefficients theorem for (co)homology). Let  $R$  be a PID and  $M$  an  $R$ -module. Then there is a natural map

$$H_*(X; R) \otimes M \rightarrow H_*(X; M).$$

If  $H_*(X; R)$  is a free module for each  $n$ , then this is an isomorphism. Similarly, there is a natural map

$$H^*(X; M) \rightarrow \text{Hom}_R(H_*(X; R), M),$$

which is an isomorphism again if  $H^*(X; R)$  is free.

In particular, when  $R = \mathbb{Z}$ , then an  $R$ -module is just an abelian group, and this tells us how homology and cohomology with coefficients in an abelian group relate to the usual homology and cohomology theory.

*Proof.* Let  $C_n$  be  $C_n(X; R)$  and  $Z_n \subseteq C_n$  be the cycles and  $B_n \subseteq Z_n$  the boundaries. Then there is a short exact sequence

$$0 \longrightarrow Z_n \xrightarrow{i} C_n \xrightarrow{g} B_{n-1} \longrightarrow 0 ,$$

and  $B_{n-1} \leq C_{n-1}$  is a submodule of a free  $R$ -module, and is free, since  $R$  is a PID. So by picking a basis, we can find a map  $s : B_{n-1} \rightarrow C_n$  such that  $g \circ s = \text{id}_{B_{n-1}}$ . This induces an isomorphism

$$i \oplus s : Z_n \oplus B_{n-1} \xrightarrow{\sim} C_n .$$

Now tensoring with  $M$ , we obtain

$$0 \longrightarrow Z_n \otimes M \longrightarrow C_n \otimes M \longrightarrow B_{n-1} \otimes M \longrightarrow 0 ,$$

which is exact because we have

$$C_n \otimes M \cong (Z_n \oplus B_{n-1}) \otimes M \cong (Z_n \otimes M) \oplus (B_{n-1} \otimes M) .$$

So we obtain a short exact sequence of chain complexes

$$0 \longrightarrow (Z_n \otimes M, 0) \longrightarrow (C_n \otimes M, d \otimes \text{id}) \longrightarrow (B_{n-1} \otimes M, 0) \longrightarrow 0 ,$$

which gives a long exact sequence in homology:

$$\cdots \longrightarrow B_n \otimes M \longrightarrow Z_n \otimes M \longrightarrow H_n(X; M) \longrightarrow B_{n-1} \otimes M \longrightarrow \cdots$$

We'll leave this for a while, and look at another short exact sequence. By definition of homology, we have a long exact sequence

$$0 \longrightarrow B_n \longrightarrow Z_n \longrightarrow H_n(X; R) \longrightarrow 0 .$$

As  $H_n(X; R)$  is free, we have a splitting  $t : H_n(X; R) \rightarrow Z_n$ , so as above, tensoring with  $M$  preserves exactness, so we have

$$0 \longrightarrow B_n \otimes M \longrightarrow Z_n \otimes M \longrightarrow H_n(X; R) \otimes M \longrightarrow 0 .$$

Hence we know that  $B_n \otimes M \rightarrow Z_n \otimes M$  is injective. So our previous long exact sequence breaks up to

$$0 \longrightarrow B_n \otimes M \longrightarrow Z_n \otimes M \longrightarrow H_n(X; M) \longrightarrow 0 .$$

Since we have two short exact sequence with first two terms equal, the last terms have to be equal as well.

The cohomology version is similar. □

## 10 Vector bundles

### 10.1 Vector bundles

We now end the series of random topics, and work on a more focused topic. We are going to look at vector bundles. Intuitively, a vector bundle over a space  $X$  is a continuous assignment of a vector space to each point in  $X$ . In the first section, we are just going to look at vector bundles as topological spaces. In the next section, we are going to look at homological properties of vector bundles.

**Definition** (Vector bundle). Let  $X$  be a space. A (real) *vector bundle* of dimension  $d$  over  $X$  is a map  $\pi : E \rightarrow X$ , with a (real) vector space structure on each *fiber*  $E_x = \pi^{-1}(\{x\})$ , subject to the local triviality condition: for each  $x \in X$ , there is a neighbourhood  $U$  of  $x$  and a homeomorphism  $\varphi : E|_U = \pi^{-1}(U) \rightarrow U \times \mathbb{R}^d$  such that the following diagram commutes

$$\begin{array}{ccc} E|_U & \xrightarrow{\varphi} & U \times \mathbb{R}^d \\ & \searrow \pi & \swarrow \pi_1 \\ & U & \end{array},$$

and for each  $y \in U$ , the restriction  $\varphi|_{E_y} : E_y \rightarrow \{y\} \times \mathbb{R}^d$  is a *linear* isomorphism for each  $y \in U$ . This map is known as a *local trivialization*.

We have an analogous definition for complex vector bundles.

**Definition** (Section). A *section* of a vector bundle  $\pi : E \rightarrow X$  is a map  $s : X \rightarrow E$  such that  $\pi \circ s = \text{id}$ . In other words,  $s(x) \in E_x$  for each  $x$ .

**Definition** (Zero section). The *zero section* of a vector bundle is  $s_0 : X \rightarrow E$  given by  $s_0(x) = 0 \in E_x$ .

Note that the composition

$$E \xrightarrow{\pi} X \xrightarrow{s_0} E$$

is homotopic to the identity map on  $E$ , since each  $E_x$  is contractible.

One important operation we can do on vector bundles is *pullback*:

**Definition** (Pullback of vector bundles). Let  $\pi : E \rightarrow X$  be a vector bundle, and  $f : Y \rightarrow X$  a map. We define the *pullback*

$$f^*E = \{(y, e) \in Y \times E : f(y) = \pi(e)\}.$$

This has a map  $f^*\pi : f^*E \rightarrow Y$  given by projecting to the first coordinate. The vector space structure on each fiber is given by the identification  $(f^*E)_y = E_{f(y)}$ . It is a little exercise in topology to show that the local trivializations of  $\pi : E \rightarrow X$  induce local trivializations of  $f^*\pi : f^*E \rightarrow Y$ .

Everything we can do on vector spaces can be done on vector bundles, by doing it on each fiber.



**Definition** (Whitney sum of vector bundles). Let  $\pi : E \rightarrow X$  and  $\rho : F \rightarrow X$  be vector bundles. The *Whitney sum* is given by

$$E \oplus F = \{(e, f) \in E \times F : \pi(e) = \rho(f)\}.$$

This has a natural map  $\pi \oplus \rho : E \oplus F \rightarrow X$  given by  $(\pi \oplus \rho)(e, f) = \pi(e) = \rho(f)$ . This is again a vector bundle, with  $(E \oplus F)_x = E_x \oplus F_x$  and again local trivialisations of  $E$  and  $F$  induce one for  $E \oplus F$ .

Tensor products can be defined similarly.

Similarly, we have the notion of subbundles.

**Definition** (Vector subbundle). Let  $\pi : E \rightarrow X$  be a vector bundle, and  $F \subseteq E$  a subspace such that for each  $x \in X$  there is a local trivialization  $(U, \varphi)$

$$\begin{array}{ccc} E|_U & \xrightarrow{\varphi} & U \times \mathbb{R}^d \\ & \searrow \pi & \swarrow \pi_1 \\ & U & \end{array},$$

such that  $\varphi$  takes  $F|_U$  to  $U \times \mathbb{R}^k$ , where  $\mathbb{R}^k \subseteq \mathbb{R}^d$ . Then we say  $F$  is a *vector sub-bundle*.

**Definition** (Quotient bundle). Let  $F$  be a sub-bundle of  $E$ . Then  $E/F$ , given by the fiberwise quotient, is a vector bundle and is given by the *quotient bundle*.

We now look at one of the most important examples of a vector bundle. In some sense, this is the “universal” vector bundle, as we will see later.

**Example** (Grassmannian manifold). We let

$$X = \text{Gr}_k(\mathbb{R}^n) = \{k\text{-dimensional linear subgroups of } \mathbb{R}^n\}.$$

To topologize this space, we pick a fixed  $V \in \text{Gr}_k(\mathbb{R}^n)$ . Then any  $k$ -dimensional subspace can be obtained by applying some linear map to  $V$ . So we obtain a surjection

$$\begin{aligned} \text{GL}_n(\mathbb{R}) &\rightarrow \text{Gr}_k(\mathbb{R}^n) \\ M &\mapsto M(V). \end{aligned}$$

So we can give  $\text{Gr}_k(\mathbb{R}^n)$  the quotient (final) topology. For example,

$$\text{Gr}_1(\mathbb{R}^{n+1}) = \mathbb{R}P^n.$$

Now to construct a vector bundle, we need to assign a vector space to each point in  $X$ . But a point in  $\text{Gr}_k(\mathbb{R}^n)$  is a vector space, so we have an obvious definition

$$E = \{(V, v) \in \text{Gr}_k(\mathbb{R}^n) \times \mathbb{R}^n : v \in V\}.$$

This has the evident projection  $\pi : E \rightarrow X$  given by the first projection. We then have

$$E_V = V.$$

To see that this is a vector bundle, we have to check local triviality. We fix a  $V \in \text{Gr}_k(\mathbb{R}^n)$ , and let

$$U = \{W \in \text{Gr}_k(\mathbb{R}^n) : W \cap V^\perp = \{0\}\}.$$

We now construct a map  $\varphi : E|_U \rightarrow U \times V \cong U \times \mathbb{R}^k$  by mapping  $(W, w)$  to  $(W, \text{pr}_V(w))$ , where  $\text{pr}_V : \mathbb{R}^n \rightarrow V$  is the orthogonal projection.

Now if  $w \in U$ , then  $\text{pr}_V(w) \neq 0$  since  $W \cap V^\perp = \{0\}$ . So  $\varphi$  is a homeomorphism. We call this bundle  $\gamma_{k,n}^{\mathbb{R}} \rightarrow \text{Gr}_k(\mathbb{R}^n)$ .

In the same way, we can get a canonical complex vector bundle  $\gamma_{k,n}^{\mathbb{C}} \rightarrow \text{Gr}_k(\mathbb{C}^n)$ .

**Example.** Let  $M$  be a smooth  $d$ -dimensional manifold, then it naturally has a  $d$ -dimensional *tangent bundle*  $\pi : TM \rightarrow M$  with  $(TM)|_x = T_x M$ .

If  $M \subseteq N$  is a smooth submanifold, with  $i$  the inclusion map, then  $TM$  is a subbundle of  $i^*TN$ . Note that we cannot say  $TM$  is a smooth subbundle of  $TN$ , since they have different base space, and thus cannot be compared without pulling back.

The *normal bundle* of  $M$  in  $N$  is

$$\nu_{M \subseteq N} = \frac{i^*TN}{TM}.$$

Here is a theorem we have to take on faith, because proving it will require some differential geometry.

**Theorem** (Tubular neighbourhood theorem). Let  $M \subseteq N$  be a smooth submanifold. Then there is an open neighbourhood  $U$  of  $M$  and a homeomorphism  $\nu_{M \subseteq N} \rightarrow U$ , and moreover, this homeomorphism is the identity on  $M$  (where we view  $M$  as a submanifold of  $\nu_{M \subseteq N}$  by the image of the zero section).

This tells us that locally, the neighbourhood of  $M$  in  $N$  looks like  $\nu_{M \subseteq N}$ .

We will also need some results from point set topology:

**Definition** (Partition of unity). Let  $X$  be a compact Hausdorff space, and  $\{U_\alpha\}_{\alpha \in I}$  be an open cover. A *partition of unity subordinate to  $\{U_\alpha\}$*  is a collection of functions  $\lambda_\alpha : X \rightarrow [0, \infty)$  such that

- (i)  $\text{supp}(\lambda_\alpha) = \overline{\{x \in X : \lambda_\alpha(x) > 0\}} \subseteq U_\alpha$ .
- (ii) Each  $x \in X$  lies in finitely many of these  $\text{supp}(\lambda_\alpha)$ .
- (iii) For each  $x$ , we have

$$\sum_{\alpha \in I} \lambda_\alpha(x) = 1.$$

**Proposition.** Partitions of unity exist for any open cover.

You might have seen this in differential geometry, but this is easier, since we do not require the partitions of unity to be smooth.

Using this, we can prove the following:

**Lemma.** Let  $\pi : E \rightarrow X$  be a vector bundle over a compact Hausdorff space. Then there is a continuous family of inner products on  $E$ . In other words, there is a map  $E \otimes E \rightarrow \mathbb{R}$  which restricts to an inner product on each  $E_x$ .

*Proof.* We notice that every trivial bundle has an inner product, and since every bundle is locally trivial, we can patch these up using partitions of unity.

Let  $\{U_\alpha\}_{\alpha \in I}$  be an open cover of  $X$  with local trivializations

$$\varphi_\alpha : E|_{U_\alpha} \rightarrow U_\alpha \times \mathbb{R}^d.$$

The inner product on  $\mathbb{R}^d$  then gives us an inner product on  $E|_{U_\alpha}$ , say  $\langle \cdot, \cdot \rangle_\alpha$ . We let  $\lambda_\alpha$  be a partition of unity associated to  $\{U_\alpha\}$ . Then for  $u \otimes v \in E \otimes E$ , we define

$$\langle u, v \rangle = \sum_{\alpha \in I} \lambda_\alpha(\pi(u)) \langle u, v \rangle_\alpha.$$

Now if  $\pi(u) = \pi(v)$  is not in  $U_\alpha$ , then we don't know what we mean by  $\langle u, v \rangle_\alpha$ , but it doesn't matter, because  $\lambda_\alpha(\pi(u)) = 0$ . Also, since the partition of unity is locally finite, we know this is a finite sum.

It is then straightforward to see that this is indeed an inner product, since a positive linear combination of inner products is an inner product.  $\square$

Similarly, we have

**Lemma.** Let  $\pi : E \rightarrow X$  be a vector bundle over a compact Hausdorff space. Then there is some  $N$  such that  $E$  is a vector subbundle of  $X \times \mathbb{R}^N$ .

*Proof.* Let  $\{U_\alpha\}$  be a trivializing cover of  $X$ . Since  $X$  is compact, we may wlog assume the cover is finite. Call them  $U_1, \dots, U_n$ . We let

$$\varphi_i : E|_{U_i} \rightarrow U_i \times \mathbb{R}^d.$$

We note that on each patch,  $E|_{U_i}$  embeds into a trivial bundle, because it is a trivial bundle. So we can add all of these together. The trick is to use a partition of unity, again.

We define  $f_i$  to be the composition

$$E|_{U_i} \xrightarrow{\varphi_i} U_i \times \mathbb{R}^d \xrightarrow{\pi_2} \mathbb{R}^d.$$

Then given a partition of unity  $\lambda_i$ , we define

$$\begin{aligned} f : E &\rightarrow X \times (\mathbb{R}^d)^n \\ v &\mapsto (\pi(v), \lambda_1(\pi(v))f_1(v), \lambda_2(\pi(v))f_2(v), \dots, \lambda_n(\pi(v))f_n(v)). \end{aligned}$$

We see that this is injective. If  $v, w$  belong to different fibers, then the first coordinate distinguishes them. If they are in the same fiber, then there is some  $U_i$  with  $\lambda_i(\pi(u)) \neq 0$ . Then looking at the  $i$ th coordinate gives us distinguishes them. This then exhibits  $E$  as a subbundle of  $X \times \mathbb{R}^n$ .  $\square$

**Corollary.** Let  $\pi : E \rightarrow X$  be a vector bundle over a compact Hausdorff space. Then there is some  $p : F \rightarrow X$  such that  $E \oplus F \cong X \times \mathbb{R}^n$ . In particular,  $E$  embeds as a subbundle of a trivial bundle.

*Proof.* By above, we can assume  $E$  is a subbundle of a trivial bundle. We can then take the orthogonal complement of  $E$ .  $\square$

Now suppose again we have a vector bundle  $\pi : E \rightarrow X$  over a compact Hausdorff  $X$ . We can then choose an embedding  $E \subseteq X \times \mathbb{R}^N$ , and then we get a map  $f_\pi : X \rightarrow \text{Gr}_d(\mathbb{R}^N)$  sending  $x$  to  $E_x \subseteq \mathbb{R}^N$ . Moreover, if we pull back the tautological bundle along  $f_\pi$ , then we have

$$f_\pi^* \gamma_{k,N}^{\mathbb{R}} \cong E.$$

So every vector bundle is the pullback of the canonical bundle  $\gamma_{k,N}^{\mathbb{R}}$  over a Grassmannian. However, there is a slight problem. Different vector bundles will require different  $N$ 's. So we will have to overcome this problem if we want to make a statement of the sort “a vector bundle is the same as a map to a Grassmannian”.

The solution is to construct some sort of  $\text{Gr}_d(\mathbb{R}^\infty)$ . But infinite-dimensional vector spaces are weird. So instead we take the union of all  $\text{Gr}_d(\mathbb{R}^N)$ . We note that for each  $N$ , there is an inclusion  $\text{Gr}_d(\mathbb{R}^N) \rightarrow \text{Gr}_d(\mathbb{R}^{N+1})$ , which induces an inclusion of the canonical bundle. We can then take the union to obtain  $\text{Gr}_d(\mathbb{R}^\infty)$  with a canonical bundle  $\gamma_d^{\mathbb{R}}$ . Then the above result shows that each vector bundle over  $X$  is the pullback of the canonical bundle  $\gamma_d^{\mathbb{R}}$  along some map  $f : X \rightarrow \text{Gr}_d(\mathbb{R}^\infty)$ .

Note that a vector bundle over  $X$  does not uniquely specify a map  $X \rightarrow \text{Gr}_d(\mathbb{R}^\infty)$ , as there can be multiple embeddings of  $X$  into the trivial bundle  $X \times \mathbb{R}^N$ . Indeed, if we wiggle the embedding a bit, then we can get a new bundle. So we don't have a correspondence between vector bundles  $\pi : E \rightarrow X$  and maps  $f_\pi : X \rightarrow \text{Gr}_d(\mathbb{R}^\infty)$ .

The next best thing we can hope for is that the “wiggle the embedding a bit” is all we can do. More precisely, two maps  $f, g : X \rightarrow \text{Gr}_d(\mathbb{R}^\infty)$  pull back isomorphic vector bundles if and only if they are homotopic. This is indeed true:

**Theorem.** There is a correspondence

$$\left\{ \begin{array}{c} \text{homotopy classes} \\ \text{of maps} \\ f : X \rightarrow \text{Gr}_d(\mathbb{R}^\infty) \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{c} d\text{-dimensional} \\ \text{vector bundles} \\ \pi : E \rightarrow X \end{array} \right\}$$

$$[f] \longmapsto f^* \gamma_d^{\mathbb{R}}$$

$$[f_\pi] \longleftarrow \pi$$

The proof is mostly technical, and is left as an exercise on the example sheet.

## 10.2 Vector bundle orientations

We are now going to do something that actually involves algebraic topology. Unfortunately, we have to stop working with arbitrary bundles, and focus on *orientable* bundles instead. So the first thing to do is to define orientability.

What we are going to do is to come up with a rather refined notion of orientability. For each commutative ring  $R$ , we will have the notion of *R-orientability*. The strength of this condition will depend on what  $R$  is — any vector bundle is  $\mathbb{F}_2$  orientable, while  $\mathbb{Z}$ -orientability is the strongest — if a vector bundle is  $\mathbb{Z}$ -orientable, then it is  $R$ -orientable for all  $R$ .

While there isn't really a good geometric way to think about general  $R$ -orientability, the reason for this more refined notion is that whenever we want things to be true for (co)homology with coefficients in  $R$ , then we need the bundle to be  $R$ -orientable.

Let's begin. Let  $\pi : E \rightarrow X$  be a vector bundle of dimension  $d$ . We write

$$E^\# = E \setminus s_0(X).$$

We now look at the relative homology groups

$$H^i(E_x, E_x^\#; R),$$

where  $E_x^\# = E_x \setminus \{0\}$ .

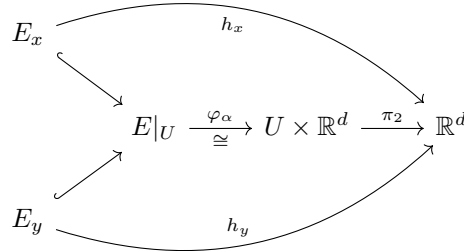
We know  $E_x$  is a  $d$ -dimensional vector space. So we can choose an isomorphism  $E_x \rightarrow \mathbb{R}^d$ . So after making this choice, we know that

$$H^i(E_x, E_x^\#; R) \cong H^i(\mathbb{R}^d, \mathbb{R}^d \setminus \{0\}; R) = \begin{cases} R & i = d \\ 0 & \text{otherwise} \end{cases}$$

However, there is no canonical generator of  $H^d(E_x, E_x^\#; R)$  as an  $R$ -module, as we had to pick an isomorphism  $E_x \cong \mathbb{R}^d$ .

**Definition** ( $R$ -orientation). A local  $R$ -orientation of  $E$  at  $x \in X$  is a choice of  $R$ -module generator  $\varepsilon_x \in H^d(E_x, E_x^\#; R)$ .

An  $R$ -orientation is a choice of local  $R$ -orientation  $\{\varepsilon_x\}_{x \in X}$  which are compatible in the following way: if  $U \subseteq X$  is open on which  $E$  is trivial, and  $x, y \in U$ , then under the homeomorphisms (and in fact linear isomorphisms):



the map

$$h_y^* \circ (h_x^{-1})^* : H^d(E_x, E_x^\#; R) \rightarrow H^d(E_y, E_y^\#; R)$$

sends  $\varepsilon_x$  to  $\varepsilon_y$ . Note that this definition does not depend on the choice of  $\varphi_U$ , because we used it twice, and they cancel out.

It seems pretty horrific to construct an orientation. However, it isn't really that bad. For example, we have

**Lemma.** Every vector bundle is  $\mathbb{F}_2$ -orientable.

*Proof.* There is only one possible choice of generator. □

In the interesting cases, we are usually going to use the following result to construct orientations:

**Lemma.** If  $\{U_\alpha\}_{\alpha \in I}$  is a family of covers such that for each  $\alpha, \beta \in I$ , the homeomorphism

$$(U_\alpha \cap U_\beta) \times \mathbb{R}^d \xleftarrow[\cong]{\varphi_\alpha} E|_{U_\alpha \cap U_\beta} \xrightarrow[\cong]{\varphi_\beta} (U_\alpha \cap U_\beta) \times \mathbb{R}^d$$

gives an orientation preserving map from  $(U_\alpha \cap U_\beta) \times \mathbb{R}^d$  to itself, i.e. has a positive determinant on each fiber, then  $E$  is orientable for any  $R$ .

Note that we don't have to check the determinant at each point on  $U_\alpha \cap U_\beta$ . By continuity, we only have to check it for one point.

*Proof.* Choose a generator  $u \in H^d(\mathbb{R}^d, \mathbb{R}^d \setminus \{0\}; R)$ . Then for  $x \in U_\alpha$ , we define  $\varepsilon_x$  by pulling back  $u$  along

$$E_x \hookrightarrow E|_{U_\alpha} \xrightarrow{\varphi_\alpha} U_\alpha \times \mathbb{R}^d \xrightarrow{\pi_2} \mathbb{R}^d. \quad (\dagger_\alpha)$$

If  $x \in U_\beta$  as well, then the analogous linear isomorphism  $\dagger_\alpha$  differs from  $\dagger_\beta$  by post-composition with a linear map  $L : \mathbb{R}^d \rightarrow \mathbb{R}^d$  of *positive* determinant. We now use the fact that any linear map of positive determinant is homotopic to the identity. Indeed, both  $L$  and  $\text{id}$  lies in  $\text{GL}_d^+(\mathbb{R})$ , a connected group, and a path between them will give a homotopy between the maps they represent. So we know  $(\dagger_\alpha)$  is homotopic to  $(\dagger_\beta)$ . So they induce the same maps on cohomology classes.  $\square$

Now if we don't know that the maps have positive determinant, then  $(\dagger_\alpha)$  and  $(\dagger_\beta)$  might differ by a sign. So in any ring  $R$  where  $2 = 0$ , we know every vector bundle is  $R$ -orientable. This is a generalization of the previous result for  $\mathbb{F}_2$  we had.

### 10.3 The Thom isomorphism theorem

We now get to the main theorem about vector bundles.

**Theorem** (Thom isomorphism theorem). Let  $\pi : E \rightarrow X$  be a  $d$ -dimensional vector bundle, and  $\{\varepsilon_x\}_{x \in X}$  be an  $R$ -orientation of  $E$ . Then

- (i)  $H^i(E, E^\#; R) = 0$  for  $i < d$ .
- (ii) There is a unique class  $u_E \in H^d(E, E^\#; R)$  which restricts to  $\varepsilon_x$  on each fiber. This is known as the *Thom class*.
- (iii) The map  $\Phi$  given by the composition

$$H^i(X; R) \xrightarrow{\pi^*} H^i(E; R) \xrightarrow{\sim \smile u_E} H^{i+d}(E, E^\#; R)$$

is an isomorphism.

Note that (i) follows from (iii), since  $H^i(X; R) = 0$  for  $i < 0$ .

Before we go on and prove this, we talk about why it is useful.

**Definition** (Euler class). Let  $\pi : E \rightarrow X$  be a vector bundle. We define the *Euler class*  $e(E) \in H^d(X; R)$  by the image of  $u_E$  under the composition

$$H^d(E, E^\#; R) \longrightarrow H^d(E; R) \xrightarrow{s_0^*} H^d(X; R).$$

This is an example of a *characteristic class*, which is a cohomology class related to an oriented vector bundle that behaves nicely under pullback. More precisely, given a vector bundle  $\pi : E \rightarrow X$  and a map  $f : Y \rightarrow X$ , we can form a pullback

$$\begin{array}{ccc} f^*E & \xrightarrow{f} & E \\ \downarrow f^*\pi & & \downarrow \pi \\ Y & \xrightarrow{f} & X \end{array}$$

Since we have a fiberwise isomorphism  $(f^*E)_y \cong E_{f(y)}$ , an  $R$ -orientation for  $E$  induces one for  $f^*E$ , and we know  $f^*(u_E) = u_{f^*E}$  by uniqueness of the Thom class. So we know

$$e(f^*(E)) = f^*e(E) \in H^d(Y; R).$$

Now the Euler class is a cohomology class of  $X$  itself, so we can use the Euler class to compare and contrast different vector bundles.

How can we think of the Euler class? It turns out the Euler class gives us an obstruction to the vector bundle having a non-zero section.

**Theorem.** If there is a section  $s : X \rightarrow E$  which is nowhere zero, then  $e(E) = 0 \in H^d(X; R)$ .

*Proof.* Notice that any two sections of  $E \rightarrow X$  are homotopic. So we have  $e \equiv s_0^*u_E = s^*u_E$ . But since  $u_E \in H^d(E, E^\#; R)$ , and  $s$  maps into  $E^\#$ , we have  $s^*u_E = 0$ .

Perhaps more precisely, we look at the long exact sequence for the pair  $(E, E^\#)$ , giving the diagram

$$\begin{array}{ccccc} H^d(E, E^\#; R) & \longrightarrow & H^d(E; R) & \longrightarrow & H^d(E^\#; R) \\ & & \downarrow s_0^* & \swarrow s^* & \\ & & H^d(X; R) & & \end{array}$$

Since  $s$  and  $s_0$  are homotopic, the diagram commutes. Also, the top row is exact. So  $u_E \in H^d(E, E^\#; R)$  gets sent along the top row to  $0 \in H^d(E^\#; R)$ , and thus  $s^*$  sends it to  $0 \in H^d(X; R)$ . But the image in  $H^d(X; R)$  is exactly the Euler class. So the Euler class vanishes.  $\square$

Now cohomology is not only a bunch of groups, but also a ring. So we can ask what happens when we cup  $u_E$  with itself.

**Theorem.** We have

$$u_E \smile u_E = \Phi(e(E)) = \pi^*(e(E)) \smile u_E \in H^*(E, E^\#; R).$$

This is just tracing through the definitions.

*Proof.* By construction, we know the following maps commute:

$$\begin{array}{ccc} H^d(E, E^\#; R) \otimes H^d(E, E^\#; R) & \xrightarrow{\smile} & H^{2d}(E, E^\#; R) \\ \downarrow q^* \otimes \text{id} & \searrow \smile & \\ H^d(E; R) \otimes H^d(E, E^\#; R) & & \end{array}$$

We claim that the Thom class  $u_E \otimes u_E \in H^d(E, E^\#; R) \otimes H^d(E, E^\#; R)$  is sent to  $\pi^*(e(E)) \otimes u_E \in H^d(E; R) \otimes H^d(E, E^\#; R)$ .

By definition, this means we need

$$q^*u_E = \pi^*(e(E)),$$

and this is true because  $\pi^*$  is homotopy inverse to  $s_0^*$  and  $e(E) = s_0^*q^*u_E$ .  $\square$

So if we have two elements  $\Phi(c), \Phi(d) \in H^*(E, E^\#; R)$ , then we have

$$\begin{aligned} \Phi(c) \smile \Phi(d) &= \pi^*c \smile u_E \smile \pi^*d \smile u_E \\ &= \pm \pi^*c \smile \pi^*d \smile u_E \smile u_E \\ &= \pm \pi^*(c \smile d \smile e(E)) \smile u_E \\ &= \pm \Phi(c \smile d \smile e(E)). \end{aligned}$$

So  $e(E)$  is precisely the information necessary to recover the cohomology ring  $H^*(E, E^\#; R)$  from  $H^*(X; R)$ .

**Lemma.** If  $\pi : E \rightarrow X$  is a  $d$ -dimensional  $R$ -module vector bundle with  $d$  odd, then  $2e(E) = 0 \in H^d(X; R)$ .

*Proof.* Consider the map  $\alpha : E \rightarrow E$  given by negation on each fiber. This then gives an isomorphism

$$a^* : H^d(E, E^\#; R) \xrightarrow{\cong} H^d(E, E^\#; R).$$

This acts by negation on the Thom class, i.e.

$$a^*(u_E) = -u_E,$$

as on the fiber  $E_x$ , we know  $a$  is given by an odd number of reflections, each of which acts on  $H^d(E_x, E_x^\#; R)$  by  $-1$  (by the analogous result on  $S^n$ ). So we change  $\varepsilon_x$  by a sign. We then lift this to a statement about  $u_E$  by the fact that  $u_E$  is the unique thing that restricts to  $\varepsilon_x$  for each  $x$ .

But we also know

$$a \circ s_0 = s_0,$$

which implies

$$s_0^*(a^*(u_E)) = s_0^*(u_E).$$

Combining this with the result that  $a^*(u_E) = -u_E$ , we get that

$$2e(E) = 2s_0^*(u_E) = 0. \quad \square$$

This is a disappointing result, because if we already know that  $H^d(X; R)$  has no 2-torsion, then  $e(E) = 0$ .

After all that fun, we prove the Thom isomorphism theorem.

*Proof of Thom isomorphism theorem.* We will drop the “ $R$ ” in all our diagrams for readability (and also so that it fits in the page).

We first consider the case where the bundle is trivial, so  $E = X \times \mathbb{R}^d$ . Then we note that

$$H^*(\mathbb{R}^d, \mathbb{R}^d \setminus \{0\}) = \begin{cases} R & * = d \\ 0 & * \neq d \end{cases}.$$



In particular, the modules are free, and (a relative version of) Künneth's theorem tells us the map

$$\times : H^*(X) \otimes H^*(\mathbb{R}^d, \mathbb{R}^d \setminus \{0\}) \xrightarrow{\cong} H^*(X \times \mathbb{R}^d, X \times (\mathbb{R}^d \setminus \{0\}))$$

is an isomorphism. Then the claims of the Thom isomorphism theorem follow immediately.

- (i) For  $i < d$ , all the summands corresponding to  $H^i(X \times \mathbb{R}^d, X \times (\mathbb{R}^d \setminus \{0\}))$  vanish since the  $H^*(\mathbb{R}^d, \mathbb{R}^d \setminus \{0\})$  term vanishes.
- (ii) The only non-vanishing summand for  $H^d(X \times \mathbb{R}^d, X \times (\mathbb{R}^d \setminus \{0\}))$  is

$$H^0(X) \otimes H^d(\mathbb{R}^d, \mathbb{R}^d \setminus \{0\}).$$

Then the Thom class must be  $1 \otimes u$ , where  $u$  is the object corresponding to  $\varepsilon_x \in H^d(E_x, E_x^\#) = H^d(\mathbb{R}^d, \mathbb{R}^d \setminus \{0\})$ , and this is unique.

- (iii) We notice that  $\Phi$  is just given by

$$\Phi(x) = \pi^*(x) \smile u_E = x \times u_E,$$

which is an isomorphism by Künneth.

We now patch the result up for a general bundle. Suppose  $\pi : E \rightarrow X$  is a bundle. Then it has an open cover of trivializations, and moreover if we assume our  $X$  is compact, there are finitely many of them. So it suffices to show that if  $U, V \subseteq X$  are open sets such that the Thom isomorphism holds for  $E$  restricted to  $U, V, U \cap V$ , then it also holds on  $U \cup V$ .

The relative Mayer-Vietoris sequence gives us

$$\begin{array}{c} H^{d-1}(E|_{U \cap V}, E^\#|_{U \cap V}) \xrightarrow{\partial^{MV}} H^d(E|_{U \cup V}, E^\#|_{U \cup V}) \longrightarrow \\ \longleftarrow H^d(E|_U, E^\#|_U) \oplus H^d(E|_V, E^\#|_V) \longrightarrow H^d(E|_{U \cap V}, E^\#|_{U \cap V}). \end{array}$$

We first construct the Thom class. We have

$$u_{E|_V} \in H^d(E|_V, E^\#), \quad u_{E|_U} \in H^d(E|_U, E^\#).$$

We claim that  $(u_{E|_U}, u_{E|_V}) \in H^d(E|_U, E^\#|_U) \oplus H^d(E|_V, E^\#|_V)$  gets sent to 0 by  $i_U^* - i_V^*$ . Indeed, both the restriction of  $u_{E|_U}$  and  $u_{E|_V}$  to  $U \cap V$  are Thom classes, so they are equal by uniqueness, so the difference vanishes.

Then by exactness, there must be some  $u_{E|_{U \cup V}} \in H^d(E|_{U \cup V}, E^\#|_{U \cup V})$  that restricts to  $u_{E|_U}$  and  $u_{E|_V}$  in  $U$  and  $V$  respectively. Then this must be a Thom class, since the property of being a Thom class is checked on each fiber. Moreover, we get uniqueness because  $H^{d-1}(E|_{U \cap V}, E^\#|_{U \cap V}) = 0$ , so  $u_{E|_U}$  and  $u_{E|_V}$  must be the restriction of a unique thing.

The last part in the Thom isomorphism theorem come from a routine application of the five lemma, and the first part follows from the last as previously mentioned.  $\square$

### 10.4 Gysin sequence

Now we do something interesting with vector bundles. We will come up with a long exact sequence associated to a vector bundle, known as the *Gysin sequence*. We will then use the Gysin sequence to deduce something about the *base* space.

Suppose we have a  $d$ -dimensional vector bundle  $\pi : E \rightarrow X$  that is  $R$ -oriented. We want to talk about the unit sphere in every fiber of  $E$ . But to do so, we need to have a notion of length, and to do that, we want an inner product. But luckily, we do have one, and we know that any two norms on a finite-dimensional vector space are equivalent. So we might as well arbitrarily choose one.

**Definition** (Sphere bundle). Let  $\pi : E \rightarrow X$  be a vector bundle, and let  $\langle \cdot, \cdot \rangle : E \otimes E \rightarrow \mathbb{R}$  be an inner product, and let

$$S(E) = \{v \in E; \langle v, v \rangle = 1\} \subseteq E.$$

This is the *sphere bundle* associated to  $E$ .

Since the unit sphere is homotopy equivalent to  $\mathbb{R}^d \setminus \{0\}$ , we know the inclusion

$$j : S(E) \hookrightarrow E^\#$$

is a homotopy equivalence, with inverse given by normalization.

The long exact sequence for the pair  $(E, E^\#)$  gives (as before, we do not write the  $R$ ):

$$\begin{array}{ccccccc} H^{i+d}(E, E^\#) & \longrightarrow & H^{i+d}(E) & \longrightarrow & H^{i+d}(E^\#) & \longrightarrow & H^{i+d+1}(E, E^\#) \\ \Phi \uparrow & & \pi^* \uparrow \downarrow s_0^* & & \downarrow j^* & & \Phi \uparrow \\ H^i(X) & \xrightarrow{\sim e(E)} & H^{i+d}(X) & \xrightarrow{p^*} & H^{i+d}(S(E)) & \xrightarrow{p_!} & H^{i+1}(X) \end{array}$$

where  $p : S(E) \rightarrow E$  is the projection, and  $p_!$  is whatever makes the diagram commute (since  $j^*$  and  $\Phi$  are isomorphisms). The bottom sequence is the *Gysin sequence*, and it is exact because the top row is exact. This is in fact a long exact sequence of  $H^*(X; R)$ -modules, i.e. the maps commute with cup products.

**Example.** Let  $L = \gamma_{1, n+1}^{\mathbb{C}} \rightarrow \mathbb{C}P^n = \text{Gr}_1(\mathbb{C}^{n+1})$  be the tautological 1 complex dimensional vector bundle on  $\text{Gr}_1(\mathbb{C}^{n+1})$ . This is  $\mathbb{Z}$ -oriented as any complex vector bundle is, because if we consider the inclusion

$$\text{GL}_1(\mathbb{C}) \hookrightarrow \text{GL}_2(\mathbb{R})$$

obtained by pretending  $\mathbb{C}$  is  $\mathbb{R}^2$ , we know  $\text{GL}_1(\mathbb{C})$  is connected, so lands in the component of the identity, so has positive determinant. The sphere bundle consists of

$$S(L) = \{(V, v) \in \mathbb{C}P^n \times \mathbb{C}^{n+1} : v \in V, |v| = 1\} \cong \{v \in \mathbb{C}^{n+1} : |v| = 1\} \cong S^{2n+1},$$

where the middle isomorphism is given by

$$\begin{aligned} (V, v) &\longmapsto v \\ (\langle v \rangle, v) &\longleftarrow v \end{aligned}$$

The Gysin sequence is

$$H^{i+1}(S^{2n+1}) \xrightarrow{p^!} H^i(\mathbb{C}\mathbb{P}^n) \xrightarrow{\smile e(L)} H^{i+2}(\mathbb{C}\mathbb{P}^n) \xrightarrow{p^*} H^{i+2}(S^{2n+1})$$

Now if  $i \leq 2n - 2$ , then both outer terms are 0. So the maps in the middle are isomorphisms. Thus we get isomorphisms

$$\begin{array}{ccccc} H^0(\mathbb{C}\mathbb{P}^n) & \xrightarrow{\smile e(L)} & H^2(\mathbb{C}\mathbb{P}^n) & \xrightarrow{\smile e(L)} & H^4(\mathbb{C}\mathbb{P}^n) & \longrightarrow & \dots \\ \parallel & & \parallel & & \parallel & & \\ \mathbb{Z} \cdot 1 & & \mathbb{Z} \cdot e(L) & & \mathbb{Z} \cdot e(L)^2 & & \end{array}$$

Similarly, we know that the terms in the odd degree vanish.

Checking what happens at the end points carefully, the conclusion is that

$$H^*(\mathbb{C}\mathbb{P}^n) = \mathbb{Z}[e(L)]/(e(L)^{n+1})$$

as a ring.

**Example.** We do the real case of the above computation. We have

$$K = \gamma_{1,n+1}^{\mathbb{R}} \rightarrow \mathbb{R}\mathbb{P}^n = \text{Gr}_1(\mathbb{R}^{n+1}).$$

The previous trick doesn't work, and indeed this isn't  $\mathbb{Z}$ -orientable. However, it is  $\mathbb{F}_2$ -oriented as every vector bundle is, and by exactly the same argument, we know

$$S(L) \cong S^n.$$

So by the same argument as above, we will find that

$$H^*(\mathbb{R}\mathbb{P}^n, \mathbb{F}_2) \cong \mathbb{F}_2[e(L)]/(e(L)^{n+1}).$$

Note that this is different from the one we had before, because here  $\deg e(L) = 1$ , while the complex case had degree 2.

## 11 Manifolds and Poincaré duality

We are going to prove Poincaré duality, and then use it to prove a lot of things about manifolds. Poincaré duality tells us that for a compact oriented manifold  $M$  of dimension  $n$ , we have

$$H_d(M) \cong H^{n-d}(M).$$

To prove this, we will want to induct over covers of  $M$ . However, given a compact manifold, the open covers are in general not compact. We get compactness only when we join all of them up. So we need to come up with a version of Poincaré duality that works for non-compact manifolds, which is less pretty and requires the notion of compactly supported cohomology.

### 11.1 Compactly supported cohomology

**Definition** (Support of cochain). Let  $\varphi \in C^n(X)$  be a cochain. We say  $\varphi$  has *support* in  $S \subseteq X$  if whenever  $\sigma : \Delta^n \hookrightarrow X \setminus S \subseteq X$ , then  $\varphi(\sigma) = 0$ . In this case,  $d\varphi$  also has support in  $S$ .

Note that this has a slight subtlety. The definition only requires that if  $\sigma$  lies *completely* outside  $S$ , then  $\varphi(\sigma)$  vanishes. However, we can have simplices that extends very far and only touches  $S$  slightly, and the support does not tell us anything about the value of  $\sigma$ . Later, we will get around this problem by doing sufficient barycentric subdivision.

**Definition** (Compactly-supported cochain). Let  $C_c^\bullet(X) \subseteq C^\bullet(X)$  be the sub-chain complex consisting of these  $\varphi$  which has support in *some* compact  $K \subseteq X$ .

Note that this makes sense — we have seen that if  $\varphi$  has support in  $K$ , then  $d\varphi$  has support in  $K$ . To see it is indeed a sub-chain complex, we need to show that  $C_c^\bullet(X)$  is a subgroup! Fortunately, if  $\varphi$  has support on  $K$ , and  $\psi$  has support in  $L$ , then  $\varphi + \psi$  has support in  $K \cup L$ , which is compact.

**Definition** (Compactly-supported cohomology). The *compactly supported cohomology* of  $X$  is

$$H_c^*(X) = H^*(C_c^\bullet(X)).$$

Note that we can write

$$C_c^\bullet(X) = \bigcup_{K \text{ compact}} C^\bullet(X, X \setminus K) \subseteq C^\bullet(X).$$

We would like to say that the compactly supported *cohomology* is also “built out of” those relative cohomology, but we cannot just take the union, because the relative cohomology is not a subgroup of  $H^*(X)$ . To do that, we need something more fancy.

**Definition** (Directed set). A *directed set* is a partial order  $(I, \leq)$  such that for all  $i, j \in I$ , there is some  $k \in I$  such that  $i \leq k$  and  $j \leq k$ .

**Example.** Any total order is a directed system.

**Example.**  $\mathbb{N}$  with divisibility  $|$  as the partial order is a directed system.

**Definition** (Direct limit). Let  $I$  be a directed set. An *direct system* of abelian groups indexed by  $I$  is a collection of abelian groups  $G_i$  for each  $i \in I$  and homomorphisms

$$\rho_{ij} : G_i \rightarrow G_j$$

for all  $i, j \in I$  such that  $i \leq j$ , such that

$$\rho_{ii} = \text{id}_{G_i}$$

and

$$\rho_{ik} = \rho_{jk} \circ \rho_{ij}$$

whenever  $i \leq j \leq k$ .

We define the *direct limit* on the system  $(G_i, \rho_{ij})$  to be

$$\varinjlim_{i \in I} G_i = \left( \bigoplus_{i \in I} G_i \right) / \langle x - \rho_{ij}(x) : x \in G_i \rangle.$$

The underlying set of it is

$$\left( \prod_{i \in I} G_i \right) / \{x \sim \rho_{ij}(x) : x \in G_i\}.$$

In terms of the second description, the group operation is given as follows: given  $x \in G_i$  and  $y \in G_j$ , we find some  $k$  such that  $i, j \leq k$ . Then we can view  $x, y$  as elements as  $G_k$  and do the operation there. It is an exercise to show that these two descriptions are indeed the same.

Now observe that if  $J \subseteq I$  is a sub-directed set such that for all  $a \in I$ , there is some  $b \in J$  such that  $a \leq b$ . Then we have

$$\varinjlim_{i \in J} G_i \cong \varinjlim_{i \in I} G_i.$$

So our claim is now

**Theorem.** For any space  $X$ , we let

$$\mathcal{K}(X) = \{K \subseteq X : K \text{ is compact}\}.$$

This is a directed set under inclusion, and the map

$$K \mapsto H^n(X, X \setminus K)$$

gives a direct system of abelian groups indexed by  $\mathcal{K}(X)$ , where the maps  $\rho$  are given by restriction.

Then we have

$$H_c^*(X) \cong \varinjlim_{\mathcal{K}(X)} H^n(X, X \setminus K).$$

*Proof.* We have

$$C_c^n(X) \cong \varinjlim_{\mathcal{K}(X)} C^n(X, X \setminus K),$$

where we have a map

$$\varinjlim_{K(\alpha)} C^n(X, X \setminus K) \rightarrow C_c^n(X)$$

given in each component of the direct limit by inclusion, and it is easy to see that this is well-defined and bijective.

It is then a general algebraic fact that  $H^*$  commutes with inverse limits, and we will not prove it.  $\square$

**Lemma.** We have

$$H_c^i(\mathbb{R}^d; R) \cong \begin{cases} R & i = d \\ 0 & \text{otherwise} \end{cases}.$$

*Proof.* Let  $\mathcal{B} \in \mathcal{K}(\mathbb{R}^d)$  be the balls, namely

$$\mathcal{B} = \{nD^d, n = 0, 1, 2, \dots\}.$$

Then since every compact set is contained in one of them, we have

$$H_c^n(X) \cong \varinjlim_{K \in \mathcal{K}(\mathbb{R}^d)} H^n(\mathbb{R}^d, \mathbb{R}^d \setminus K; R) \cong \varinjlim_{nD^d \in \mathcal{B}} H^n(\mathbb{R}^d, \mathbb{R}^d \setminus nD^d; R)$$

We can compute that directly. Since  $\mathbb{R}^d$  is contractible, the connecting map

$$H^i(\mathbb{R}^d, \mathbb{R}^d \setminus nD^d; R) \rightarrow H^{i-1}(\mathbb{R}^d \setminus nD^d; R)$$

in the long exact sequence is an isomorphism. Moreover, the following diagram commutes:

$$\begin{array}{ccc} H^i(\mathbb{R}^d, \mathbb{R}^d \setminus nD^n; R) & \xrightarrow{\rho_{n,n+1}} & H^i(\mathbb{R}^d, \mathbb{R}^d \setminus (n+1)D^d; R) \\ \downarrow \partial & & \downarrow \partial \\ H^{i-1}(\mathbb{R}^d \setminus nD^d; R) & \longrightarrow & H^{i-1}(\mathbb{R}^d \setminus (n+1)D^d; R) \end{array}$$

But all maps here are isomorphisms because the horizontal maps are homotopy equivalences. So we know

$$\varinjlim H^i(\mathbb{R}^d, \mathbb{R}^d \setminus nD^d; R) \cong H^i(\mathbb{R}^d, \mathbb{R}^d \setminus \{0\}; R) \cong H^{i-1}(\mathbb{R}^d \setminus \{0\}; R).$$

So it follows that

$$H^i(\mathbb{R}^d, \mathbb{R}^d \setminus \{0\}; R) = \begin{cases} \mathbb{R} & i = d \\ 0 & \text{otherwise} \end{cases}. \quad \square$$

In general, this is how we always compute compactly-supported cohomology — we pick a suitable subset of  $\mathcal{K}(X)$  and compute the limit of that instead.

Note that compactly-supported cohomology is *not* homotopy-invariant! It knows about the dimension of  $\mathbb{R}^d$ , since the notion of compactness is not homotopy invariant. Even worse, in general, a map  $f : X \rightarrow Y$  does not induce a map  $f^* : H_c^*(Y) \rightarrow H_c^*(X)$ . Indeed, the usual map does not work because the preimage of a compact set of a compact set is not necessarily compact.

**Definition** (Proper map). A map  $f : X \rightarrow Y$  of spaces is *proper* if the preimage of a compact space is compact.

Now if  $f$  is proper, then it does induce a map  $H_c^*(\cdot)$  by the usual construction.

From now on, we will assume all spaces are Hausdorff, so that all compact subsets are closed. This isn't too bad a restriction since we are ultimately interested in manifolds, which are by definition compact.

Let  $i : U \rightarrow X$  be the inclusion of an open subspace. We let  $K \subseteq U$  be compact. Then by excision, we have an isomorphism

$$H^*(U, U \setminus K) \cong H^*(X, X \setminus K),$$

since the thing we cut out, namely  $X \setminus U$ , is already closed, and  $U \setminus K$  is open, since  $K$  is closed.

So a compactly supported cohomology class on  $U$  gives one on  $X$ . So we get a map

$$i_* : H_c^*(U) \rightarrow H_c^*(X).$$

We call this “extension by zero”. Indeed, this is how the cohomology class works — if you have a cohomology class  $\phi$  on  $U$  supported on  $K \subseteq U$ , then given any simplex in  $X$ , if it lies inside  $U$ , we know how to evaluate it. If it lies outside  $K$ , then we just send it to zero. Then by barycentric subdivision, we can assume every simplex is either inside  $U$  or outside  $K$ , so we are done.

**Example.** If  $i : U \rightarrow \mathbb{R}^d$  is an open ball, then the map

$$i_* : H_c^* \rightarrow H_c^*(\mathbb{R}^d)$$

is an isomorphism. So each cohomology class is equivalent to something with a support as small as we like.

Since it is annoying to write  $H^n(X, X \setminus K)$  all the time, we write

$$H^n(X | K; R) = H^n(X, X \setminus K; R).$$

By excision, this depends only on a neighbourhood of  $K$  in  $X$ . In the case where  $K$  is a point, this is local cohomology at a point. So it makes sense to call this *local cohomology near  $K \subseteq X$* .

Our end goal is to produce a Mayer-Vietoris for compactly-supported cohomology. But before we do that, we first do it for local cohomology.

**Proposition.** Let  $K, L \subseteq X$  be compact. Then there is a long exact sequence

$$\begin{array}{ccccccc} H^n(X | K \cap L) & \longrightarrow & H^n(X | K) \oplus H^n(X | L) & \longrightarrow & H^n(X | K \cup L) & \longrightarrow & \\ & & \partial & & & & \\ \longleftarrow H^{n+1}(X | K \cap L) & \longrightarrow & H^{n+1}(X | K) \oplus H^{n+1}(X | L) & \longrightarrow & \dots & & \end{array}$$

where the unlabelled maps are those induced by inclusion.

We are going to prove this by relating it to a Mayer-Vietoris sequence of some sort.

*Proof.* We cover  $X \setminus K \cap L$  by

$$\mathcal{U} = \{X \setminus K, X \setminus L\}.$$

We then draw a huge diagram (here  $*$  denotes the dual, i.e.  $X^* = \text{Hom}(X; R)$ , and  $C^*(X | K) = C^*(X, X \setminus K)$ ):

$$\begin{array}{ccccccc}
& & 0 & & 0 & & 0 \\
& & \downarrow & & \downarrow & & \downarrow \\
0 & \rightarrow & \left( \frac{C_\bullet(x)}{C_\bullet^{\mathcal{U}}(X \setminus K \cap L)} \right)^* & \rightarrow & C^*(X | K) \oplus C^*(X | L) & \rightarrow & C^*(X | K \cup L) \rightarrow 0 \\
& & \downarrow & & \downarrow & & \downarrow \\
0 & \longrightarrow & C^*(X) & \xrightarrow{(\text{id}, -\text{id})} & C^*(X) \oplus C^*(X) & \xrightarrow{\text{id} + \text{id}} & C^*(X) \longrightarrow 0 \\
& & \downarrow & & \downarrow & & \downarrow \\
0 & \rightarrow & C_\bullet^*(X \setminus K \cap L) & \xrightarrow{(j_1^*, -j_2^*)} & C^*(X \setminus K) \oplus C^*(X \setminus L) & \xrightarrow{i_1^* + i_2^*} & C^*(X \setminus K \cup L) \rightarrow 0 \\
& & \downarrow & & \downarrow & & \downarrow \\
& & 0 & & 0 & & 0
\end{array}$$

This is a diagram. Certainly.

The bottom two rows and all columns are exact. By a diagram chase (the *nine lemma*), we know the top row is exact. Taking the long exact sequence almost gives what we want, except the first term is a funny thing.

We now analyze that object. We look at the left vertical column:

$$0 \rightarrow \text{Hom} \left( \frac{C_\bullet(x)}{C_\bullet^{\mathcal{U}}(X \setminus K \cap L)}, R \right) \rightarrow C^*(X) \rightarrow \text{Hom}(C_\bullet^{\mathcal{U}}(X \setminus K \cap L), R) \rightarrow 0$$

Now by the small simplices theorem, the right hand object gives the same (co)homology as  $C_\bullet(X \setminus K \cap L; R)$ . So we can produce another short exact sequence:

$$\begin{array}{ccccccc}
0 & \rightarrow & \text{Hom} \left( \frac{C_\bullet(x)}{C_\bullet^{\mathcal{U}}(X \setminus K \cap L)}, R \right) & \rightarrow & C^*(X) & \rightarrow & \text{Hom}(C_\bullet^{\mathcal{U}}(X \setminus K \cap L), R) \rightarrow 0 \\
& & \uparrow & & \parallel & & \uparrow \\
0 & \longrightarrow & C^*(X, X \setminus K \cap L) & \longrightarrow & C^*(X) & \rightarrow & \text{Hom}(C_\bullet(X \setminus K \cap L), R) \rightarrow 0
\end{array}$$

Now the two right vertical arrows induce isomorphisms when we pass on to homology. So by taking the long exact sequence, the five lemma tells us the left hand map is an isomorphism on homology. So we know

$$H_* \left( \text{Hom} \left( \frac{C_\bullet(x)}{C_\bullet^{\mathcal{U}}(X \setminus K \cap L)}, R \right) \right) \cong H^*(X | K \cap L).$$

So the long exact of the top row gives what we want.  $\square$



**Corollary.** Let  $X$  be a manifold, and  $X = A \cup B$ , where  $A, B$  are open sets. Then there is a long exact sequence

$$\begin{array}{ccccccc} H_c^n(A \cap B) & \longrightarrow & H_c^n(A) \oplus H_c^n(B) & \longrightarrow & H_c^n(X) & \longrightarrow & \cdots \\ & & \searrow \partial & & & & \\ \cdots & \longrightarrow & H_c^{n+1}(A \cap B) & \longrightarrow & H_c^{n+1}(A) \oplus H_c^{n+1}(B) & \longrightarrow & \cdots \end{array}$$

Note that the arrows go in funny directions, which is different from both homology and cohomology versions!

*Proof.* Let  $K \subseteq A$  and  $L \subseteq B$  be compact sets. Then by excision, we have isomorphisms

$$\begin{aligned} H^n(X | K) &\cong H^n(A | K) \\ H^n(X | L) &\cong H^n(B | L) \\ H^n(X | K \cap L) &\cong H^n(A \cap B | K \cap L). \end{aligned}$$

So the long exact sequence from the previous proposition gives us

$$\begin{array}{ccccccc} H^n(A \cap B | K \cap L) & \longrightarrow & H^n(A | K) \oplus H^n(B | L) & \longrightarrow & H^n(X | K \cup L) & \longrightarrow & \cdots \\ & & \searrow \partial & & & & \\ \cdots & \longrightarrow & H^{n+1}(A \cap B | K \cap L) & \longrightarrow & H^{n+1}(A | K) \oplus H^{n+1}(B | L) & \longrightarrow & \cdots \end{array}$$

The next step is to take the direct limit over  $K \in \mathcal{K}(A)$  and  $L \in \mathcal{K}(B)$ . We need to make sure that these do indeed give the right compactly supported cohomology. The terms  $H^n(A | K) \oplus H^n(B | L)$  are exactly right, and the one for  $H^n(A \cap B | K \cap L)$  also works because every compact set in  $A \cap B$  is a compact set in  $A$  intersect a compact set in  $B$  (take those to be both the original compact set).

So we get a long exact sequence

$$H_c^n(A \cap B) \rightarrow H_c^n(A) \oplus H_c^n(B) \rightarrow \varinjlim_{\substack{K \in \mathcal{K}(A) \\ L \in \mathcal{K}(B)}} H^n(X | K \cup L) \xrightarrow{\cong} H_c^{n+1}(A \cap B)$$

To show that that funny direct limit is really what we want, we have to show that every compact set  $C \in X$  lies inside some  $K \cup L$ , where  $K \subseteq A$  and  $L \subseteq B$  are compact.

Indeed, as  $X$  is a manifold, and  $C$  is compact, we can find a finite set of closed balls in  $X$ , each in  $A$  or in  $B$ , such that their interiors cover  $C$ . So done. (In general, this will work for any locally compact space)  $\square$

This is all we have got to say about compactly supported cohomology. We now start to talk about manifolds.

### 11.2 Orientation of manifolds

Similar to the case of vector bundles, we will only work with manifolds with orientation. The definition of orientation of a manifold is somewhat similar

to the definition of orientation of a vector bundle. Indeed, it is true that an orientation of a manifold is just an orientation of the tangent bundle, but we will not go down that route, because the description we use for orientation here will be useful later on. After defining orientation, we will prove a result similar to (the first two parts of) the Thom isomorphism theorem.

For a  $d$ -manifold  $M$  and  $x \in M$ , we know that

$$H_i(M | x; R) \cong \begin{cases} R & i = d \\ 0 & i \neq d \end{cases}.$$

We can then define a *local orientation* of a manifold to be a generator of this group.

**Definition** (Local  $R$ -orientation of manifold). For a  $d$ -manifold  $M$ , a local  $R$ -orientation of  $M$  at  $x$  is an  $R$ -module generator  $\mu_x = H_d(M | x; R)$ .

**Definition** ( $R$ -orientation). An  $R$ -orientation of  $M$  is a collection  $\{\mu_x\}_{x \in M}$  of local  $R$ -orientations such that if

$$\varphi : \mathbb{R}^d \rightarrow U \subseteq M$$

is a chart of  $M$ , and  $p, q \in \mathbb{R}^d$ , then the composition of isomorphisms

$$\begin{array}{ccc} H_d(M | \varphi(p)) & \xrightarrow{\sim} & H_d(U | \varphi(p)) \xleftarrow{\sim_{\varphi_*}} H_d(\mathbb{R}^d | p) \\ & & \downarrow \sim \\ H_d(M | \varphi(q)) & \xrightarrow{\sim} & H_d(U | \varphi(q)) \xleftarrow{\sim_{\varphi_*}} H_d(\mathbb{R}^d | q) \end{array}$$

sends  $\mu_x$  to  $\mu_y$ , where the vertical isomorphism is induced by a translation of  $\mathbb{R}^d$ .

**Definition** (Orientation-preserving homeomorphism). For a homomorphism  $f : U \rightarrow V$  with  $U, V \in \mathbb{R}^d$  open, we say  $f$  is  $R$ -orientation-preserving if for each  $x \in U$ , and  $y = f(x)$ , the composition

$$\begin{array}{ccc} H_d(\mathbb{R}^d | 0; R) & \xrightarrow{\text{translation}} & H_d(\mathbb{R}^d | x; R) \xrightarrow{\text{excision}} H_d(U | x; R) \\ & & \downarrow f_* \\ H_d(\mathbb{R}^d | 0; R) & \xrightarrow{\text{translation}} & H_d(\mathbb{R}^d | y; R) \xrightarrow{\text{excision}} H_d(V | y; R) \end{array}$$

is the identity  $H_d(\mathbb{R}^d | 0; R) \rightarrow H_d(\mathbb{R}^d | 0; R)$ .

As before, we have the following lemma:

**Lemma.**

- (i) If  $R = \mathbb{F}_2$ , then every manifold is  $R$ -orientable.
- (ii) If  $\{\varphi_\alpha : \mathbb{R}^d \rightarrow U_\alpha \subseteq M\}$  is an open cover of  $M$  by Euclidean space such that each homeomorphism

$$\mathbb{R}^d \supseteq \varphi_\alpha^{-1}(U_\alpha \cap U_\beta) \xleftarrow{\varphi_\alpha^{-1}} U_\alpha \cap U_\beta \xrightarrow{\varphi_\beta^{-1}} \varphi_\beta^{-1}(U_\alpha \cap U_\beta) \subseteq \mathbb{R}^d$$

is orientation-preserving, then  $M$  is  $R$ -orientable.

*Proof.*

- (i)  $\mathbb{F}_2$  has a unique  $\mathbb{F}_2$ -module generator.
- (ii) For  $x \in U_\alpha$ , we define  $\mu_x$  to be the image of the standard orientation of  $\mathbb{R}^d$  via

$$H_d(M | x) \xleftarrow{\cong} H_\alpha(U_d | x) \xleftarrow{(\varphi_\alpha)_*} H_d(\mathbb{R}^d | \varphi_\alpha^{-1}(x)) \xleftarrow{\text{trans.}} \mathbb{R}_d(\mathbb{R}^d | 0)$$

If this is well-defined, then it is obvious that this is compatible. However, we have to check it is well-defined, because to define this, we need to pick a chart.

If  $x \in U_\beta$  as well, we need to look at the corresponding  $\mu'_x$  defined using  $U_\beta$  instead. But they have to agree by definition of orientation-preserving.  $\square$

Finally, we get to the theorem:

**Theorem.** Let  $M$  be an  $R$ -oriented manifold and  $A \subseteq M$  be compact. Then

- (i) There is a unique class  $\mu_A \in H_d(M | A; R)$  which restricts to  $\mu_x \in H_d(M | x; R)$  for all  $x \in A$ .
- (ii)  $H_i(M | A; R) = 0$  for  $i > d$ .

*Proof.* Call a compact set  $A$  “good” if it satisfies the conclusion of the theorem.

**Claim.** We first show that if  $K, L$  and  $K \cap L$  is good, then  $K \cup L$  is good.

This is analogous to the proof of the Thom isomorphism theorem, and we will omit this.

Now our strategy is to prove the following in order:

- (i) If  $A \subseteq \mathbb{R}^d$  is convex, then  $A$  is good.
- (ii) If  $A \subseteq \mathbb{R}^d$ , then  $A$  is good.
- (iii) If  $A \subseteq M$ , then  $A$  is good.

**Claim.** If  $A \subseteq \mathbb{R}^d$  is convex, then  $A$  is good.

Let  $x \in A$ . Then we have an inclusion

$$\mathbb{R}^d \setminus A \hookrightarrow \mathbb{R}^d \setminus \{x\}.$$

This is in fact a homotopy equivalence by scaling away from  $x$ . Thus the map

$$H_i(\mathbb{R}^d | A) \rightarrow H_i(\mathbb{R}^d | x)$$

is an isomorphism by the five lemma for all  $i$ . Then in degree  $d$ , there is some  $\mu_A$  corresponding to  $\mu_x$ . This  $\mu_A$  is then has the required property by definition of orientability. The second part of the theorem also follows by what we know about  $H_i(\mathbb{R}^d | x)$ .

**Claim.** If  $A \subseteq \mathbb{R}^d$ , then  $A$  is good.

For  $A \subseteq \mathbb{R}^d$  compact, we can find a finite collection of closed balls  $B_i$  such that

$$A \subseteq \bigcup_{i=1}^n \mathring{B}_i = B.$$

Moreover, if  $U \supseteq A$  for any open  $U$ , then we can in fact take  $B_i \subseteq U$ . By induction on the number of balls  $n$ , the first claim tells us that any  $B$  of this form is good.

We now let

$$\mathcal{G} = \{B \subseteq \mathbb{R}^d : A \subseteq \mathring{B}, B \text{ compact and good}\}.$$

We claim that this is a directed set under inverse inclusion. To see this, for  $B, B' \in \mathcal{G}$ , we need to find a  $B'' \in \mathcal{G}$  such that  $B'' \subseteq B, B'$  and  $B''$  is good and compact. But the above argument tells us we can find one contained in  $\mathring{B}' \cup \mathring{B}''$ . So we are safe.

Now consider the directed system of groups given by

$$B \mapsto H_i(\mathbb{R}^d | B),$$

and there is an induced map

$$\varinjlim_{B \in \mathcal{G}} H_i(\mathbb{R}^d | B) \rightarrow H_i(\mathbb{R}^d | A),$$

since each  $H_i(\mathbb{R}^d | B)$  maps to  $H_i(\mathbb{R}^d | A)$  by inclusion, and these maps are compatible. We claim that this is an isomorphism. We first show that this is surjective. Let  $[c] \in H_i(\mathbb{R}^d | A)$ . Then the boundary of  $c \in C_i(\mathbb{R}^d)$  is a finite sum of simplices in  $\mathbb{R}^d \setminus A$ . So it is a sum of simplices in some compact  $C \subseteq \mathbb{R}^d \setminus A$ . But then  $A \subseteq \mathbb{R}^d \setminus C$ , and  $\mathbb{R}^d \setminus C$  is an open neighbourhood of  $A$ . So we can find a good  $B$  such that

$$A \subseteq B \subseteq \mathbb{R}^d \setminus C.$$

Then  $c \in C_i(\mathbb{R}^d | B)$  is a cycle. So we know  $[c] \in H_i(\mathbb{R}^d | B)$ . So the map is surjective. Injectivity is obvious.

An immediate consequence of this is that for  $i > d$ , we have  $H_i(\mathbb{R}^d | A) = 0$ . Also, if  $i = d$ , we know that  $\mu_A$  is given uniquely by the collection  $\{\mu_B\}_{B \in \mathcal{G}}$  (uniqueness follows from injectivity).

**Claim.** If  $A \subseteq M$ , then  $A$  is good.

This follows from the fact that any compact  $A \subseteq M$  can be written as a finite union of compact  $A_\alpha$  with  $A_\alpha \subseteq U_\alpha \cong \mathbb{R}^d$ . So  $A_\alpha$  and their intersections are good. So done.  $\square$

**Corollary.** If  $M$  is compact, then we get a unique class  $[M] = \mu_M \in H_n(M; R)$  such that it restricts to  $\mu_x$  for each  $x \in M$ . Moreover,  $H^i(M; R) = 0$  for  $i > d$ .

This is not too surprising, actually. If we have a triangulation of the manifold, then this  $[M]$  is just the sum of all the triangles.

**Definition** (Fundamental class). The *fundamental class* of an  $R$ -oriented manifold is the unique class  $[M]$  that restricts to  $\mu_x$  for each  $x \in M$ .

### 11.3 Poincaré duality

We now get to the main theorem — Poincaré duality:

**Theorem** (Poincaré duality). Let  $M$  be a  $d$ -dimensional  $R$ -oriented manifold. Then there is a map

$$D_M : H_c^k(M; R) \rightarrow H_{d-k}(M; R)$$

that is an isomorphism.

The majority of the work is in defining the map. Afterwards, proving it is an isomorphism is a routine exercise with Mayer-Vietoris and the five lemma.

What does this tell us? We know that  $M$  has no homology or cohomology in negative dimensions. So by Poincaré duality, there is also no homology or cohomology in dimensions  $> d$ .

Moreover, if  $M$  itself is compact, then we know  $H_c^0(M; R)$  has a special element 1. So we also get a canonical element of  $H_d(M; R)$ . But we know there is a special element of  $H_d(M; R)$ , namely the fundamental class. They are in fact the same, and this is no coincidence. This is in fact how we are going to produce the map.

To define the map  $D_M$ , we need the notion of the cap product

**Definition** (Cap product). The *cap product* is defined by

$$\begin{aligned} \cdot \frown \cdot : C_k(X; R) \times C^\ell(X; R) &\rightarrow C_{k-\ell}(X; R) \\ (\sigma, \varphi) &\mapsto \varphi(\sigma|_{[v_0, \dots, v_\ell]})\sigma|_{[v_\ell, \dots, v_k]}. \end{aligned}$$

We want this to induce a map on homology. To do so, we need to know how it interacts with differentials.

**Lemma.** We have

$$d(\sigma \frown \varphi) = (-1)^d((d\sigma) \frown \varphi - \sigma \frown (d\varphi)).$$

*Proof.* Write both sides out. □

As with the analogous formula for the cup product, this implies we get a well-defined map on homology and cohomology, i.e. We obtain a map

$$H_k(X; R) \times H^\ell(X; R) \rightarrow H_{k-\ell}(X; R).$$

As with the cup product, there are also relative versions

$$\frown : H_k(X, A; R) \times H^\ell(X; R) \rightarrow H_{k-\ell}(X, A; R)$$

and

$$\frown : H_k(X, A; R) \times H^\ell(X, A; R) \rightarrow H_{k-\ell}(X; R).$$

We would like to say that the cap product is natural, but since maps of spaces induce maps of homology and cohomology in opposite directions, this is rather tricky. What we manage to get is the following:

**Lemma.** If  $f : X \rightarrow Y$  is a map, and  $x \in H_k(X; R)$  and  $y \in H^\ell(Y; R)$ , then we have

$$f_*(x) \frown y = f_*(x \frown f^*(y)) \in H_{k-\ell}(Y; R).$$

In other words, the following diagram commutes:

$$\begin{array}{ccc} & H_k(Y; R) \times H^\ell(Y; R) & \xrightarrow{\quad \frown \quad} H_{k-\ell}(Y; R) \\ & \nearrow f_* \times \text{id} & \uparrow f_* \\ H_k(X; R) \times H^\ell(Y; R) & & \\ & \searrow \text{id} \times f^* & \\ & H_k(X; R) \times H^\ell(X; R) & \xrightarrow{\quad \frown \quad} H_{k-\ell}(X; R) \end{array}$$

*Proof.* We check this on the cochain level. We let  $x = \sigma : \Delta^k \rightarrow X$ . Then we have

$$\begin{aligned} f_*(\sigma \frown f^*y) &= f_\#((f^\#y)(\sigma|_{[v_0, \dots, v_\ell]})\sigma|_{[v_\ell, \dots, v_k]}) \\ &= y(f_\#(\sigma|_{[v_0, \dots, v_\ell]}))f_\#(\sigma|_{[v_\ell, \dots, v_k]}) \\ &= y((f_\#\sigma)|_{[v_0, \dots, v_\ell]})(f_\#\sigma)|_{[v_\ell, \dots, v_k]} \\ &= (f_\#\sigma) \frown y. \end{aligned}$$

So done.  $\square$

Now if  $M$  is compact, then we simply define the duality map as

$$D_M = [M] \frown \cdot : H^d(M; R) \rightarrow H_{d-\ell}(M; R).$$

If not, we note that  $H_c^d(M; R)$  is the directed limit of  $H^d(M, K; R)$  with  $K$  compact, and each  $H^d(M, L; R)$  has a fundamental class. So we can define the required map for each  $K$ , and then put them together.

More precisely, if  $K \subseteq L \subseteq M$  are such that  $K, L$  are compact, then we have an inclusion map

$$(\text{id}, \text{inc}) : (M, M \setminus L) \rightarrow (M, M \setminus K).$$

Then we have an induced map

$$(\text{id}, \text{inc}) : H_d(M | L; R) \rightarrow H_d(M | K; R)$$

that sends the fundamental class  $\mu_L$  to  $\mu_K$ , by uniqueness of the fundamental class.

Then the relative version of our lemma tells us that the following map commutes:

$$\begin{array}{ccc} H^\ell(M | K; R) & \xrightarrow{(\text{id}, \text{inc})^*} & H^\ell(M | L; R) \\ \downarrow \mu_K \frown \cdot & & \downarrow \mu_L \frown \cdot \\ H_{d-\ell}(M; R) & \xrightarrow{\text{id}} & H_{d-\ell}(M; R) \end{array}$$

Indeed, this is just saying that

$$(\text{id})_*(\mu_L \frown (\text{id}, \text{inc})^*(\varphi)) = \mu_K \frown \varphi.$$

So we get a duality map

$$D_M = \varinjlim (\mu_K \frown \cdot) : \varinjlim H^\ell(M | K; R) \rightarrow H_{d-\ell}(M; R).$$

Now we can prove Poincaré duality.

*Proof.* We say  $M$  is “good” if the Poincaré duality theorem holds for  $M$ . We now do the most important step in the proof:

**Claim 0.**  $\mathbb{R}^d$  is good.

The only non-trivial degrees to check are  $\ell = 0, d$ , and  $\ell = 0$  is straightforward. For  $\ell = d$ , we have shown that the maps

$$H_c^d(\mathbb{R}^d; R) \xleftarrow{\sim} H^d(\mathbb{R}^d | 0; R) \xrightarrow{\text{UCT}} \text{Hom}_R(H_d(\mathbb{R}^d | 0; R), R)$$

are isomorphisms, where the last map is given by the universal coefficients theorem.

Under these isomorphisms, the map

$$H_c^d(\mathbb{R}^d; R) \xrightarrow{D_{\mathbb{R}^d}} H_0(\mathbb{R}^d; R) \xrightarrow{\varepsilon} R$$

corresponds to the map  $\text{Hom}_K(H_d(\mathbb{R}^d | 0; R), R) \rightarrow R$  is given by evaluating a function at the fundamental class  $\mu_0$ . But as  $\mu_0 \in H_d(\mathbb{R}^d | 0; R)$  is an  $R$ -module generator, this map is an isomorphism.

**Claim 1.** If  $M = U \cup V$  and  $U, V, U \cap V$  are good, then  $M$  is good.

Again, this is an application of the five lemma with the Mayer-Vietoris sequence. We have

$$\begin{array}{ccccccc} H_c^\ell(U \frown V) & \longrightarrow & H_c^\ell(U) \oplus H_c^\ell(V) & \longrightarrow & H_c^\ell(M) & \longrightarrow & H_c^{\ell+1}(U \frown V) \\ \downarrow D_{U \frown V} & & \downarrow D_U \oplus D_V & & \downarrow D_M & & \downarrow D_{U \frown V} \\ H_{d-\ell}(U \frown V) & \longrightarrow & H_{d-\ell}(U) \oplus H_{d-\ell}(V) & \longrightarrow & H_{d-\ell}(M) & \longrightarrow & H_{d-\ell-1}(U \frown V) \end{array}$$

We are done by the five lemma if this commutes. But unfortunately, it doesn't. It only commutes up to a sign, but it is sufficient for the five lemma to apply if we trace through the proof of the five lemma.

**Claim 2.** If  $U_1 \subseteq U_2 \subseteq \dots$  with  $M = \bigcup_n U_n$ , and  $U_i$  are all good, then  $M$  is good.

Any compact set in  $M$  lies in some  $U_n$ , so the map

$$\varinjlim H_c^\ell(U_n) \rightarrow H_c^\ell(U_n)$$

is an isomorphism. Similarly, since simplices are compact, we also have

$$H_{d-k}(M) = \varinjlim H_{d-k}(U_n).$$

Since the direct limit of open sets is open, we are done.

**Claim 3.** Any open subset of  $\mathbb{R}^d$  is good.

Any  $U$  is a countable union of open balls (something something rational points something something). For finite unions, we can use Claims 0 and 1 and induction. For countable unions, we use Claim 2.

**Claim 4.** If  $M$  has a countable cover by  $\mathbb{R}^d$ 's it is good.

Same argument as above, where we instead use Claim 3 instead of Claim 0 for the base case.

**Claim 5.** Any manifold  $M$  is good.

Any manifold is second-countable by definition, so has a countable open cover by  $\mathbb{R}^d$ .  $\square$

**Corollary.** For any compact  $d$ -dimensional  $R$ -oriented manifold  $M$ , the map

$$[M] \frown \cdot : H^\ell(M; R) \rightarrow H_{d-\ell}(M; R)$$

is an isomorphism.

**Corollary.** Let  $M$  be an odd-dimensional compact manifold. Then the Euler characteristic  $\chi(M) = 0$ .

*Proof.* Pick  $R = \mathbb{F}_2$ . Then  $M$  is  $\mathbb{F}_2$ -oriented. Since we can compute Euler characteristics using coefficients in  $\mathbb{F}_2$ . We then have

$$\chi(M) = \sum_{r=0}^{2n+1} (-1)^i \dim_{\mathbb{F}_2} H_i(M, \mathbb{F}_2).$$

But we know

$$H_i(M, \mathbb{F}_2) \cong H^{2n+1-i}(M, \mathbb{F}_2) \cong (H_{2n+1-i}(M, \mathbb{F}_2))^* \cong H_{2n+1-i}(M, \mathbb{F}_2)$$

by Poincaré duality and the universal coefficients theorem.

But the dimensions of these show up in the sum above with opposite signs. So they cancel, and  $\chi(M) = 0$ .  $\square$

What is the relation between the cap product and the cup product? If  $\sigma \in C_{k+\ell}(X; R)$ ,  $\varphi \in C^k(X; R)$  and  $\psi \in C^\ell(X; R)$ , then

$$\begin{aligned} \psi(\sigma \frown \varphi) &= \psi(\varphi(\sigma|_{[v_0, \dots, v_k]})\sigma|_{[v_k, \dots, v_{k+\ell}]}) \\ &= \varphi(\sigma|_{[v_0, \dots, v_k]})\psi(\sigma|_{[v_k, \dots, v_{k+\ell}]}) \\ &= (\varphi \smile \psi)(\sigma), \end{aligned}$$

Since we like diagrams, we can express this equality in terms of some diagram commuting. The map  $h : H^k(X; R) \rightarrow \text{Hom}_R(H_k(X; R), R)$  in the universal coefficients theorem is given by

$$[\varphi] \mapsto ([\sigma] \mapsto \varphi(\sigma)).$$

This map exists all the time, even if the hypothesis of the universal coefficients theorem does not hold. It's just that it need not be an isomorphism. The formula

$$\psi(\sigma \frown \varphi) = (\varphi \smile \psi)(\sigma)$$



then translates to the following diagram commuting:

$$\begin{array}{ccc} H^\ell(X; R) & \xrightarrow{h} & \text{Hom}_R(H_\ell(X; R), R) \\ \downarrow \varphi \smile \cdot & & \downarrow (\cdot \frown \varphi)^* \\ H^{k+\ell}(X; R) & \xrightarrow{h} & \text{Hom}_R(H_{\ell+k}(X; R), R) \end{array}$$

Now when the universal coefficient theorem applies, then the maps  $h$  are isomorphisms. So the cup product and cap product determine each other, and contain the same information.

Now since Poincaré duality is expressed in terms of cap products, this correspondence gives us some information about cupping as well.

**Theorem.** Let  $M$  be a  $d$ -dimensional compact  $R$ -oriented manifold, and consider the following pairing:

$$\begin{aligned} \langle \cdot, \cdot \rangle : H^k(M; R) \otimes H^{d-k}(M; R) &\longrightarrow R \\ [\varphi] \otimes [\psi] &\longmapsto (\varphi \smile \psi)[M] \end{aligned}$$

If  $H_*(M; R)$  is free, then  $\langle \cdot, \cdot \rangle$  is non-singular, i.e. both adjoints are isomorphisms, i.e. both

$$\begin{aligned} H^k(M; R) &\longrightarrow \text{Hom}(H^{d-k}(M; R), R) \\ [\varphi] &\longmapsto ([\psi] \mapsto \langle \varphi, \psi \rangle) \end{aligned}$$

and the other way round are isomorphisms.

*Proof.* We have

$$\langle \varphi, \psi \rangle = (-1)^{|\varphi||\psi|} \langle \psi, \varphi \rangle,$$

as we know

$$\varphi \smile \psi = (-1)^{|\varphi||\psi|} \psi \smile \varphi.$$

So if one adjoint is an isomorphism, then so is the other.

To see that they are isomorphisms, we notice that we have an isomorphism

$$\begin{aligned} H^k(M; R) &\xrightarrow{\text{UCT}} \text{Hom}_R(H_k(M; R), R) \xrightarrow{D_m^*} \text{Hom}_R(H^{d-k}(M; R), R) \\ [\varphi] &\longmapsto ([\sigma] \mapsto \varphi(\sigma)) \longmapsto ([\psi] \mapsto \varphi([M] \frown \psi)) \end{aligned}$$

But we know

$$\varphi([M] \frown \psi) = (\psi \smile \varphi)([M]) = \langle \psi, \varphi \rangle.$$

So this is just the adjoint. So the adjoint is an isomorphism.  $\square$

This is a very useful result. We have already seen examples where we can figure out if a cup product vanishes. But this result tells us that certain cup products are *not* zero. This is something we haven't seen before.

**Example.** Consider  $\mathbb{C}\mathbb{P}^n$ . We have previously computed

$$H_*(\mathbb{C}\mathbb{P}^n, \mathbb{Z}) = \begin{cases} \mathbb{Z} & * = 2i, \quad 0 \leq i \leq n \\ 0 & \text{otherwise} \end{cases}$$

Also, we know  $\mathbb{C}\mathbb{P}^n$  is  $\mathbb{Z}$ -oriented, because it is a complex manifold. Let's forget that we have computed the cohomology ring structure, and compute it again.

Suppose for induction, that we have found

$$H^*(\mathbb{C}\mathbb{P}^{n-1}, \mathbb{Z}) = \mathbb{Z}[x]/(x^n).$$

As  $\mathbb{C}\mathbb{P}^n$  is obtained from  $\mathbb{C}\mathbb{P}^{n-1}$  by attaching a  $2n$  cell, the map given by inclusion  $i^* : H^2(\mathbb{C}\mathbb{P}^n, \mathbb{Z}) \rightarrow H^2(\mathbb{C}\mathbb{P}^{n-1}, \mathbb{Z})$  is an isomorphism. Then the generator  $x \in H^2(\mathbb{C}\mathbb{P}^{n-1}, \mathbb{Z})$  gives us a generator  $y \in H^2(\mathbb{C}\mathbb{P}^n, \mathbb{Z})$ .

Now if we can show that  $y^k \in H^{2k}(\mathbb{C}\mathbb{P}^n, \mathbb{Z}) \cong \mathbb{Z}$  is a generator for all  $k$ , then  $H^*(\mathbb{C}\mathbb{P}^n, \mathbb{Z}) \cong \mathbb{Z}[y]/(y^{n+1})$ .

But we know that  $y^{n-1}$  generates  $H^{2n-2}(\mathbb{C}\mathbb{P}^n, \mathbb{Z})$ , since it pulls back under  $i^*$  to  $x^{n-1}$ , which is a generator. Finally, consider

$$\begin{aligned} H^2(\mathbb{C}\mathbb{P}^2, \mathbb{Z}) \otimes H^{2n-2}(\mathbb{C}\mathbb{P}^2, \mathbb{Z}) &\longrightarrow \mathbb{Z} \\ y \otimes y^{n-1} &\longmapsto y^n[\mathbb{C}\mathbb{P}^n]. \end{aligned}$$

Since this is non-singular, we know  $y^n \in H^{2n}(\mathbb{C}\mathbb{P}^n, \mathbb{Z})$  must be a generator.

Of course, we can get  $H^*(\mathbb{R}\mathbb{P}^n, \mathbb{F}_2)$  similarly.

## 11.4 Applications

We go through two rather straightforward applications, before we move on to bigger things like submanifolds.

### Signature

We focus on the case where  $d = 2n$  is even. Then we have, in particular, a non-degenerate bilinear form

$$\langle \cdot, \cdot \rangle : H^n(M; R) \otimes H^n(M; R) \rightarrow R.$$

Also, we know

$$\langle a, b \rangle = (-1)^{n^2} \langle b, a \rangle = (-1)^n \langle b, a \rangle.$$

So this is a symmetric form if  $n$  is even, and skew-symmetric form if  $n$  is odd. These are very different scenarios. For example, we know a symmetric matrix is diagonalizable with real eigenvalues (if  $R = \mathbb{R}$ ), but a skew-symmetric form does not have these properties.

So if  $M$  is  $4k$ -dimensional, and  $\mathbb{Z}$ -oriented, then in particular  $M$  is  $\mathbb{R}$ -oriented. Then the map  $\langle \cdot, \cdot \rangle : H^{2k}(M; \mathbb{R}) \otimes H^{2k}(M; \mathbb{R}) \rightarrow \mathbb{R}$  can be represented by a symmetric real matrix, which can be diagonalized. This has some real eigenvalues. The eigenvalues can be positive or negative.

**Definition** (Signature of manifold). Let  $M$  be a  $4k$ -dimensional  $\mathbb{Z}$ -oriented manifold. Then the signature is the number of positive eigenvalues of

$$\langle \cdot, \cdot \rangle : H^{2k}(M; \mathbb{R}) \otimes H^{2k}(M; \mathbb{R}) \rightarrow \mathbb{R}$$

minus the number of negative eigenvalues. We write this as  $\text{sgn}(M)$ .

By Sylvester's law of inertia, this is well-defined.

**Fact.** If  $M = \partial W$  for some compact  $4k + 1$ -dimensional manifold  $W$  with boundary, then  $\text{sgn}(M) = 0$ .

**Example.**  $\mathbb{C}\mathbb{P}^2$  has  $H^2(\mathbb{C}\mathbb{P}^2; \mathbb{R}) \cong \mathbb{R}$ , and the bilinear form is represented by the matrix  $(1)$ . So the signature is 1. So  $\mathbb{C}\mathbb{P}^2$  is not the boundary of a manifold.

### Degree

Recall we defined the degree of a map from a sphere to itself. But if we have a  $\mathbb{Z}$ -oriented space, we can have the fundamental class  $[M]$ , and then there is an obvious way to define the degree.

**Definition** (Degree of map). If  $M, N$  are  $d$ -dimensional compact connected  $\mathbb{Z}$ -oriented manifolds, and  $f : M \rightarrow N$ , then

$$f_*([M]) \in H_d(N, \mathbb{Z}) \cong \mathbb{Z}[N].$$

So  $f_*([M]) = k\mathbb{Z}[N]$  for some  $k$ . This  $k$  is called the *degree* of  $f$ , written  $\text{deg}(f)$ .

If  $N = M = S^n$  and we pick the same orientation for them, then this recovers our previous definition.

By exactly the same proof, we can compute this degree using local degrees, just as in the case of a sphere.

**Corollary.** Let  $f : M \rightarrow N$  be a map between manifolds. If  $\mathbb{F}$  is a field and  $\text{deg}(f) \neq 0 \in \mathbb{F}$ , then the induced map

$$f^* : H^*(N, \mathbb{F}) \rightarrow H^*(M, \mathbb{F})$$

is injective.

This seems just like an amusement, but this is powerful. We know this is in fact a map of rings. So if we know how to compute cup products in  $H^*(M; \mathbb{F})$ , then we can do it for  $H^*(N; \mathbb{F})$  as well.

*Proof.* Suppose not. Let  $\alpha \in H^k(N, \mathbb{F})$  be non-zero but  $f^*(\alpha) = 0$ . As

$$\langle \cdot, \cdot \rangle : H^k(N, \mathbb{F}) \otimes H^{d-k}(N, \mathbb{F}) \rightarrow \mathbb{F}$$

is non-singular, we know there is some  $\beta \in H^{d-k}(N, \mathbb{F})$  such that

$$\langle \alpha, \beta \rangle = (\alpha \smile \beta)[N] = 1.$$

Then we have

$$\begin{aligned} \text{deg}(f) &= \text{deg}(f) \cdot 1 \\ &= (\alpha \smile \beta)(\text{deg}(f)[N]) \\ &= (\alpha \smile \beta)(f_*[M]) \\ &= (f^*(\alpha) \smile f^*(\beta))([M]) \\ &= 0. \end{aligned}$$

This is a contradiction. □

## 11.5 Submanifolds

### Normal bundles

We restrict our attention to smooth manifolds, and then we can talk about the tangent bundle. Recall (from the example sheet) that an orientation of the manifold is the same as an orientation on the tangent bundle.

Let  $M$  be a compact smooth  $R$ -oriented manifold, and  $N \subseteq M$  be an  $n$ -dimensional  $R$ -oriented submanifold,  $i : N \hookrightarrow M$  be the inclusion. Suppose  $\dim M = d$  and  $\dim N = n$ . Then we obtain a canonical homology class

$$i_*[N] \in H_n(M; R).$$

We will abuse notation and write  $[N]$  for  $i_*[N]$ . This may or may not be zero.

As before, we can arbitrarily pick a metric on  $TM$ , and then decompose

$$i^*TM \cong TN \oplus \nu_{N \subseteq M},$$

where  $\nu_{N \subseteq M}$  is the normal bundle. Since  $TM$  is oriented, we obtain an orientation on the pullback  $i^*TM$ . Similarly,  $TN$  is also oriented by assumption. In general, we have the following result:

**Lemma.** Let  $X$  be a space and  $V$  a vector bundle over  $X$ . If  $V = U \oplus W$ , then orientations for any two of  $U, W, V$  give an orientation for the third.

*Proof.* Say  $\dim V = d$ ,  $\dim U = n$ ,  $\dim W = m$ . Then at each point  $x \in X$ , by Künneth's theorem, we have an isomorphism

$$H^d(V_x, V_x^\#; R) \cong H^n(U_x, U_x^\#; R) \otimes H^m(W_x, W_x^\#; R) \cong R.$$

So any local  $R$ -orientation on any two induces one on the third, and it is straightforward to check the local compatibility condition.  $\square$

Can we find a more concrete description of this orientation on  $\nu_{N \subseteq M}$ ? By the same argument as when we showed that  $H^i(\mathbb{R}^n | \{0\}) \cong H_c^i(\mathbb{R}^d)$ , we know

$$H^i(\nu_{N \subseteq M}, \nu_{N \subseteq M}^\#; R) \cong H_c^i(\nu_{N \subseteq M}; R).$$

Also, by the tubular neighbourhood theorem, we know  $\nu_{N \subseteq M}$  is homeomorphic to an open neighbourhood  $U$  of  $N$  in  $M$ . So we get isomorphisms

$$H_c^i(\nu_{N \subseteq M}; R) \cong H_c^i(U; R) \cong H_{d-i}(U; R) \cong H_{d-i}(N; R),$$

where the last isomorphism comes from the fact that  $N$  is homotopy-equivalent to  $U$ .

In total, we have an isomorphism

$$H^i(\nu_{N \subseteq M}, \nu_{N \subseteq M}^\#; R) \cong H_{d-i}(N; R).$$

Under this isomorphism, the fundamental class  $[N] \in H_n(N; R)$  corresponds to some

$$\mathcal{E}_{N \subseteq M} \in H^{d-n}(\nu_{N \subseteq M}, \nu_{N \subseteq M}^\#; R)$$

But we know  $\nu_{N \subseteq M}$  has dimension  $d - n$ . So  $\mathcal{E}_{N \subseteq M}$  is in the right dimension to be a Thom class, and is a generator for  $H^{d-n}(\nu_{N \subseteq M}, \nu_{N \subseteq M}^\#; R)$ , because it is a generator for  $H_{d-n}(N; R)$ . One can check that this is indeed the Thom class.

How is this related to the other things we've had? We can draw the commutative diagram

$$\begin{array}{ccccc}
 H_n(N; R) & \xrightarrow{\sim} & H_n(U; R) & \xrightarrow{\sim} & H_c^{d-n}(U; R) \\
 & & \downarrow i_* & & \downarrow \text{extension by 0} \\
 & & H_n(M; R) & \xrightarrow{\sim} & H^{d-n}(M; R)
 \end{array}$$

The commutativity of the square is a straightforward naturality property of Poincaré duality.

Under the identification  $H_c^{d-n}(U; R) \cong H^{d-n}(\nu_{N \subseteq M}, \nu_{N \subseteq M}^\#; R)$ , the above says that the image of  $[N] \in H_n(N; R)$  in  $H_c^{d-n}(U; R)$  is the Thom class of the normal bundle  $\nu_{N \subseteq M}$ .

On the other hand, if we look at this composition via the bottom map, then  $[N]$  gets sent to  $D_M^{-1}([N])$ . So we know that the Poincaré dual of a submanifold is the (extension by zero of the) normal Thom class.

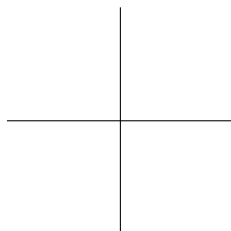
**Intersection product**

Now if we had two submanifolds  $N, W \subseteq M$ . Then the normal Thom classes give us two cohomology classes of  $M$ . We can then take the cup product, and see what we get. It turns out the answer is great. However, this happens only when the manifolds intersect nicely.

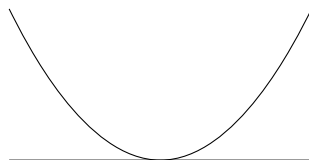
**Definition** (Transverse intersection). We say two submanifolds  $N, W \subseteq M$  intersect transversely if for all  $x \in N \cap W$ , we have

$$T_x N + T_x W = T_x M.$$

**Example.** We allow intersections like



but not this:



It is a fact that we can always “wiggle” the submanifolds a bit so that the intersection is transverse, so this is not too much of a restriction. We will neither make this precise nor prove it.

Whenever the intersection is transverse, the intersection  $N \cap W$  will be a submanifold of  $M$ , and of  $N$  and  $W$  as well. Moreover,

$$(\nu_{N \cap W \subseteq M})_x = (\nu_{N \subseteq M})_x \oplus (\nu_{W \subseteq M})_x.$$

Now consider the inclusions

$$\begin{aligned} i_N &: N \cap W \hookrightarrow N \\ i_W &: N \cap W \hookrightarrow W. \end{aligned}$$

Then we have

$$\nu_{N \cap W \subseteq M} = i_N^*(\nu_{N \subseteq M}) \oplus i_W^*(\nu_{W \subseteq M}).$$

So with some abuse of notation, we can write

$$i_N^* \mathcal{E}_{N \subseteq M} \smile i_W^* \mathcal{E}_{W \subseteq M} \in H^*(\nu_{N \cap W \subseteq M}, \nu_{N \cap W \subseteq M}^\#; \mathcal{R}),$$

and we can check this gives the Thom class. So we have

$$D_M^{-1}([N]) \smile D_M^{-1}([W]) = D_M^{-1}([N \cap W]).$$

The slogan is “cup product is Poincaré dual to intersection”. One might be slightly worried about the graded commutativity of the cup product, because  $N \cap W = W \cap N$  as manifolds, but in general,

$$D_M^{-1}([N]) \smile D_M^{-1}([W]) \neq D_M^{-1}([W]) \smile D_M^{-1}([N]).$$

The fix is to say that  $N \cap W$  and  $W \cap N$  are not the same as *oriented* manifolds in general, and sometimes they differ by a sign, but we will not go into details.

More generally, we can define

**Definition** (Intersection product). The *intersection product* on the homology of a compact manifold is given by

$$\begin{aligned} H_{n-k}(M) \otimes H_{n-\ell}(M) &\longrightarrow H_{n-k-\ell}(M) \\ (a, b) &\longmapsto a \cdot b = D_M(D_M^{-1}(a) \smile D_M^{-1}(b)) \end{aligned}$$

**Example.** We know that

$$H_{2k}(\mathbb{C}\mathbb{P}^n, \mathbb{Z}) \cong \begin{cases} \mathbb{Z} & 0 \leq k \leq n \\ 0 & \text{otherwise} \end{cases}.$$

Moreover, we know the generator for these when we computed it using cellular homology, namely

$$[\mathbb{C}\mathbb{P}^k] \equiv y_k \in H_{2k}(\mathbb{C}\mathbb{P}^n, \mathbb{Z}).$$

To compute  $[\mathbb{C}\mathbb{P}^\ell] \cdot [\mathbb{C}\mathbb{P}^k]$ , if we picked the canonical copies of  $\mathbb{C}\mathbb{P}^\ell, \mathbb{C}\mathbb{P}^k \subseteq \mathbb{C}\mathbb{P}^n$ , then one would be contained in the other, and this is exactly the opposite of intersecting transversely.

Instead, we pick

$$\mathbb{C}\mathbb{P}^k = \{[z_0 : z_1 \cdots z_k : 0 : \cdots : 0]\}, \quad \mathbb{C}\mathbb{P}^\ell = \{[0 : \cdots : 0 : w_0 : \cdots : w_\ell]\}.$$

It is a fact that any two embeddings of  $\mathbb{C}\mathbb{P}^k \hookrightarrow \mathbb{C}\mathbb{P}^n$  are homotopic, so we can choose these.

Now these two manifolds intersect transversely, and the intersection is

$$\mathbb{C}\mathbb{P}^k \cap \mathbb{C}\mathbb{P}^\ell = \mathbb{C}\mathbb{P}^{k+\ell-n}.$$

So this says that

$$y_k \cdot y_\ell = \pm y_{k+\ell-n},$$

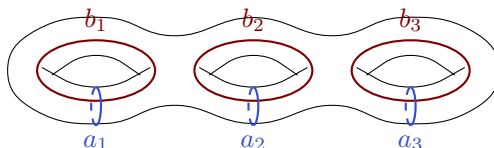
where there is some annoying sign we do not bother to figure out.

So if  $x_k$  is Poincaré dual to  $y_{n-k}$ , then

$$x_k \smile x_\ell = x_{k+\ell},$$

which is what we have previously found out.

**Example.** Consider the manifold with three holes



Then we have

$$a_i \cdot b_i = \{\text{pt}\}, \quad a_i \cdot b_j = 0 \text{ for } i \neq j.$$

So we find the ring structure of  $H_*(\mathcal{E}_g, \mathbb{Z})$ , hence the ring structure of  $H^*(\mathcal{E}_g, \mathbb{Z})$ .

This is so much easier than everything else we've been doing.

Here we know that the intersection product is well-defined, so we are free to pick our own nice representatives of the loop to perform the calculation.

Of course, this method is not completely general. For example, it would be difficult to visualize this when we work with manifolds with higher dimensions, and more severely, not all homology classes of a manifold have to come from submanifolds (e.g. twice the fundamental class)!

## 11.6 The diagonal

Again, let  $M$  be a compact  $\mathbb{Q}$ -oriented  $d$ -dimensional manifold. Then  $M \times M$  is a  $2d$ -dimensional manifold. We can try to orient it as follows — Künneth gives us an isomorphism

$$H^{d+d}(M \times M; \mathbb{Q}) \cong H^d(M; \mathbb{Q}) \otimes H^d(M; \mathbb{Q}),$$

as  $H^k(M; \mathbb{Q}) = 0$  for  $k > d$ . By the universal coefficients theorem, plus the fact that duals commute with tensor products, we have an isomorphism

$$H_{d+d}(M \times M; \mathbb{Q}) \cong H_d(M; \mathbb{Q}) \otimes H_d(M; \mathbb{Q}).$$

Thus the fundamental class  $[M]$  gives us a fundamental class  $[M \times M] = [M] \otimes [M]$ .

We are going to do some magic that involves the diagonal map

$$\begin{aligned} \Delta : M &\longrightarrow M \times M \\ x &\longmapsto (x, x). \end{aligned}$$

This gives us a cohomology class

$$\delta = D_{M \times M}^{-1}(\Delta_*[M]) \in H^d(M \times M, \mathbb{Q}) \cong \bigoplus_{i+j=d} H^i(M, \mathbb{Q}) \otimes H^j(M, \mathbb{Q}).$$

It turns out a lot of things we want to do with this  $\delta$  can be helped a lot by doing the despicable thing called picking a basis.

We let  $\{a_i\}$  be a basis for  $H^*(M, \mathbb{Q})$ . On this vector space, we have a non-singular form

$$\langle \cdot, \cdot \rangle : H^*(M, \mathbb{Q}) \otimes H^*(M; \mathbb{Q}) \rightarrow \mathbb{Q}$$

given by  $\langle \varphi, \psi \rangle \mapsto (\varphi \smile \psi)([M])$ . Last time we were careful about the degrees of the cochains, but here we just say that if  $\varphi \smile \psi$  does not have dimension  $d$ , then the result is 0.

Now let  $\{b_i\}$  be the dual basis to the  $a_i$  using this form, i.e.

$$\langle a_i, b_j \rangle = \delta_{ij}.$$

It turns out  $\delta$  has a really nice form expressed using this basis:

**Theorem.** We have

$$\delta = \sum_i (-1)^{|a_i|} a_i \otimes b_i.$$

*Proof.* We can certainly write

$$\delta = \sum_{i,j} C_{ij} a_i \otimes b_j$$

for some  $C_{ij}$ . So we need to figure out what the coefficients  $C_{ij}$  are. We try to compute

$$\begin{aligned} ((b_k \otimes a_\ell) \smile \delta)[M \times M] &= \sum C_{ij} (b_k \otimes a_\ell) \smile (a_i \otimes b_j)[M \times M] \\ &= \sum C_{ij} (-1)^{|a_\ell||a_i|} (b_k \smile a_i) \otimes (a_\ell \smile b_j)[M] \otimes [M] \\ &= \sum C_{ij} (-1)^{|a_\ell||a_i|} (\delta_{ik} (-1)^{|a_i||b_k|}) \delta_{j\ell} \\ &= (-1)^{|a_k||a_\ell|+|a_k||b_k|} C_{k\ell}. \end{aligned}$$

But we can also compute this a different way, using the definition of  $\delta$ :

$$(b_k \otimes a_\ell \smile \delta)[M \times M] = (b_k \otimes a_\ell)(\Delta_*[M]) = (b_k \smile a_\ell)[M] = (-1)^{|a_\ell||b_k|} \delta_{k\ell}.$$

So we see that

$$C_{k\ell} = \delta_{k\ell} (-1)^{|a_\ell|}. \quad \square$$

**Corollary.** We have

$$\Delta^*(\delta)[M] = \chi(M),$$

the Euler characteristic.

*Proof.* We note that for  $a \otimes b \in H^n(M \times M)$ , we have

$$\Delta^*(a \otimes b) = \Delta^*(\pi_1^* a \smile \pi_2^* b) = a \smile b$$

because  $\pi_i \circ \Delta = \text{id}$ . So we have

$$\Delta^*(\delta) = \sum (-1)^{|a_i|} a_i \smile b_i.$$

Thus

$$\Delta^*(\delta)[M] = \sum_i (-1)^{|a_i|} = \sum_k (-1)^k \dim_{\mathbb{Q}} H^k(M; \mathbb{Q}) = \chi(M). \quad \square$$



So far everything works for an arbitrary manifold. Now we suppose further that  $M$  is smooth. Then  $\delta$  is the Thom class of the normal bundle  $\nu_{M \subseteq M \times M}$  of

$$M \hookrightarrow M \times M.$$

By definition, pulling this back along  $\Delta$  to  $M$  gives the Euler class of the normal bundle. But we know  $\nu_{M \subseteq M \times M} \cong TM$ , because the fiber at  $x$  is the cokernel of the map

$$T_x M \xrightarrow{\Delta} T_x M \oplus T_x M$$

and the inverse given by

$$\begin{aligned} T_x M \oplus T_x M &\rightarrow T_x M \\ (v, w) &\mapsto v - w \end{aligned}$$

gives us an isomorphism

$$\frac{T_x M \oplus T_x M}{\Delta T_x M} \cong T_x M.$$

**Corollary.** We have

$$e(TM)[M] = \chi(M).$$

**Corollary.** If  $M$  has a nowhere-zero vector field, then  $\chi(M) = 0$ .

More generally, this tells us that  $\chi(M)$  is the number of zeroes of a vector field  $M \rightarrow TM$  (transverse to the zero section) counted with sign.

**Lemma.** Suppose we have  $R$ -oriented vector bundles  $E \rightarrow X$  and  $F \rightarrow X$  with Thom classes  $u_E, u_F$ . Then the Thom class for  $E \oplus F \rightarrow X$  is  $u_E \smile u_F$ . Thus

$$e(E \oplus F) = e(E) \smile e(F).$$

*Proof.* More precisely, we have projection maps

$$\begin{array}{ccc} & E \oplus F & \\ \pi_E \swarrow & & \searrow \pi_F \\ E & & F \end{array} .$$

We let  $U = \pi_E^{-1}(E^\#)$  and  $V = \pi_F^{-1}(F^\#)$ . Now observe that

$$U \cup V = (E \oplus F)^\#.$$

So if  $\dim E = e, \dim F = f$ , then we have a map

$$\begin{array}{ccc} H^e(E, E^\#) \otimes H^f(F, F^\#) & \xrightarrow{\pi_E^* \otimes \pi_F^*} & H^e(E \oplus F, U) \otimes H^f(E \oplus F, V) \\ & & \downarrow \smile \\ & & H^{e+f}(E \oplus F, (E \oplus F)^\#) \end{array} ,$$

and it is easy to see that the image of  $u_E \otimes u_F$  is the Thom class of  $E \oplus F$  by checking the fibers.  $\square$

**Corollary.**  $TS^{2n}$  has no proper subbundles.

*Proof.* We know  $e(TS^{2n}) \neq 0$  as  $e(TS^{2n})[S^2] = \chi(S^{2n}) = 2$ . But it cannot be a proper cup product of two classes, since there is nothing in lower cohomology groups. So  $TS^{2n}$  is not the sum of two subbundles. Hence  $TS^{2n}$  cannot have a proper subbundle  $E$  or else  $TS^{2n} = E \oplus E^\perp$  (for any choice of inner product).  $\square$

### 11.7 Lefschetz fixed point theorem

Finally, we are going to prove the Lefschetz fixed point theorem. This is going to be better than the version you get in Part II, because this time we will know how many fixed points there are.

So let  $M$  be a compact  $d$ -dimensional manifold that is  $\mathbb{Z}$ -oriented, and  $f : M \rightarrow M$  be a map. Now if we want to count the number of fixed points, then we want to make sure the map is “transverse” in some sense, so that there aren’t infinitely many fixed points.

It turns out the right condition is that the graph

$$\Gamma_f = \{(x, f(x)) \in M \times M\} \subseteq M \times M$$

has to be transverse to the diagonal. Since  $\Gamma_f \cap \Delta$  is exactly the fixed points of  $f$ , this is equivalent to requiring that for each fixed point  $x$ , the map

$$D_x \Delta \oplus D_x F : T_x M \oplus T_x M \rightarrow T_x M \oplus T_x M$$

is an isomorphism. We can write the matrix of this map, which is

$$\begin{pmatrix} I & I \\ D_x f & I \end{pmatrix}.$$

Doing a bunch of row and column operations, this is equivalent to requiring that

$$\begin{pmatrix} I & 0 \\ D_x f & I - D_x f \end{pmatrix}$$

is invertible. Thus the condition is equivalent to requiring that 1 is not an eigenvalue of  $D_x f$ .

The claim is now the following:

**Theorem** (Lefschetz fixed point theorem). Let  $M$  be a compact  $d$ -dimensional  $\mathbb{Z}$ -oriented manifold, and let  $f : M \rightarrow M$  be a map such that the graph  $\Gamma_f$  and diagonal  $\Delta$  intersect transversely. Then we have

$$\sum_{x \in \text{fix}(f)} \text{sgn det}(I - D_x f) = \sum_k (-1)^k \text{tr}(f^* : H^k(M; \mathbb{Q}) \rightarrow H^k(M; \mathbb{Q})).$$

*Proof.* We have

$$[\Gamma_f] \cdot [\Delta(M)] \in H_0(M \times M; \mathbb{Q}).$$

We now want to calculate  $\varepsilon$  of this. By Poincaré duality, this is equal to

$$(D_{M \times M}^{-1}[\Gamma_f] \smile D_{M \times M}^{-1}[\Delta(M)])[M \times M] \in \mathbb{Q}.$$

This is the same as

$$(D_{M \times M}^{-1}[\Delta(M)])([\Gamma_f]) = \delta(F_*[M]) = (F^*\delta)[M],$$

where  $F : M \rightarrow M \times M$  is given by

$$F(x) = (x, f(x)).$$

We now use the fact that

$$\delta = \sum (-1)^{|a_i|} a_i \otimes b_i.$$

So we have

$$F^* \delta = \sum (-1)^{|a_i|} a_i \otimes f^* b_i.$$

We write

$$f^* b_i = \sum C_{ij} b_j.$$

Then we have

$$(F^* \delta)[M] = \sum_{i,j} (-1)^{|a_i|} C_{ij} (a_i \otimes b_j)[M] = \sum_i (-1)^{|a_i|} C_{ii},$$

and  $C_{ii}$  is just the trace of  $f^*$ .

We now compute this product in a different way. As  $\Gamma_f$  and  $\Delta(M)$  are transverse, we know  $\Gamma_f \cap \Delta(M)$  is a 0-manifold, and the orientation of  $\Gamma_f$  and  $\Delta(M)$  induces an orientation of it. So we have

$$[\Gamma_f] \cdot [\Delta(M)] = [\Gamma_f \cap \Delta(M)] \in H_0(M \times M; \mathbb{Q}).$$

We know this  $\Gamma_f \cap \Delta(M)$  has  $|\text{fix}(f)|$  many points, so  $[\Gamma_f \cap \Delta(M)]$  is the sum of  $|\text{fix}(f)|$  many things, which is what we've got on the left above. We have to figure out the sign of each term is actually  $\text{sgn det}(I - D_x f)$ , and this is left as an exercise on the example sheet.  $\square$

**Example.** Any map  $f : \mathbb{C}\mathbb{P}^{2n} \rightarrow \mathbb{C}\mathbb{P}^{2n}$  has a fixed point. We can't prove this using the normal fixed point theorem, but we can exploit the ring structure of cohomology to do this. We must have

$$f^*(x) = \lambda x \in H^2(\mathbb{C}\mathbb{P}^2; \mathbb{Q}) = \mathbb{Q}x.$$

for some  $\lambda \in \mathbb{Q}$ . So we must have

$$f^*(x^i) = \lambda^i x^i.$$

We can now very easily compute the right hand side of the fixed point theorem

$$\sum_k (-1)^k \text{tr}(f^* : H^k \rightarrow H^k) = 1 + \lambda + \lambda^2 + \dots + \lambda^{2n}.$$

and this cannot be zero.

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