

# Modular forms and $L$ -functions (Lent 2017) — example sheet #1

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1. Prove that if  $f(x) = e^{-\pi x^2}$  then  $\widehat{f}(x) = f(x)$ .
2. Let  $G$  be a finite abelian group, and  $\chi, \chi'$  characters of  $G$ . Show that

$$\sum_{g \in G} \overline{\chi(g)} \chi'(g) = \begin{cases} 0 & \text{if } \chi \neq \chi' \\ \#G & \text{if } \chi = \chi' \end{cases}$$

3. Show that every continuous homomorphism  $\chi: \mathbb{R}_{>0}^\times \rightarrow \mathbb{C}^\times$  is of the form  $\chi(x) = x^s$  for some  $s \in \mathbb{C}$ . [Hint: first describe all continuous homomorphisms  $\mathbb{R} \rightarrow \mathbb{C}$ .] Show also that  $\widehat{\mathbb{R}} = \{\chi_y: x \mapsto e^{2\pi ixy} \mid y \in \mathbb{R}\}$ .

4. Show that

$$-\frac{\zeta'(s)}{\zeta(s)} = \sum_{n \geq 1} \Lambda(n) n^{-s}$$

where  $\Lambda$  is the *Von Mangoldt function*:

$$\Lambda(n) = \begin{cases} \log p & \text{if } n = p^k, k \geq 1, p \text{ prime} \\ 0 & \text{if } n = 1 \text{ or } n \text{ is not a prime power} \end{cases}$$

Show also that  $\sum_{d|n} \Lambda(d) = \log n$ .

5. Evaluate  $\zeta(2k)$  in terms of Bernoulli numbers. Deduce that  $(-1)^{k-1} B_{2k} > 0$  for every  $k \geq 1$ . (It is not easy to prove this directly from the generating function definition!)
6. A subgroup  $\Lambda \subset \mathbb{R}^n$  is *discrete* if it is discrete as a topological space (i.e. for any ball  $B \subset \mathbb{R}^n$ ,  $\Lambda \cap B$  is finite). Show that  $\Lambda$  is discrete iff  $\Lambda = \sum \mathbb{Z}x_i$  where  $\{x_i\} \subset \mathbb{R}^n$  is an  $\mathbb{R}$ -linearly independent set.

# Modular forms and $L$ -functions (Lent 2017) — example sheet #2

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1. (i) The *Bernoulli polynomials*  $B_n(X)$  are defined by the formula

$$\sum_{k=0}^{\infty} B_k(X) \frac{t^k}{k!} = \frac{te^{tX}}{e^t - 1}.$$

Let  $\psi: \mathbb{Z}/N\mathbb{Z} \rightarrow \mathbb{C}$  be any periodic function. Use the analytic continuation to write the values at negative integers of the  $L$ -series  $L(\psi, s)$  in terms of the values  $B_k(j/N)$ .

- (ii) Consider the (inverse) Fourier transform

$$\widehat{B}_{n,N}(\zeta) = \sum_{j=0}^{N-1} \zeta^j B_n(j/N) \quad (\zeta^N = 1)$$

Show that if  $\zeta \neq 1$ , then  $\widetilde{B}_n(\zeta) \stackrel{\text{def}}{=} N^{1-n} B_{n,N}(\zeta) = P_n(\zeta)/(\zeta - 1)^n$  for some polynomial  $P_n$  which does not depend on  $N$ . (The substitution  $u = e^{-t/N}$  may be useful.)

- (iii) Obtain for  $D > 1$  the *distribution relation*: if  $\zeta^N = 1$  then

$$\sum_{\eta^D = \zeta} \widetilde{B}_n(\eta) = D^n \widetilde{B}_n(\zeta).$$

2. Fix a positive real number  $D$ . Let  $\mathcal{X}_D$  be the set of real symmetric  $2 \times 2$  matrices of determinant  $D$ . Let  $SL_2(\mathbb{R})$  act on  $\mathcal{X}_D$  by  $g: X \rightarrow gXg^t$  ( $g^t =$  transpose of  $g$ ). Describe the orbits of this action, and identify one of them with the upper halfplane.

3. Write  $E_6(z)\Delta(z) = \sum_{n=1}^{\infty} c_n q^n$ . Show that  $c_n \equiv \sigma_{17}(n) \pmod{43867}$ . Obtain similar congruences for the coefficients of  $E_4\Delta$ ,  $E_8\Delta$ ,  $E_{10}\Delta$  and  $E_{14}\Delta$ .

[NB:  $B_{16} = -3617/510$ ,  $B_{18} = 43867/798$ ,  $B_{20} = -174611/330$ ,  $B_{22} = 854513/138$ ,  $B_{26} = 8553103/6$ .]

4. (i) Let  $f$  be a modular function (= a weakly modular form of weight 0). Show that  $\text{ord}_{\tau=i} f \equiv 0 \pmod{2}$  and  $\text{ord}_{\tau=\rho} f \equiv 0 \pmod{3}$ .

(ii) Let  $f \in M_k$ . Show that if  $k \not\equiv 0 \pmod{4}$  then  $f(i) = 0$ , and that if  $k \not\equiv 0 \pmod{3}$  then  $f(\rho) = 0$ , where  $\rho = e^{\pi i/3}$ .

5. Let  $f \in M_k$  and  $g \in M_l$  be modular forms. Show that  $lf'g - kfg' \in M_{k+l+2}$ .

6. Let  $f: \mathcal{H} \rightarrow \mathbb{C}$  satisfy  $f|_k \gamma = f$  for all  $\gamma \in \Gamma(1)$ . Show that  $y^{k/2} |f(x+iy)|$  is invariant under  $z = x+iy \mapsto \gamma(z)$ . Show that if moreover  $f$  is holomorphic on  $\mathcal{H}$  and  $k > 0$ , then  $f$  is a cusp form if and only if  $y^{k/2} |f|$  is bounded on  $\mathcal{H}$  (or equivalently, is bounded on  $\mathcal{D}$ ).

7. Define

$$G_2(z) = \sum'_{m=-\infty}^{\infty} \left( \sum_{n=-\infty}^{\infty} \frac{1}{(mz+n)^2} \right)$$

where the inner sum is over all integers  $n$ , except where  $m = 0$ , in which case the term  $n = 0$  is omitted. By rewriting the inner sum, show that the series converges to

$$\frac{\pi^2}{3} \left( 1 - 24 \sum_{n=1}^{\infty} \sigma_1(n) q^n \right).$$

Explain why  $G_2(z)$  is not a modular form of weight 2. (Later we will prove that  $G_2$  satisfies a somewhat more complicated transformation law for  $z \mapsto -1/z$ .)

# Modular forms and $L$ -functions (Lent 2017) — example sheet #3

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(In these questions,  $\Gamma$  denotes a finite index subgroup of  $\Gamma(1)$ .)

- Fix an even weight  $k \geq 4$ . Let  $\mathbb{T} \subset \text{End } S_k$  be the subalgebra of endomorphisms generated over  $\mathbb{Z}$  by the Hecke operators  $T_n$ ,  $n \geq 1$ . Let  $S_k(\mathbb{Z}) = S_k(\Gamma(1), \mathbb{Z})$  denote the submodule of cusp forms of level 1 and weight  $k$  with integral Fourier coefficients. Show that  $S_k(\mathbb{Z})$  is stable under  $\mathbb{T}$ , and that the map

$$\begin{aligned} S_k(\mathbb{Z}) \times \mathbb{T} &\rightarrow \mathbb{Z} \\ (f, T_n) &\mapsto a_1(T_n f) \end{aligned}$$

gives an isomorphism between  $S_k(\mathbb{Z})$  and  $\text{Hom}_{\mathbb{Z}}(\mathbb{T}, \mathbb{Z})$ , which is an isomorphism of  $\mathbb{T}$ -modules.

(Hint consider the basis  $\{g_j \mid 1 \leq j \leq m = \dim S_k\}$  for which  $a_n(g_j) = \delta_{jn}$  for  $1 \leq n \leq m$ .)

- Let  $(G, \Gamma)$  satisfy property (H). Suppose there is a map  $\sigma: G \rightarrow G$  such that

- $\sigma^2(g) = g$  and  $\sigma(gh) = \sigma(h)\sigma(g)$  for all  $g, h \in G$ ; and
- $\sigma$  fixes every double coset  $\Gamma g \Gamma$ .

Show that the Hecke algebra of  $(G, \Gamma)$  is then commutative. Use this to give another proof of the commutativity of the Hecke algebra for  $(GL_2(\mathbb{Q})^+, SL_2(\mathbb{Z}))$ .

- Let  $\Lambda \subset \mathbb{R}^k$  be a lattice (i.e. a discrete subgroup of maximal rank). Say that  $\Lambda$  is *self-dual* if the set

$$\Lambda^* = \{x \in \mathbb{R}^k \mid x \cdot y \text{ is an integer for every } y \in \Lambda\}$$

is precisely  $\Lambda$ , and that  $\Lambda$  is *even* if  $\|x\|^2 \in 2\mathbb{Z}$  for every  $x \in \Lambda$ . (Here  $\|\cdot\|$  denotes Euclidean norm.)

Use the Poisson summation formula to show that if  $\Lambda \subset \mathbb{R}^k$  is an even self-dual lattice, then the theta series

$$\theta_{\Lambda}(z) = \sum_{x \in \Lambda} \exp(\pi i \|x\|^2 z)$$

is a modular form of weight  $k/2$  and level 1. (In particular,  $k$  is divisible by 4.)

- Let  $k$  be a positive integer divisible by 4. Let  $\Lambda$  be the set of all  $x = (x_1, \dots, x_k) \in \mathbb{R}^k$  satisfying

$$2x_i \in \mathbb{Z}, \quad x_i - x_j \in \mathbb{Z}, \quad \sum_{i=1}^k x_i \in 2\mathbb{Z}.$$

Show that  $\Lambda$  is a self-dual lattice. [ $\Lambda$  is usually denoted  $E_k$ .]

(ii) Suppose further that  $k$  is divisible by 8. Show that  $\Lambda$  is even.

(iii) Finally let  $k = 8$ . Show that  $\theta_{\Lambda}(z) = E_4(z)$ . Hence (or directly) show that there are exactly 240 elements  $x \in \Lambda$  with  $\|x\|^2 = 2$ .

- Let  $p > 2$  be prime. Draw a fundamental domain for  $\Gamma^0(p)$  as given in the lectures, and show that the identifications of points along the boundary are given as follows:

- the vertical lines  $\text{Im}(z) = \pm p/2$  are identified by the translation  $z \mapsto z + p$ .
- the circular arcs  $C_a = \{|z - a| = 1\}$ , for integers  $a$  with  $0 < |a| < p/2$ , are identified as follows:  $C_a$  is identified with  $C_b$  iff  $ab \equiv -1 \pmod{p}$ .

6. (i) Show that  $\Gamma$  has a fundamental domain which is a *connected* union of translates of the standard fundamental domain for  $\Gamma(1)$ .

(ii) \* Show that if  $\Gamma$  has no elements of finite order other than  $\pm 1$  then  $\Gamma$  is a free group. (Use the fact that any group acting without fixed points on a tree is free.)

7. (i) Show that  $\Gamma(N)$  is torsionfree if  $N \geq 3$  and that the only elements of finite order of  $\Gamma(2)$  are  $\{\pm 1\}$ .

(ii) Show that if  $N \geq 4$  then  $\Gamma_1(N)$  is torsionfree.

8. Let

$$\Gamma^* = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} \Gamma \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$$

(i) Show that if  $f \in M_k(\Gamma)$ , then the function  $f^*(z) = \overline{f(-\bar{z})}$  belongs to  $M_k(\Gamma^*)$ .

(ii) Show that if  $\Gamma = \Gamma^*$  (for example, any one of  $\Gamma_0(N)$ ,  $\Gamma_1(N)$ ,  $\Gamma(N)$ ) then  $M_k(\Gamma)$  has a basis all of whose elements have real Fourier coefficients.

9. (i) Let  $\nu$  be the number of cusps of  $\Gamma$ . Show that there is a linear map  $M_k(\Gamma) \rightarrow \mathbb{C}^\nu$  whose kernel is  $S_k(\Gamma)$ .

(ii) By considering the Eisenstein series  $E_{r,k}$  for suitable  $r \in N^{-1}\mathbb{Z}^2$ , show that if  $k > 2$  then there exists  $f \in M_k(\Gamma(N))$  whose  $q$ -expansion at  $\infty$  has constant term 1, but which vanishes at all other cusps of  $\Gamma(N)$ . Deduce that  $\dim M_k(\Gamma(N)) = \nu + \dim S_k(\Gamma(N))$  if  $k \geq 3$ .

10. (i) Show that if every cusp of  $\Gamma$  has width one then  $\Gamma$  must be  $\Gamma(1)$ .

(ii) \* Show that if  $\Gamma$  is a congruence subgroup containing  $-1$ , then  $\Gamma \supset \Gamma(N)$  where  $N$  is the least common multiple of the widths of the cusps of  $\Gamma$ . (This gives a way to tell whether or not a given group is a congruence subgroup.)