

Part IB — Groups, Rings and Modules

Definitions

Based on lectures by O. Randal-Williams

Notes taken by Dexter Chua

Lent 2016

These notes are not endorsed by the lecturers, and I have modified them (often significantly) after lectures. They are nowhere near accurate representations of what was actually lectured, and in particular, all errors are almost surely mine.

Groups

Basic concepts of group theory recalled from Part IA Groups. Normal subgroups, quotient groups and isomorphism theorems. Permutation groups. Groups acting on sets, permutation representations. Conjugacy classes, centralizers and normalizers. The centre of a group. Elementary properties of finite p -groups. Examples of finite linear groups and groups arising from geometry. Simplicity of A_n .

Sylow subgroups and Sylow theorems. Applications, groups of small order. [8]

Rings

Definition and examples of rings (commutative, with 1). Ideals, homomorphisms, quotient rings, isomorphism theorems. Prime and maximal ideals. Fields. The characteristic of a field. Field of fractions of an integral domain.

Factorization in rings; units, primes and irreducibles. Unique factorization in principal ideal domains, and in polynomial rings. Gauss' Lemma and Eisenstein's irreducibility criterion.

Rings $\mathbb{Z}[\alpha]$ of algebraic integers as subsets of \mathbb{C} and quotients of $\mathbb{Z}[x]$. Examples of Euclidean domains and uniqueness and non-uniqueness of factorization. Factorization in the ring of Gaussian integers; representation of integers as sums of two squares.

Ideals in polynomial rings. Hilbert basis theorem. [10]

Modules

Definitions, examples of vector spaces, abelian groups and vector spaces with an endomorphism. Sub-modules, homomorphisms, quotient modules and direct sums. Equivalence of matrices, canonical form. Structure of finitely generated modules over Euclidean domains, applications to abelian groups and Jordan normal form. [6]

Contents

0	Introduction	3
1	Groups	4
1.1	Basic concepts	4
1.2	Normal subgroups, quotients, homomorphisms, isomorphisms	4
1.3	Actions of permutations	5
1.4	Conjugacy, centralizers and normalizers	6
1.5	Finite p -groups	6
1.6	Finite abelian groups	6
1.7	Sylow theorems	6
2	Rings	7
2.1	Definitions and examples	7
2.2	Homomorphisms, ideals, quotients and isomorphisms	8
2.3	Integral domains, field of fractions, maximal and prime ideals	9
2.4	Factorization in integral domains	10
2.5	Factorization in polynomial rings	11
2.6	Gaussian integers	11
2.7	Algebraic integers	11
2.8	Noetherian rings	11
3	Modules	12
3.1	Definitions and examples	12
3.2	Direct sums and free modules	13
3.3	Matrices over Euclidean domains	13
3.4	Modules over $\mathbb{F}[X]$ and normal forms for matrices	14
3.5	Conjugacy of matrices*	14

0 Introduction

1 Groups

1.1 Basic concepts

Definition (Group). A *group* is a triple (G, \cdot, e) , where G is a set, $\cdot : G \times G \rightarrow G$ is a function and $e \in G$ is an element such that

- (i) For all $a, b, c \in G$, we have $(a \cdot b) \cdot c = a \cdot (b \cdot c)$. (associativity)
- (ii) For all $a \in G$, we have $a \cdot e = e \cdot a = a$. (identity)
- (iii) For all $a \in G$, there exists $a^{-1} \in G$ such that $a \cdot a^{-1} = a^{-1} \cdot a = e$. (inverse)

Definition (Subgroup). If (G, \cdot, e) is a group and $H \subseteq G$ is a subset, it is a *subgroup* if

- (i) $e \in H$,
- (ii) $a, b \in H$ implies $a \cdot b \in H$,
- (iii) $\cdot : H \times H \rightarrow H$ makes (H, \cdot, e) a group.

We write $H \leq G$ if H is a subgroup of G .

Definition (Abelian group). A group G is *abelian* if $a \cdot b = b \cdot a$ for all $a, b \in G$.

Definition (Coset). If $H \leq G$, $g \in G$, the *left coset* gH is the set

$$gH = \{x \in G : x = g \cdot h \text{ for some } h \in H\}.$$

Definition (Order of group). The *order* of a group is the number of elements in G , written $|G|$.

Definition (Order of element). The *order* of an element $g \in G$ is the smallest positive n such that $g^n = e$. If there is no such n , we say g has infinite order.

We write $\text{ord}(g) = n$.

1.2 Normal subgroups, quotients, homomorphisms, isomorphisms

Definition (Normal subgroup). A subgroup $H \leq G$ is *normal* if for any $h \in H$ and $g \in G$, we have $g^{-1}hg \in H$. We write $H \triangleleft G$.

Definition (Quotient group). If $H \triangleleft G$ is a normal subgroup, then the set G/H of left H -cosets forms a group with multiplication

$$(g_1H) \cdot (g_2H) = g_1g_2H.$$

with identity $eH = H$. This is known as the *quotient group*.

Definition (Homomorphism). If (G, \cdot, e_G) and $(H, *, e_H)$ are groups, a function $\phi : G \rightarrow H$ is a *homomorphism* if $\phi(e_G) = e_H$, and for $g, g' \in G$, we have

$$\phi(g \cdot g') = \phi(g) * \phi(g').$$

Definition (Kernel). The *kernel* of a homomorphism $\phi : G \rightarrow H$ is

$$\ker(\phi) = \{g \in G : \phi(g) = e\}.$$

Definition (Image). The *image* of a homomorphism $\phi : G \rightarrow H$ is

$$\text{im}(\phi) = \{h \in H : h = \phi(g) \text{ for some } g \in G\}.$$

Definition (Isomorphism). An *isomorphism* is a homomorphism that is also a bijection.

Definition (Isomorphic group). Two groups G and H are *isomorphic* if there is an isomorphism between them. We write $G \cong H$.

Definition (Simple group). A (non-trivial) group G is *simple* if it has no normal subgroups except $\{e\}$ and G .

1.3 Actions of permutations

Definition (Symmetric group). The *symmetric group* S_n is the group of all permutations of $\{1, \dots, n\}$, i.e. the set of all bijections of this set with itself.

Definition (Even and odd permutation). A permutation $\sigma \in S_n$ is *even* if it can be written as a product of evenly many transpositions; *odd* otherwise.

Definition (Alternating group). The *alternating group* $A_n \leq S_n$ is the subgroup of even permutations, i.e. A_n is the kernel of sgn .

Definition (Symmetric group of X). Let X be a set. We write $\text{Sym}(X)$ for the group of all permutations of X .

Definition (Permutation group). A group G is called a *permutation group* if it is a subgroup of $\text{Sym}(X)$ for some X , i.e. it is given by some, but not necessarily all, permutations of some set.

We say G is a *permutation group of order n* if in addition $|X| = n$.

Definition (Group action). An *action* of a group (G, \cdot) on a set X is a function

$$* : G \times X \rightarrow X$$

such that

- (i) $g_1 * (g_2 * x) = (g_1 \cdot g_2) * x$ for all $g_1, g_2 \in G$ and $x \in X$.
- (ii) $e * x = x$ for all $x \in X$.

Definition (Permutation representation). A *permutation representation* of a group G is a homomorphism $G \rightarrow \text{Sym}(X)$.

Notation. For an action of G on X given by $\phi : G \rightarrow \text{Sym}(X)$, we write $G^X = \text{im}(\phi)$ and $G_X = \ker(\phi)$.

Definition (Orbit). If G acts on a set X , the *orbit* of $x \in X$ is

$$G \cdot x = \{g * x \in X : g \in G\}.$$

Definition (Stabilizer). If G acts on a set X , the *stabilizer* of $x \in X$ is

$$G_x = \{g \in G : g * x = x\}.$$

1.4 Conjugacy, centralizers and normalizers

Definition (Automorphism group). The *automorphism group* of G is

$$\text{Aut}(G) = \{f : G \rightarrow G : f \text{ is a group isomorphism}\}.$$

This is a group under composition, with the identity map as the identity.

Definition (Conjugacy class). The *conjugacy class* of $g \in G$ is

$$\text{ccl}_G(g) = \{hgh^{-1} : h \in G\},$$

i.e. the orbit of $g \in G$ under the conjugation action.

Definition (Centralizer). The *centralizer* of $g \in G$ is

$$C_G(g) = \{h \in G : hgh^{-1} = g\},$$

i.e. the stabilizer of g under the conjugation action. This is alternatively the set of all $h \in G$ that commute with g .

Definition (Center). The *center* of a group G is

$$Z(G) = \{h \in G : hgh^{-1} = g \text{ for all } g \in G\} = \bigcap_{g \in G} C_G(g) = \ker(\phi).$$

Definition (Normalizer). Let $H \leq G$. The *normalizer* of H in G is

$$N_G(H) = \{g \in G : g^{-1}Hg = H\}.$$

1.5 Finite p -groups

Definition (p -group). A finite group G is a p -group if $|G| = p^n$ for some prime number p and $n \geq 1$.

1.6 Finite abelian groups

1.7 Sylow theorems

2 Rings

2.1 Definitions and examples

Definition (Ring). A *ring* is a quintuple $(R, +, \cdot, 0_R, 1_R)$ where $0_R, 1_R \in R$, and $+, \cdot : R \times R \rightarrow R$ are binary operations such that

- (i) $(R, +, 0_R)$ is an abelian group.
- (ii) The operation $\cdot : R \times R \rightarrow R$ satisfies associativity, i.e.

$$a \cdot (b \cdot c) = (a \cdot b) \cdot c,$$

and identity:

$$1_R \cdot r = r \cdot 1_R = r.$$

- (iii) Multiplication distributes over addition, i.e.

$$\begin{aligned} r_1 \cdot (r_2 + r_3) &= (r_1 \cdot r_2) + (r_1 \cdot r_3) \\ (r_1 + r_2) \cdot r_3 &= (r_1 \cdot r_3) + (r_2 \cdot r_3). \end{aligned}$$

Notation. If R is a ring and $r \in R$, we write $-r$ for the inverse to r in $(R, +, 0_R)$. This satisfies $r + (-r) = 0_R$. We write $r - s$ to mean $r + (-s)$ etc.

Definition (Commutative ring). We say a ring R is *commutative* if $a \cdot b = b \cdot a$ for all $a, b \in R$.

Definition (Subring). Let $(R, +, \cdot, 0_R, 1_R)$ be a ring, and $S \subseteq R$ be a subset. We say S is a *subring* of R if $0_R, 1_R \in S$, and the operations $+, \cdot$ make S into a ring in its own right. In this case we write $S \leq R$.

Definition (Unit). An element $u \in R$ is a *unit* if there is another element $v \in R$ such that $u \cdot v = 1_R$.

Definition (Field). A *field* is a non-zero ring where every $u \neq 0_R \in R$ is a unit.

Definition (Product of rings). Let R, S be rings. Then the *product* $R \times S$ is a ring via

$$(r, s) + (r', s') = (r + r', s + s'), \quad (r, s) \cdot (r', s') = (r \cdot r', s \cdot s').$$

The zero is $(0_R, 0_S)$ and the one is $(1_R, 1_S)$.

We can (but won't) check that these indeed are rings.

Definition (Polynomial). Let R be a ring. Then a *polynomial* with coefficients in R is an expression

$$f = a_0 + a_1X + a_2X^2 + \cdots + a_nX^n,$$

with $a_i \in R$. The symbols X^i are formal symbols.

Definition (Degree of polynomial). The *degree* of a polynomial f is the largest m such that $a_m \neq 0$.

Definition (Monic polynomial). Let f have degree m . If $a_m = 1$, then f is called *monic*.

Definition (Polynomial ring). We write $R[X]$ for the set of all polynomials with coefficients in R . The operations are performed in the obvious way, i.e. if $f = a_0 + a_1X + \cdots + A_nX^n$ and $g = b_0 + b_1X + \cdots + b_kX^k$ are polynomials, then

$$f + g = \sum_{r=0}^{\max\{n,k\}} (a_r + b_r)X^r,$$

and

$$f \cdot g = \sum_{i=0}^{n+k} \left(\sum_{j=0}^i a_j b_{i-j} \right) X^i,$$

We identify R with the constant polynomials, i.e. polynomials $\sum a_i X^i$ with $a_i = 0$ for $i > 0$. In particular, $0_R \in R$ and $1_R \in R$ are the zero and one of $R[X]$.

Definition (Power series). We write $R[[X]]$ for the ring of power series on R , i.e.

$$f = a_0 + a_1X + a_2X^2 + \cdots,$$

where each $a_i \in R$. This has addition and multiplication the same as for polynomials, but without upper limits.

Definition (Laurent polynomials). The *Laurent polynomials* on R is the set $R[X, X^{-1}]$, i.e. each element is of the form

$$f = \sum_{i \in \mathbb{Z}} a_i X^i$$

where $a_i \in R$ and only finitely many a_i are non-zero. The operations are the obvious ones.

2.2 Homomorphisms, ideals, quotients and isomorphisms

Definition (Homomorphism of rings). Let R, S be rings. A function $\phi : R \rightarrow S$ is a *ring homomorphism* if it preserves everything we can think of, i.e.

- (i) $\phi(r_1 + r_2) = \phi(r_1) + \phi(r_2)$,
- (ii) $\phi(0_R) = 0_S$,
- (iii) $\phi(r_1 \cdot r_2) = \phi(r_1) \cdot \phi(r_2)$,
- (iv) $\phi(1_R) = 1_S$.

Definition (Isomorphism of rings). If a homomorphism $\phi : R \rightarrow S$ is a bijection, we call it an *isomorphism*.

Definition (Kernel). The *kernel* of a homomorphism $\phi : R \rightarrow S$ is

$$\ker(\phi) = \{r \in R : \phi(r) = 0_S\}.$$

Definition (Image). The *image* of $\phi : R \rightarrow S$ is

$$\text{im}(\phi) = \{s \in S : s = \phi(r) \text{ for some } r \in R\}.$$

Definition (Ideal). A subset $I \subseteq R$ is an *ideal*, written $I \triangleleft R$, if

- (i) It is an additive subgroup of $(R, +, 0_R)$, i.e. it is closed under addition and additive inverses. (additive closure)
- (ii) If $a \in I$ and $b \in R$, then $a \cdot b \in I$. (strong closure)

We say I is a proper ideal if $I \neq R$.

Definition (Generator of ideal). For an element $a \in R$, we write

$$(a) = aR = \{a \cdot r : r \in R\} \triangleleft R.$$

This is the *ideal generated by* a .

In general, let $a_1, a_2, \dots, a_k \in R$, we write

$$(a_1, a_2, \dots, a_k) = \{a_1 r_1 + \dots + a_k r_k : r_1, \dots, r_k \in R\}.$$

This is the *ideal generated by* a_1, \dots, a_k .

Definition (Generator of ideal). For $A \subseteq R$ a subset, the *ideal generated by* A is

$$(A) = \left\{ \sum_{a \in A} r_a \cdot a : r_a \in R, \text{ only finitely-many non-zero} \right\}.$$

Definition (Principal ideal). An ideal I is a *principal ideal* if $I = (a)$ for some $a \in R$.

Definition (Quotient ring). Let $I \triangleleft R$. The *quotient ring* R/I consists of the (additive) cosets $r + I$ with the zero and one as $0_R + I$ and $1_R + I$, and operations

$$\begin{aligned} (r_1 + I) + (r_2 + I) &= (r_1 + r_2) + I \\ (r_1 + I) \cdot (r_2 + I) &= r_1 r_2 + I. \end{aligned}$$

Definition (Characteristic of ring). Let R be a ring, and $\iota : \mathbb{Z} \rightarrow R$ be the unique such map. The *characteristic* of R is the unique non-negative n such that $\ker(\iota) = n\mathbb{Z}$.

2.3 Integral domains, field of fractions, maximal and prime ideals

Definition (Integral domain). A non-zero ring R is an *integral domain* if for all $a, b \in R$, if $a \cdot b = 0_R$, then $a = 0_R$ or $b = 0_R$.

Definition (Zero divisor). An element $x \in R$ is a *zero divisor* if $x \neq 0$ and there is a $y \neq 0$ such that $x \cdot y = 0 \in R$.

Notation. Write $R[X, Y]$ for $(R[X])[Y]$, the polynomial ring of R in two variables. In general, write $R[X_1, \dots, X_n] = (\dots((R[X_1])[X_2])\dots)[X_n]$.

Definition (Field of fractions). Let R be an integral domain. A *field of fractions* F of R is a field with the following properties

- (i) $R \leq F$

- (ii) Every element of F may be written as $a \cdot b^{-1}$ for $a, b \in R$, where b^{-1} means the multiplicative inverse to $b \neq 0$ in F .

Definition (Maximal ideal). An ideal I of a ring R is *maximal* if $I \neq R$ and for any ideal J with $I \leq J \leq R$, either $J = I$ or $J = R$.

Definition (Prime ideal). An ideal I of a ring R is *prime* if $I \neq R$ and whenever $a, b \in R$ are such that $a \cdot b \in I$, then $a \in I$ or $b \in I$.

2.4 Factorization in integral domains

Definition (Unit). An element $a \in R$ is a *unit* if there is a $b \in R$ such that $ab = 1_R$. Equivalently, if the ideal $(a) = R$.

Definition (Division). For elements $a, b \in R$, we say a *divides* b , written $a \mid b$, if there is a $c \in R$ such that $b = ac$. Equivalently, if $(b) \subseteq (a)$.

Definition (Associates). We say $a, b \in R$ are *associates* if $a = bc$ for some unit c . Equivalently, if $(a) = (b)$. Equivalently, if $a \mid b$ and $b \mid a$.

Definition (Irreducible). We say $a \in R$ is *irreducible* if $a \neq 0$, a is not a unit, and if $a = xy$, then x or y is a unit.

Definition (Prime). We say $a \in R$ is *prime* if a is non-zero, not a unit, and whenever $a \mid xy$, either $a \mid x$ or $a \mid y$.

Definition (Euclidean domain). An integral domain R is a *Euclidean domain* (ED) if there is a *Euclidean function* $\phi : R \setminus \{0\} \rightarrow \mathbb{Z}_{\geq 0}$ such that

- (i) $\phi(a \cdot b) \geq \phi(b)$ for all $a, b \neq 0$
(ii) If $a, b \in R$, with $b \neq 0$, then there are $q, r \in R$ such that

$$a = b \cdot q + r,$$

and either $r = 0$ or $\phi(r) < \phi(b)$.

Definition (Principal ideal domain). A ring R is a *principal ideal domain* (PID) if it is an integral domain, and every ideal is a principal ideal, i.e. for all $I \triangleleft R$, there is some a such that $I = (a)$.

Definition (Unique factorization domain). An integral domain R is a *unique factorization domain* (UFD) if

- (i) Every non-unit may be written as a product of irreducibles;
(ii) If $p_1 p_2 \cdots p_n = q_1 \cdots q_m$ with p_i, q_j irreducibles, then $n = m$, and they can be reordered such that p_i is an associate of q_i .

Definition (Ascending chain condition). A ring satisfies the *ascending chain condition* (ACC) if there is no infinite strictly increasing chain of ideals.

Definition (Noetherian ring). A ring that satisfies the ascending chain condition is known as a *Noetherian ring*.

Definition (Greatest common divisor). d is a *greatest common divisor* (gcd) of a_1, a_2, \dots, a_n if $d \mid a_i$ for all i , and if any other d' satisfies $d' \mid a_i$ for all i , then $d' \mid d$.

2.5 Factorization in polynomial rings

Definition (Content). Let R be a UFD and $f = a_0 + a_1X + \cdots + a_nX^n \in R[X]$. The *content* $c(f)$ of f is

$$c(f) = \gcd(a_0, a_1, \dots, a_n) \in R.$$

Definition (Primitive polynomial). A polynomial is *primitive* if $c(f)$ is a unit, i.e. the a_i are coprime.

2.6 Gaussian integers

Definition (Gaussian integers). The *Gaussian integers* is the subring

$$\mathbb{Z}[i] = \{a + bi : a, b \in \mathbb{Z}\} \leq \mathbb{C}.$$

2.7 Algebraic integers

Definition (Algebraic integer). An $\alpha \in \mathbb{C}$ is called an algebraic integer if it is a root of a monic polynomial in $\mathbb{Z}[X]$, i.e. there is a monic $f \in \mathbb{Z}[X]$ such that $f(\alpha) = 0$.

Notation. For α an algebraic integer, we write $\mathbb{Z}[\alpha] \in \mathbb{C}$ for the smallest subring containing α .

Definition (Minimal polynomial). Let $\alpha \in \mathbb{C}$ be an algebraic integer. Then the *minimal polynomial* is a polynomial f_α is the irreducible monic such that $I = \ker(\phi) = (f_\alpha)$.

2.8 Noetherian rings

Definition (Noetherian ring). A ring is *Noetherian* if for any chain of ideals

$$I_1 \subseteq I_2 \subseteq I_3 \subseteq \cdots,$$

there is some N such that $I_N = I_{N+1} = I_{N+2} = \cdots$.

This condition is known as the *ascending chain condition*.

Definition (Finitely generated ideal). An ideal I is *finitely generated* if it can be written as $I = (r_1, \dots, r_n)$ for some $r_1, \dots, r_n \in R$.

3 Modules

3.1 Definitions and examples

Definition (Module). Let R be a commutative ring. We say a quadruple $(M, +, 0_M, \cdot)$ is an R -module if

- (i) $(M, +, 0_M)$ is an abelian group
- (ii) The operation $\cdot : R \times M \rightarrow M$ satisfies
 - (a) $(r_1 + r_2) \cdot m = (r_1 \cdot m) + (r_2 \cdot m)$;
 - (b) $r \cdot (m_1 + m_2) = (r \cdot m_1) + (r \cdot m_2)$;
 - (c) $r_1 \cdot (r_2 \cdot m) = (r_1 \cdot r_2) \cdot m$; and
 - (d) $1_R \cdot m = m$.

Definition (Submodule). Let M be an R -module. A subset $N \subseteq M$ is an R -submodule if it is a subgroup of $(M, +, 0_M)$, and if $n \in N$ and $r \in R$, then $rn \in N$. We write $N \leq M$.

Definition (Quotient module). Let $N \leq M$ be an R -submodule. The *quotient module* M/N is the set of N -cosets in $(M, +, 0_M)$, with the R -action given by

$$r \cdot (m + N) = (r \cdot m) + N.$$

Definition (R -module homomorphism and isomorphism). A function $f : M \rightarrow N$ between R -modules is an R -module homomorphism if it is a homomorphism of abelian groups, and satisfies

$$f(r \cdot m) = r \cdot f(m)$$

for all $r \in R$ and $m \in M$.

An *isomorphism* is a bijective homomorphism, and two R -modules are isomorphic if there is an isomorphism between them.

Definition (Annihilator). Let M be an R -module, and $m \in M$. The *annihilator* of m is

$$\text{Ann}(m) = \{r \in R : r \cdot m = 0\}.$$

For any set $S \subseteq M$, we define

$$\text{Ann}(S) = \{r \in R : r \cdot m = 0 \text{ for all } m \in S\} = \bigcap_{m \in S} \text{Ann}(m).$$

In particular, for the module M itself, we have

$$\text{Ann}(M) = \{r \in R : r \cdot m = 0 \text{ for all } m \in M\} = \bigcap_{m \in M} \text{Ann}(m).$$

Definition (Submodule generated by element). Let M be an R -module, and $m \in M$. The *submodule generated by m* is

$$Rm = \{r \cdot m \in M : r \in R\}.$$

Definition (Finitely generated module). An R -module M is *finitely generated* if there is a finite list of elements m_1, \dots, m_k such that

$$M = Rm_1 + Rm_2 + \dots + Rm_k = \{r_1m_1 + r_2m_2 + \dots + r_km_k : r_i \in R\}.$$

